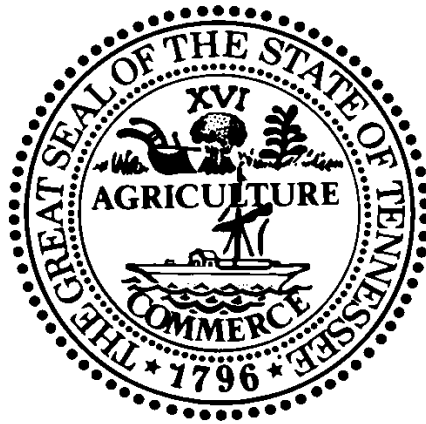


STATE OF TENNESSEE

FISCAL YEAR 2011-12

CONSOLIDATED ANNUAL PERFORMANCE AND EVALUATION REPORT



TENNESSEE HOUSING DEVELOPMENT AGENCY

TENNESSEE DEPARTMENT OF ECONOMIC AND COMMUNITY DEVELOPMENT

TENNESSEE DEPARTMENT OF HEALTH

TABLE OF CONTENTS

PART I

INTRODUCTION	3
A) DESCRIPTION OF THE RESOURCES MADE AVAILABLE	5
<i>Community Development Block Grant (CDBG) Small Cities Program</i>	5
<i>HOME Investment Partnership (HOME)</i>	5
<i>Emergency Shelter/Solutions Grant (ESG)</i>	5
<i>Housing Opportunities for Persons with AIDS (HOPWA)</i>	6
<i>Other Resources Made Available</i>	6
B) INVESTMENT OF AVAILABLE RESOURCES.....	9
<i>Community Development Block Grant (CDBG) Small Cities Program</i>	9
<i>HOME Investment Partnership (HOME)</i>	10
<i>Emergency Shelter/Solutions Grant (ESG)</i>	11
<i>Housing Opportunities for Persons with AIDS (HOPWA)</i>	11
<i>Other Resources Made Available</i>	12
C) GEOGRAPHIC DISTRIBUTION AND LOCATION OF INVESTMENTS	15
<i>Community Development Block Grant (CDBG) Small Cities Program</i>	15
<i>HOME Investment Partnership (HOME)</i>	16
<i>Emergency Shelter/Solutions Grant (ESG)</i>	17
<i>Housing Opportunities for Persons with AIDS (HOPWA)</i>	19
<i>Other Resources Made Available</i>	19
D) FAMILIES AND PERSONS ASSISTED INCLUDING RACIAL AND ETHNIC STATUS	28
<i>Community Development Block Grant (CDBG) Small Cities Program</i>	28
<i>HOME Investment Partnership (HOME)</i>	29
<i>Emergency Shelter/Solutions Grant (ESG)</i>	31
<i>Housing Opportunities for Persons with AIDS (HOPWA)</i>	32
<i>Other Resources Made Available</i>	32

PART II

ASSESSMENT OF ANNUAL PERFORMANCE	42
A) REVIEW AND ASSESSMENT OF PRIORITIES AND ACTION STEPS	42
<i>Review of Priorities and Actions Steps</i>	42
<i>Assessment of Progress in Meeting Priorities</i>	44
B) EVALUATION OF THE JURISDICTION'S PROGRESS IN PROVIDING AFFORDABLE HOUSING	50
C) OTHER ACTIONS INDICATED IN THE STRATEGIC PLAN AND ACTION PLAN	52
D) ACTIONS TAKEN TO AFFIRMATIVELY FURTHER FAIR HOUSING.....	53
E) ADDITIONAL INFORMATION AND FUTURE ACTIONS	60

PART III

TABLES AND APPENDICES	62
A) LIST OF TABLES.....	62
B) APPENDIX A: STATE GRANT PERFORMANCE/EVALUATION REPORT, CDBG	A
C) APPENDIX B: ANNUAL PERFORMANCE REPORT, HOME PROGRAM	B
D) APPENDIX C: PROGRAM PERFORMANCE REPORT, ESG PROGRAM	C
E) APPENDIX D: ANNUAL PERFORMANCE REPORT, HOPWA	D
F) APPENDIX E: TABLE 3B, STATE ANNUAL HOUSING COMPLETION GOALS	E

STATE OF TENNESSEE
FISCAL YEAR 2011-12 CAPER
EXECUTIVE SUMMARY

The Consolidated Annual Performance and Evaluation Report (CAPER) serves as the State of Tennessee's annual performance report to the U.S. Department of Housing and Urban Development (HUD) for four formula grant programs; Community Development Block Grant (CDBG), Home Investment Partnership Program (HOME), Emergency Solutions Grant (ESG) and Housing Opportunities for Persons Living with AIDS (HOPWA). The reporting period for the Fiscal Year (FY) 2011-12 CAPER is July 1, 2011 – June 30, 2012. As in previous years, the annual reports completed by each agency are included as appendices to this document.

In January 1995, the final rule "Consolidated Submission for Community Planning and Development Programs" was published in the Federal Register. The final rule amended HUD's regulations for Comprehensive Housing Affordability Strategies (CHAS) with a single rule that consolidated planning, applications and reporting aspects of the four formula grant programs. The names of the grant programs, the administering state agencies and the HUD allocations for Fiscal Year (FY) 2011-12 are as follows:

- Community Development Block Program (CDBG) administered by the Tennessee Department of Economic and Community Development (ECD), \$24,449,841
- HOME Investment Partnership Program (HOME) administered by Tennessee Housing Development Agency (THDA), \$15,406,004
- Emergency Shelter/Solutions Grant (ESG) administered by Tennessee Housing Development Agency (THDA), \$2,398,189
- Housing Opportunities for Persons with AIDS (HOPWA) administered by the Tennessee Department of Health (DOH), \$916,803

During FY 2011-12, both the HOME and ESG Programs, administered by THDA, were amended through substantial amendments to the State of Tennessee's Consolidated Plan. The substantial amendment to the HOME Program was approved on April 27, 2012. The changes to the program will affect 2012 HOME funds and are outlined in the State of Tennessee's FY 2012-13 Annual Action Plan, which was approved by HUD on July 10, 2012. On January 4, 2012, the interim regulation for the Emergency Solutions Grant Program was made final. The Emergency Solutions Grant replaced what was formerly the Emergency Shelter Grant. THDA received the first allocation of Emergency Shelter Grant funding for \$1,534,841 in July of 2011. In order to receive the second allocation of Emergency Solutions Grant funding for \$863,348, grantees were required to complete a substantial amendment to the Consolidated Plan. The substantial amendment, which provides a description of the ESG program and its impact on performance measures, was approved by HUD on July 10, 2012.

In addition to discussing the resources made available to the State of Tennessee in FY 2011-12 through the four formula programs, this document reports the performance of the State of Tennessee in meeting objectives and action steps contained in the 2010-15 Consolidated Plan and assesses the State's overall performance in meeting affordable housing goals. The State has incorporated a new document "Optional Table 3B: State Annual Housing Completion Goals" found in Appendix E of this report, to better link HUD's outcome system to the State of Tennessee's objectives, action steps, activities and performance measures.

Other affordable housing resources made available to the State or having an impact on the State's performance are also discussed and include HUD's Section 8 Tenant Based Housing Choice Voucher and Project Based Rental Assistance Programs, the THDA Homeownership Programs, the THDA Rural Repair Program, the THDA BUILD Loan Program, the Community Investment Tax Credit Program, the THDA Emergency Repair Program (ERP), the Low-Income Housing Tax Credit Program (LIHTC) and the Multi-Family Bond Authority.

During FY 2011-12, Tennessee Housing Development Agency, the Tennessee Department of Economic and Community Development and the Tennessee Department of Health, known collectively as the Consolidated Partners, made the decision to move forward with a statewide Analysis of Impediments to Fair Housing Choice (AI) study and to bolster its activities and plan to affirmatively further fair housing. The State's progress in developing an AI and improving activities that affirmatively further fair housing in the state are included in this document. On October 1, 2012, the State will release a Request for Proposals (RFP) for completion of the AI by a third party consultant. The completion of the AI is expected in April 2013. Additional details regarding progress on the AI and the plan for completion can be found in Part II of this report.

During the FY 2011-12, the State of Tennessee participated in a series of E-Con Planning Suite training sessions hosted by the Community Planning and Development (CPD) Office of HUD. Web-based trainings included an introduction to the new processes and tools provided by HUD for future Consolidated Plans and more in-depth trainings on the web-based tools, including CPD mapping and the IDIS template. While use of the new tools are not required of Tennessee until the next five-year Consolidated Plan (2015-2020), the State of Tennessee will continue these trainings with the goal of submitting an electronic FY 2013-14 Action Plan in May, 2014.

While THDA has been designated as the lead agency for Tennessee's consolidated planning requirements, all of the administering agencies of the four formula grant programs participate in the development of the CAPER and administration of their respective programs. The FY 2011-12 CAPER represents the cooperation of these agencies working together to further affordable housing and community development in Tennessee. In addition, the plan is developed in consultation with the citizens of our state. The draft plan is made available for review and public comment according to the guidelines developed by the five-year Consolidated Plan.

PART I DESCRIPTION OF RESOURCES

I. DESCRIPTION OF THE RESOURCES MADE AVAILABLE

A) HUD Resources Made Available Under the Consolidated Planning Programs

A. Community Development Block Grant (CDBG) Small Cities Program

The Community Development Block Grant (CDBG) program is a multi-faceted federal program that allows a variety of activities. Each activity conducted must address, at a minimum, one of three national housing objectives: 1) Benefit to Low and Moderate Income Persons, 2) Prevention or Elimination of Slum and Blight, or 3) Urgent Need. The State of Tennessee, through the Department of Economic and Community Development (ECD), administers the CDBG program for all jurisdictions in the state, except for 13 entitlement areas. THE CDBG Small Cities program received an allocation of \$24,449,841 from HUD for Fiscal Year 2011-12. In addition to administering the program, each year ECD prepares the State Grant Performance/Evaluation Report (PER). The PER for FY 2011-12 is included as Appendix A of this document.

B. HOME Investment Partnership (HOME)

The Community Programs Division of the Tennessee Housing Development Agency administers the HOME Program for the State of Tennessee. THDA administers the HOME Program for those jurisdictions not designated by HUD as a local Participating Jurisdiction (PJ). The local PJs that receive HOME funds directly from HUD include: Clarksville, Chattanooga, Jackson, Knoxville, Memphis, Nashville-Davidson County, Knox County, Shelby County and the Northeast Tennessee/Virginia Consortium (the Cities of Bristol, Kingsport, Johnson City, Bluff City, Sullivan County and Washington County, excluding the Town of Jonesborough). The HOME Program is an affordable housing program that provides federal funds to states and the local PJs to carry out multi-year housing strategies. The purpose of the program is to expand the supply of decent, safe, sanitary and affordable housing for low and very low income households. In FY 2011-12 the State received an allocation of \$15,406,004 to use in its competitive annual grant program and for administration. Local governments, public agencies and non-profit organizations are all eligible applicants for HOME Funds. THDA has prepared the HOME annual report, which is included in Appendix B of this document.

C. Emergency Shelter Grant/Emergency Solutions Grant (ESG)

The Emergency Solutions Grant (ESG) provides funding to local governments and non-profit service providers to assist homeless and at-risk of homelessness families and individuals in Tennessee. The Community Programs Division of THDA administers the program on behalf of the State of Tennessee. On January 4, 2012 the interim regulation for the Emergency Solutions Grant Program was made final. The Emergency Solutions Grant Program replaced what was formerly the Emergency Shelter Grant. In July 2011, THDA received the first allocation of Emergency Shelter Grant funding for \$1,534,841. In order to receive the second allocation of Emergency Solutions Grant funding for \$863,348, grantees were required to complete a substantial amendment to the Consolidated Plan. The substantial amendment, which provides a description of the ESG program and its impact on performance measures, was approved by HUD on July 10, 2012. During the reporting period, \$2,398,189 was made available by HUD

for the ESG Program. THDA prepared an ESG beneficiary report, which is included in Appendix C of this document.

D. Housing Opportunities for Persons with AIDS (HOPWA)

HOPWA provides funding to nonprofit service providers to offer housing assistance and related supportive services to persons living with HIV/AIDS and their families. The Tennessee Department of Health administers the program for the State of Tennessee and provides funds to seven projects sponsors across the state that directly administer the program. HOPWA funds are used to provide assistance in the following five categories: 1) Housing Information Services; 2) Housing Assistance (Short-term Rent, Mortgage and Utility Payment Program); 3) Supportive Services; 4) Permanent Housing Placement; and 5) Ongoing Housing Assessment Plans. HOPWA funds are also used towards the State's administrative costs and the administrative costs of service providers. During FY 2011-12, \$916,803 was made available by HUD for the HOPWA Program. DOH prepared the annual HOPWA report, which can be found in Appendix D of this document.

B) Other Resources Made Available

E. HUD Section 8 Tenant Based Housing Choice Voucher Program

The Tennessee Housing Development Agency administers the Section 8 Tenant Based Housing Choice Voucher (HCV) program. The Rental Assistance Division of THDA, which administers the Section 8 Housing Choice Voucher program, operates in 75 of Tennessee's 95 counties. During the reporting period, approximately \$34,772,593 was made available for the Section 8 Tenant Based HCV program.

F. HUD Section 8 Project Based Rental Assistance

The Contract Administration Division of THDA administers the Section 8 Project Based contracts and is responsible for the monthly Housing Assistance Payments (HAP) to Section 8 properties throughout the state. At the end of the reporting period, 29,771 units of affordable housing were provided. Housing Assistance Payments for the year were \$148,127,628.

G. Low Income Housing Tax Credit Program

The Low Income Housing Tax Credit (LIHTC) program is authorized under Section 42 of the Internal Revenue Code and is administered by THDA. The program offers owners and investors of low income rental housing a reduction in federal income tax liability over a period of 10 years. The Internal Revenue Service allocates tax credit authority to states on a calendar year basis. The State of Tennessee does not receive actual dollars, but instead receives tax credit authority. In Calendar Year (CY) 2011, the State issued \$17,458,107 in tax credits to non-profit and for-profit developers of low-income housing and allocated \$1,068,910 in non-competitive credits available for non-profit and for-profit developers. In addition, the State forward committed a portion of the 2012 tax credit authority in 2011. The portion of the 2012 tax credit authority that was committed in 2011 was \$11,500,000. The cumulative total during the reporting period, including the 2012 forward committed funds, was \$30,027,017.

H. Multifamily Bond Authority

THDA authorizes allocations of tax-exempt bond authority to local issuers for permanent financing of multifamily housing units in the state. The authority can be used to provide permanent financing for new construction of affordable rental housing units, conversion of existing properties through adaptive reuse or acquisition and rehabilitation of rental units. Applications are scored and points are awarded based on certain conditions. In addition, some units must be occupied by households with low-income: 20% of the units must be occupied by households with incomes no greater than 50% of area median income (AMI), or 40% of the units must be occupied by households with incomes no greater than 60% of AMI. Seventy-five percent of the units must be occupied by households with incomes no greater than 115% of the AMI. In CY 2011, THDA made \$14,600,000 authority available to local issuers.

I. THDA Homeownership Programs

THDA's Great Rate, Great Start, Great Advantage and New Start homeownership programs provide opportunities for low and moderate income persons to purchase their first home. Great Rate is the most basic homeownership program and offers a low interest rate loan secured by a first mortgage. Great Start provides four percent of the purchase price in down payment or closing cost assistance in exchange for a slightly higher interest rate. Great Advantage offers a low interest rate set at one-half (1/2) of a percentage point above Great Rate and borrowers receive two percent of the purchase price to be used for down payment and/or closing costs. The New Start zero percent Mortgage Loan Program is delivered through non-profit organizations that have established programs for the construction of single family housing for low and very low income households. It is designed to promote single family construction for very low income families. All four programs include limitations on eligibility based on household income and acquisition costs. Another THDA Homeownership Program is the Preserve Loan Program, which is an affordable-cost loan program developed by THDA to help low and moderate income homeowners make necessary home repairs. This program offers a four percent interest rate on home repair loans.

THDA is not a direct lender to borrowers, but works with approximately 90 approved mortgage lenders across the state to originate the loans. THDA either provides funds to approved mortgage lenders to close pre-approved THDA loans, or purchases pre-approved loans from the lenders after the loans are closed. During the reporting period, THDA funded a total of \$236,014,517 worth of mortgage loans.

J. BUILD Loan Program

In November 2005, THDA initiated the BUILD Loan Program to build the capacity of nonprofit organizations to provide affordable housing to low income Tennesseans. The approximately \$5 million loan program supports the production of affordable housing by providing low interest, short-term loans to eligible nonprofit organizations. BUILD loan funds may be used for new construction or rehabilitation of units for homeownership or rental housing, land acquisition, pre-development activities, and site preparation. During FY 2011-12, \$100,000 was made available by THDA for an affordable housing development.

K. Community Investment Tax Credit

THDA administers the Community Investment Tax Credit (CITC) in cooperation with the Tennessee Department of Revenue. CITC allows financial institutions to obtain a credit against the sum total of

taxes imposed by the Franchise and Excise Tax Laws when qualified loans, investments, grants or contributions are extended to eligible housing entities for engaging in eligible low income housing activities. Eligible housing entities include Tennessee based non-profit organizations, public housing authorities and development districts. Eligible activities under CITC include activities that create or preserve affordable housing for low income Tennesseans, activities that assist low income Tennesseans in obtaining safe and affordable housing, activities that build the capacity of an eligible non-profit organization to provide housing opportunities for low income Tennesseans and any other low income housing related activity approved by the THDA Executive Director and the Commissioner of the Tennessee Department of Revenue. The amount of the credit is applied one time and is based on the total amount of the loan, investment, grant or contribution; or the credit may be applied annually for qualified loans and qualified low rate loans and are based on the unpaid principal balance of the loan. During FY 2011-12, THDA and the Tennessee Department of Revenue, awarded credits through CITC for 36 different affordable housing projects with a total investment amount of \$30,710,000.

L. Emergency Repair Program

In January 2007, THDA created the \$2 million statewide Emergency Repair Program (ERP). The program provides grants to low income homeowners who are 60 years old or older to correct, repair or replace an essential system and/or critical structural problem. The purpose of the program is to stabilize the elderly homeowner's residence by making rapid, essential repairs to make the home livable. In FY 2011-12, the ERP program was administered through Tennessee's nine Development Districts. THDA provided \$1,769,418, including \$163,602 available for administrative costs. The total funds available during the reporting period, with the required match by the Development Districts, was \$3,169,280.

M. Rural Repair Program

Tennessee Housing Development Agency partners with the Rural Housing Service (RHS) division of the US Department of Agriculture (USDA) for its Rural Repair Program. The program offers grants and loans to assist rural, low income homeowners in need of repairs that will remove health or safety hazards or will help improve accessibility for a homeowner with a disability. The THDA grant is restricted to 50% of the RHS approved repair costs and cannot exceed \$7,500 per household for elderly or disabled homeowners or \$5,000 per household for non-elderly, non-disabled households. Households can receive a loan and a grant for a maximum of \$27,500 for their home. The THDA portion of the program offers grants only. During Fiscal Year 2011-12, THDA provided \$754,653 to the program.

Summary

During FY 2011-12, the State of Tennessee had over \$510 million available to assist its low and moderate income citizens with housing and community development. Federal assistance through the four formula grant programs covered by the 5-year Consolidated Plan totaled over \$43 million. Other resources made available for housing and community development amounted to over \$467 million. The following sections of this report will demonstrate how these programs assisted low and moderate income Tennesseans during FY 2011-12 with the available resources.

Table 1
Summary of Resources Made Available During FY 2011-12
All Programs

Program	Funds Made Available
<i>Consolidated Plan Grant Programs:</i>	
Community Development Block Grant (CDBG)	\$24,449,841
HOME Investment Partnership (HOME)	\$15,406,004
Emergency Shelter/Solutions Grant (ESG)	\$2,398,189
Housing Opportunities for Persons with AIDS (HOPWA)	\$916,803
<i>Subtotal of Consolidated Plan Grant Programs Resources Available</i>	<i>\$43,170,837</i>
<i>Other Resources Made Available:</i>	
Section 8 Tenant Based Rental Assistance	\$34,772,593
Section 8 Project Based Rental Assistance	\$148,127,628
Low Income Housing Tax Credit	\$30,027,017
Multifamily Bond Authority	\$14,600,000
THDA Homeownership Programs	\$236,014,517
BUILD Loan Program	\$100,000
Emergency Repair Program	\$3,169,280
Rural Repair Program	\$754,653
<i>Subtotal of Other Resources Made Available</i>	<i>\$467,565,688</i>
Grand Total	\$510,736,525

II. INVESTMENT OF AVAILABLE RESOURCES

C) HUD Resources Made Available Under the Consolidated Planning Programs

A. Community Development Block Grant (CDBG) Small Cities Program

During the reporting period, 91 awards were contracted to new subrecipients or city and county governments, totaling \$18,512,709 through the CDBG Program. At the time of this report, the total awards, including those without a final contract totaled \$23,955,506.

The activities proposed by subrecipients with contracts are summarized in the following table. Each number in the frequency column represents a local government recipient carrying out the described activity. Each local government may carry out multiple activities. More detailed information is contained in the PER (Appendix A). The CDBG program allows for contracts between ECD and local governments to vary in term. Many contracts continue into subsequent fiscal years. As was the case in previous years, the largest portion of funds awarded (81%) was designated for public facilities improvements.

**Table 2
Awards by Activity Type
CDBG Program**

Activity	CDBG Activity Number	Frequency	Amount	Percent of Total Funds
Clearance	2, 6	8	\$1,586,621	9%
Relocation	8	1	\$225,500	1%
Administration, Planning and Management	13, 13 (P)	44	\$1,191,430	6%
Public Facilities, Water/Sewer	4A, 4B	36	\$15,080,158	81%
Residential Rehabilitation	9A	2	\$429,500	2%
Total	-	91	\$18,512,709	100%

B. HOME Investment Partnership (HOME)

During FY 2011-12, the HOME Program was awarded \$15,406,004. The State allocated an additional \$1,367,052 in program income and recaptured funds. The total resources available for the HOME Program in FY 2011-12 was \$16,733,056 and was made available to 45 eligible applicants.

The State may spend up to 10 percent of its allocation for administrative and planning expenses. The State uses three percent of the total funds available for its own administrative expenses and the remaining seven percent is available to pay the administrative costs of the local government and non-profit grant recipients. Fifteen percent of the total allocation is reserved for eligible Community Housing Development Organizations (CHDOs) and 10 percent of the total allocation is reserved for Special Needs projects. In FY 2011-12, the balance of the State's HOME allocation was allocated regionally, based upon the percentage of low-income households outside of the local PJs. The 45 subrecipients of FY 2011-12 HOME funds proposed to preserve or provide 329 affordable housing units. Homeowner rehabilitation was the most frequently proposed activity. The below table provides a breakdown of the proposed activities and the amount of program funds going towards those activities.

**Table 3
Proposed Activities
HOME Program**

Eligible Activity	Number of Units	Program Funds Available
Homeownership Down Payment Assistance	34	\$697,500
Homeowner Rehabilitation	233	\$472,500
Homeowner New Construction	9	\$10,778,406
Rental Acquisition and Rehabilitation	19	\$1,338,095
Rental New Construction	10	\$939,598
Rental Rehabilitation	24	\$510,516
Total	329	\$14,736,615

C. Emergency Shelter Grant/Emergency Solutions Grant (ESG)

During FY 2011-12, \$2,398,189 was made available for the ESG Program. The first allocation for the Emergency Shelter Grant was \$1,534,841 and the second allocation of Emergency Solutions Grant was \$863,348.

Contracts between THDA and eligible ESG entities are for one-year terms and regularly coincide with the State’s fiscal year. The first allocation of ESG funds for 2011, were awarded to the following categories of funding: the ESG Competitive Round, Small Cities Set-Aside, the THDA Targeted Set-Aside, and the Chattanooga Set-Aside. The Small Cities Set-Aside uses a formula to allocate funds to the CDBG entitlement cities that do not receive ESG grants but are required to address homelessness. The THDA Targeted Set-Aside is ESG funding that is provided to the Tennessee Department of Mental Health and Substance Abuse Services (TDMHSAS) for essential services. THDA began allocating ESG funds to the City of Chattanooga through the Chattanooga Set-Aside following their loss of direct HUD funding for the ESG program. The remaining ESG funds are allocated to eligible applicants through a competitive grant review process.

Table 4
Funds Made Available
First Allocation of ESG Funds

Funding Category	First Allocation of 2011 ESG Funding
Small Cities Set-Aside	\$697,842
THDA Targeted Set-Aside (TDMHSAS)	\$100,000
Chattanooga Set-Aside	\$84,000
ESG Competitive Round	\$613,488
<i>Program Subtotal</i>	<i>\$1,495,330</i>
State Administration	\$39,511
Total for First Allocation of 2011 ESG Funding	\$1,534,841

As reported in the Substantial Amendment to the Consolidated Plan, \$1,054,784 of the available funds was used for Homeless Assistance, \$403,314.95 was used for Homelessness Prevention and \$76,742 was used for Administration. Out of the \$76,742 made available for administrative purposes, the State retained \$39,511.

The second allocation of funding for the 2011 Emergency Solutions Grant was awarded in August of 2012, following approval of the Substantial Amendment to the Consolidated Plan. The State will use the \$863,348 allocation as follows: \$152,045.16 for Homeless Management Information Systems (HMIS), \$304,090.32 for Rapid Re-housing, \$304,090.32 for Homelessness Prevention and \$103,122.20 for Administration. At the time of this report, the second allocation of 2011 Emergency Solutions Grant funds had not been awarded to sub-recipients.

D. Housing Opportunities for Persons with AIDS (HOPWA)

During FY 2011-12, the Tennessee Department of Health awarded \$916,803 to the seven project sponsors across the state, administering the HOPWA Program. Contracts between DOH and the project sponsors are for one-year terms and coincide with the State’s fiscal year (July 1 – June 30). DOH retains

three percent of the total allocation for state administrative costs. Grantees that were awarded funding during the reporting period and the State’s administrative costs are shown in the table below.

**Table 5
Distribution of Funds
HOPWA Program**

Project Sponsor	Funding Amount	Percent of Total Funding
Frontier Health	\$94,400	10%
East Tennessee Human Resource Agency	\$260,400	28%
Chattanooga Cares	\$208,800	23%
Upper Cumberland Human Resource Agency	\$65,500	7%
Nashville Cares	\$47,700	5%
Columbia Cares	\$83,600	9%
West Tennessee Legal Services	\$129,700	14%
<i>Program Subtotal</i>	<i>\$889,300</i>	<i>97%</i>
State Administration	\$27,503	3%
Total	\$916,803	100%

D) Other Resources Made Available

E. HUD Section 8 Tenant Based Housing Choice Voucher Program

The THDA Rental Assistance Division administers the Section 8 Tenant Based Housing Choice Voucher program through nine field offices throughout the state and provides services to the families participating in the program. In FY 2011-12, the Rental Assistance Division had \$34,772,593 available for Section 8 Housing Choice Voucher Program.

F. HUD Section 8 Project Based Rental Assistance

The THDA Contract Administration Division administers Project Based units throughout the state. During FY 2011-12, the Housing Assistance Payments (HAP) totaled \$148,127,628.

G. Low Income Housing Tax Credit Program

The State of Tennessee used its tax credit authority in the amount of \$30,027,017 during the reporting period. The total includes \$17,458,107 worth of 2011 competitive allocations, \$1,068,910 in non-competitive allocations and \$11,500,000 worth of the 2012 tax credits, which were forward committed during the reporting period. The State of Tennessee used its tax credit authority to make available tax credits for non-profit and for-profit developers of affordable housing.

H. Multifamily Bond Authority

THDA allocates a maximum of \$11,500,000 of tax-exempt bond authority to a development involving new construction and \$17,250,000 for developments involving conversion and/or acquisition. Points are awarded to applications demonstrating that developments address certain conditions – meeting

housing needs, meeting energy/maintenance standards, serving special populations and increasing housing stock. In Calendar Year 2011, a total of \$14,600,000 was allocated.

I. THDA Homeownership Programs

During the reporting period, there were 2,201 loans made through the THDA homeownership programs, totaling \$236,014,517. Loans are available to first-time homebuyers for their primary residence only and limits on household income and acquisition price varies by county. The basic homeownership program is known as Great Rate and offers a low interest rate loan secured by a first mortgage. The Great Start program offers borrowers an amount equal to four percent of the purchase price for down payment and closing costs, with a higher interest rate applied to the loan. Great Advantage offers a rate set at one half (1/2) of a percentage point above Great Rate and two percent of the purchase price to be used for down payment and/or closing costs. The New Start program, delivered through non-profit organizations, promotes construction of new homes for very low and low income Tennesseans. The Preserve Loan program offers a four percent interest rate and was developed by THDA to help low and moderate income homeowners make necessary home repairs. There was one Preserve Loan made during the reporting period. The table below shows the types and number of loans made during FY 2011-12.

Table 6
Single Family Loans
THDA Homeownership Programs

Program	Number of Loans	Percent of All Loans	Average Loan	Total Dollars
Great Start	1881	85.5%	\$109,617	\$206,189,104
Great Advantage	39	1.8%	\$117,079	\$4,566,076
Great Rate	160	7.3%	\$95,666	\$15,306,602
New Start	120	5.5%	\$81,273	\$9,752,735
Preserve	1	0.5%	n/a	\$200,000
Total	2,201	100%	\$107,231	\$236,014,517

J. BUILD Loan Program

In November 2005, THDA initiated the BUILD Loan Program to build the capacity of nonprofit organizations to provide affordable housing to low income Tennesseans. The approximately \$5 million loan program supports the production of affordable housing by providing low interest, short term loans to eligible nonprofit organizations. BUILD loan funds may be used for new construction or rehabilitation of units for homeownership or rental housing, land acquisition, pre-development activities, and site preparation. During the reporting period, THDA made \$100,000 available to the Rutherford County Habitat for Humanity for development costs. The project will offer new construction to families with an income at or below 80% of the area median income.

K. Community Investment Tax Credit

THDA administers the Community Investment Tax Credit (CITC) in cooperation with the Tennessee Department of Revenue. CITC allows financial institutions to obtain a credit against the sum total of

taxes imposed by the Franchise and Excise Tax Laws when qualified loans, investments, grants or contributions are extended to eligible housing entities for engaging in eligible low income housing activities. The amount of the credit is applied one time and is based on the total amount of the loan, investment, grant or contribution; or the credit may be applied annually for qualified loans and qualified low rate loans and are based on the unpaid principal balance of the loan. During FY 2011-12, THDA and the Tennessee Department of Revenue, awarded credits through CITC for 36 different affordable housing projects with a total investment amount of \$30,710,000.

L. Emergency Repair Program

During the reporting period, the nine Development Districts that administer the Emergency Repair Program spent a total of \$3,169,280 to correct, repair or replace an essential system and/or critical structural problem for low income and elderly homeowners. Of this total, \$1,769,418 was provided by THDA, including \$163,602 for administrative costs. The nine Development Districts provided \$1,236,260 in match funds for the program. The table below shows the distribution of eligible activity type across Tennessee’s three Grand Divisions. Units receiving ERP funds are eligible for more than one activity.

Table 7
Repair Activities by Grand Division
Emergency Repair Program

Activity Type	East	Middle	West	Total
Roofing	19%	15%	8%	42%
Plumbing	8%	8%	9%	25%
Electrical	3%	4%	8%	15%
HVAC	5%	15%	9%	29%
Other	24%	34%	20%	78%

M. Rural Repair

Tennessee Housing Development Agency partners with the Rural Housing Service (RHS) division of the US Department of Agriculture (USDA) for its Rural Repair Program. The program offers grants and loans to assist rural, low income homeowners in need of repairs that will remove health or safety hazards or will help improve accessibility for a homeowner with a disability. The THDA grant is restricted to 50% of the RHS approved repair costs and cannot exceed \$7,500 per household for elderly or disabled homeowners or \$5,000 per household for non-elderly, non-disabled households. Households can receive a loan and a grant for a maximum of \$27,500 for their home. The THDA portion of the program offers grants only. During FY 2011-12, THDA provided \$754,653 to the program, contributing to 163 grants/loans.

Summary

The State of Tennessee expended a total of \$511,569,242 for community development and affordable housing programs during FY 2011-12. Of this total, \$44,003,554 was federal funds provided by HUD through the consolidated planning process. An additional \$467,565,688 was made available through other affordable housing programs.

Table 8
Summary of Investments, FY 2011-12
All Programs

Program	Funds Awarded/Granted/Loaned
<i>Consolidated Plan Grant Programs:</i>	
Community Development Block Grant (CDBG)	\$23,955,506
HOME Investment Partnership (HOME)	\$16,733,056
Emergency Solutions Grant (ESG)	\$2,398,189
Housing Opportunities for Persons with AIDS (HOPWA)	\$916,803
<i>Subtotal of HUD Resources Available</i>	<i>\$44,003,554</i>
<i>Other Resources Made Available:</i>	
Section 8 Tenant Based Rental Assistance	\$34,772,593
Section 8 Project Based Rental Assistance	\$148,127,628
Low Income Housing Tax Credit	\$30,027,017
Multifamily Bond Authority	\$14,600,000
THDA Homeownership Programs	\$236,014,517
BUILD Loan Program	\$100,000
Emergency Repair Program	\$3,169,280
Rural Repair	\$754,653
<i>Subtotal of Other Resources Made Available</i>	<i>\$467,565,688</i>
Grand Total	\$511,569,242

III. GEOGRAPHIC DISTRIBUTION AND LOCATION OF INVESTMENTS

E) HUD Resources Made Available Under the Consolidated Planning Programs

A. Community Development Block Grant (CDBG) Small Cities Program

Each year, ECD prepares the State's Performance and Evaluation (PER) for the CDBG Program (Appendix A). The following table, derived from information contained in the PER, summarizes the location of CDBG subrecipients and their funding amounts by Grand Division. Of the total funding awarded, 34% was awarded in East Tennessee, 43% in Middle Tennessee and 20% in West Tennessee. An expanded version of this table is presented in Appendix A.

Table 9
Funding by Grand Division
CDBG Program

Grand Division	Number of Grantees	Total Funds	Percent of Total Funds
East	21	\$8,039,903	34%
Middle	25	\$10,398,844	43%
West	13	\$5,516,759	23%
Total	59	\$23,955,506	100%

B. HOME Investment Partnership

During the reporting period, THDA awarded HOME funds to 45 grantees proposing to construct or improve 329 affordable housing units across Tennessee’s three grand divisions. The majority of grantees and funds were made available to Middle Tennessee, followed by East and West Tennessee. Funds were also made available across the three funding categories (CHDO, Special Needs and Regional). Only Middle Tennessee received funds for the Special Needs category.

Table 10
Distribution of Funds by Grand Division
HOME Program

Grand Division	Funding Category	Number of Grantees	Total Awards
East	CHDO	3	\$1,220,369
	Special Needs	0	-
	Regional	14	\$4,554,826
	<i>East Division Total</i>	<i>17</i>	<i>\$5,775,195</i>
Middle	CHDO	2	\$1,000,000
	Special Needs	2	\$656,249
	Regional	16	\$5,685,558
	<i>Middle Division Total</i>	<i>20</i>	<i>\$7,341,807</i>
West	CHDO	1	\$500,000
	Special Needs	0	-
	Regional	7	\$2,146,059
	<i>West Division Total</i>	<i>9</i>	<i>\$2,646,059</i>
Total	All Grand Divisions	45	\$15,763,061

As previously discussed, HOME funds were made available across the three funding categories (CHDO, Special Needs and Regional). The Regional allocation for FY 2011-12 was \$12,386,443. The total award to CHDO’s was \$2,720,369 and the total award to Special Needs was \$656,249. The table below shows the funds made available to each category and the type of eligible activities proposed within the three funding categories.

Table 11
Funding Categories and Proposed Activities
HOME Program

Funding Category	Activities Proposed	Total Award
CHDO	Homeowner and Rental New Construction, Rental Acquisition and Rehabilitation	\$2,720,369
Special Needs	Rental Rehabilitation, Rental Acquisition and Rehabilitation	\$656,249
Regional	Down Payment Assistance, Homeowner and Rental Rehabilitation	\$12,386,443
Total	329 Units	\$15,763,061

C. Emergency Shelter Grant/Emergency Solutions Grant (ESG)

At the time of this report, only contracts using the first allocation of Emergency Shelter Grant funds had been awarded. Out of the total contacts awarded with the first allocation of ESG funds, 15 were in East Tennessee, nine in Middle Tennessee and nine in West Tennessee. The 33 grantees include those in the Competitive, Small Cities Set-Aside, Chattanooga Set-Aside and THDA Set-Aside funding categories. East Tennessee received 47% of the funds available. Middle Tennessee received 29% and West Tennessee 25% of the funds available from the first allocation of ESG funds. The grant recipients and their grand division, funding category and total award amount are given in the following table. The grand total does not include ESG funds (\$76,742) retained for the State's administrative purposes.

Table 12
Subrecipients and Funds by Grand Division
First Allocation of ESG Funds

Emergency Shelter Grant Grantee	Grand Division	Funding Category	Total Award
Good Samaritan Ministries	East	Competitive	\$47,877
Interfaith Hospitality of JC	East	Competitive	\$46,680
Johnson County Safe Haven	East	Competitive	\$37,504
Keystone Dental	East	Competitive	\$16,526
Scott County Homeless Shelter	East	Competitive	\$59,846
AIM Center, Inc.	East	THDA Set-Aside	\$14,286
Frontier Health	East	THDA Set-Aside	\$14,286
Ridgeview Psychiatric	East	THDA Set-Aside	\$14,286
Bristol	East	Small Cities	\$40,485
Cleveland	East	Small Cities	\$68,504
Johnson City	East	Small Cities	\$90,421
Kingsport	East	Small Cities	\$81,428
Morristown	East	Small Cities	\$47,183
Oak Ridge	East	Small Cities	\$34,367
Chattanooga	East	Chattanooga	\$84,000
East Division Total	East	All	\$697,679
Buffalo Valley	Middle	Competitive	\$59,846
Dismas House of the Upper Cumberland	Middle	Competitive	\$33,913
Families in Crisis	Middle	Competitive	\$31,918
Good Neighbor Mission	Middle	Competitive	\$39,195
Centerstone CMHC	Middle	THDA Set-Aside	\$14,285
Park Center	Middle	THDA Set-Aside	\$14,286
Clarksville	Middle	Small Cities	\$91,874
Franklin	Middle	Small Cities	\$34,275
Murfreesboro	Middle	Small Cities	\$111,482
Middle Division Total	Middle	All	\$431,074
Area Relief Ministries	West	Competitive	\$34,312
Damascus Road	West	Competitive	\$59,846
HOPE Ministries	West	Competitive	\$27,928
Matthew 25:40	West	Competitive	\$39,898
Northwest Safeline	West	Competitive	\$27,928
Wo/Men's Resource and Rape Assistance Program	West	Competitive	\$50,271
BHI, Inc.	West	THDA Set-Aside	\$14,285
Carey Counseling	West	THDA Set-Aside	\$14,286
Jackson	West	THDA Set-Aside	\$97,823
West Division Total	West	All	\$366,577
Program Dollar Total	All Divisions	All Funding	\$1,495,330

D. Housing Opportunities for Persons with AIDS (HOPWA)

The Tennessee Department of Health provided \$889,300 to seven project sponsors covering 77 of Tennessee's 95 counties. The majority of funds (63%) went to East Tennessee, followed by Middle Tennessee with 22% of the funds and West Tennessee with 15% of the funds. The table below shows the distribution of HOPWA program funds across Tennessee's three Grand Divisions.

Table 13
Funds Awarded by Grand Division
HOPWA Program

Project Sponsor	Grand Division	Funds Awarded
Frontier Health	East	\$94,400
East Tennessee HRA	East	\$260,400
Chattanooga Cares	East	\$208,000
East Tennessee Total	East	\$562,800
Upper Cumberland	Middle	\$65,500
Nashville Cares	Middle	\$47,700
Columbia Cares	Middle	\$83,600
Middle Tennessee Total	Middle	\$196,800
West Tennessee Legal Services	West	\$129,700
West Tennessee Total	West	\$129,700
Total	All Grand Divisions	\$889,300

F) Other Resources Made Available

E. HUD Section 8 Tenant Based Housing Choice Voucher Program

During the reporting period, \$34,772,593 was made available for the Section 8 Tenant Based Housing Choice Voucher program. The table below shows the breakdown of Section 8 Tenant Based funds available by Grand Division

Table 14
Funds by Grand Division
Section 8 Tenant Based Housing Choice Voucher Program

Grand Division	Funds Available	Percent of Total Funds Available
East Tennessee	\$3,085,134.44	9%
Middle Tennessee	\$24,071,595.83	69%
West Tennessee	\$7,615,863.07	22%
Total	\$34,772,593.34	100%

F. HUD Section 8 Project Based Rental Assistance

THDA's Contract Administration Division has responsibility for the administration of Section 8 Project Based contracts throughout the state. At the end of FY 2011-12, the Division reported 29,771 units

under contract with 37% in East Tennessee, 32% in Middle Tennessee and 31% in West Tennessee. The table below shows how many units are located in each Grand Division.

Table 15
Contract Units by Grand Division
Section 8 Project Based Rental Assistance

East Tennessee	Contract Units
Anderson	553
Bledsoe	119
Blount	357
Bradley	513
Campbell	285
Carter	315
Claiborne	54
Cocke	144
Cumberland	66
Grainger	24
Greene	284
Hamblen	261
Hamilton	1,473
Hancock	50
Hawkins	119
Jefferson	45
Johnson	123
Knox	3,100
Loudon	249
Marion	60
McMinn	306
Meigs	24
Monroe	138
Morgan	54
Polk	24
Roane	349
Scott	38
Sevier	94
Sullivan	990
Unicoi	87
Washington	838
East Total	11,136

Middle Tennessee	Contract Units
Bedford	108
Coffee	413
Davidson	5,188
DeKalb	71
Dickson	125
Fentress	24
Franklin	152
Giles	181
Grundy	30
Hickman	75
Humphreys	99
Jackson	24
Lewis	36
Lincoln	53
Marshall	203
Mauzy	155
Montgomery	334
Overton	50
Perry	24
Pickett	24
Putnam	160
Robertson	99
Rutherford	871
Sequatchie	50
Stewart	17
Sumner	429
Van Buren	25
Warren	246
White	48
Williamson	50
Wilson	125
Middle Total	9,489

West Tennessee	Contract Units
Benton	60
Carroll	39
Chester	195
Crockett	24
Decatur	50
Dyer	302
Fayette	216
Gibson	233
Hardeman	47
Hardin	50
Haywood	49
Henderson	110
Henry	242
Lake	179
Lauderdale	145
Madison	512
McNairy	105
Obion	324
Shelby	5,993
Tipton	235
Weakley	36
West Total	9,146

Summary of Units by Grand Division

Division	Units
East TN	11,136
Middle TN	9,489
West TN	9,146
Total	29,771

G. Low Income Housing Tax Credit

Low Income Housing Tax Credits are allocated on a calendar year basis. Including the 2011 allocation and the 2012 forward commitments, 22 Tennessee counties received tax credits, creating 3,163 units of affordable housing. Geographically, allocations were made in ten Eastern Tennessee counties, utilizing 38% of the total tax credits made available. In Middle Tennessee, allocations were made in nine counties, utilizing 38% of the tax credits made available and in West Tennessee, allocations were made in three counties, utilizing 24% of the total tax credits made available. The grand divisions, counties, number of affordable housing units and 2011 allocation or 2012 forward commitments are represented in the following table.

Table 16
2011 Allocations and 2012 Forward Commitments
Low Income Housing Tax Credit (LIHTC) Program

Grand Division & Calendar Year	County	Units	Allocation/Forward Commitment
East 2011	Blount	90	\$806,313
	Carter	42	\$778,104
	Greene	50	\$810,459
	Hamilton	48	\$313,953
	Marshall	32	\$179,906
	Sullivan	72	\$830,718
	Washington	147	\$1,794,451
	<i>Eastern Division Subtotal</i>	<i>481</i>	<i>\$5,513,904</i>
Middle 2011	Davidson	150	\$2,064,140
	Montgomery	100	\$1,087,179.00
	Overton	41	\$368,790.00
	Rutherford	280	\$2,812,891
	Van Buren	32	\$288,328.00
	Williamson	48	\$769,118.00
	<i>Middle Division Subtotal</i>	<i>651</i>	<i>\$7,390,446</i>
West 2011	Benton	91	\$1,071,806
	Haywood	60	\$1,090,539
	Shelby	562	\$3,460,322
	<i>West Division Subtotal</i>	<i>713</i>	<i>\$5,622,667</i>
	2011 Subtotal	1,845	\$18,527,017
East 2012	Hamilton	88	\$1,030,000.00
	Hardin	48	\$692,425.00
	Marshall	52	\$705,866.00
	Roane	72	\$808,811.00
	Sevier	152	\$1,649,824
	Sullivan	153	\$1,024,774
	<i>Eastern Division Subtotal</i>	<i>565</i>	<i>\$5,911,700</i>
Middle 2012	Davidson	337	\$2,039,832
	Dickson	48	\$659,365.00
	McMinn	90	\$630,548.00
	Montgomery	80	\$824,392.00
	<i>Middle Division Subtotal</i>	<i>555</i>	<i>\$4,154,137</i>
West 2012	Shelby	198	\$1,434,163
	<i>West Subtotal</i>	<i>198</i>	<i>\$,434,163</i>
	2012 Subtotal	1,318	\$11,500,000
<i>2011-2012 East</i>	<i>East Subtotal</i>	<i>1,046</i>	<i>\$11,425,604</i>
<i>2011-2012 Middle</i>	<i>Middle Subtotal</i>	<i>1,026</i>	<i>\$11,544,583</i>
<i>2011-2012 West</i>	<i>West Subtotal</i>	<i>911</i>	<i>\$7,056,830</i>
Grant Total		3,163	\$30,027,017

H. Multifamily Bond Authority

In CY 2011, tax-exempt bond authority was allocated to provide permanent financing for three developments in Shelby County, which will result in 354 units of affordable housing. The allocation for the three developments totals \$14,600,000. While the actual allocation is not reflected in Table 16, the 354 units are represented, as they also received a LIHTC allocation.

I. THDA Homeownership Programs

Homeownership loans were made in 79 of Tennessee's 95 counties. The greatest number of loans was made in Middle Tennessee, followed by East Tennessee and West Tennessee. The breakdown of loans by Grand Division is show in Table 17.

Table 17
Homeownership Loans by Grand Division
THDA Homeownership Programs

Grand Division	Number of Loans	Percent of All Loans	Total Dollar Value of Loans
East	537	24.4%	\$51,037,025
Middle	1,250	56.8%	\$144,448,948
West	414	18.8%	\$40,528,544
Total	2,201	100%	\$236,014,517

J. BUILD Loan Program

The THDA BUILD Loan Program is meant to build the capacity of nonprofit organizations to provide affordable housing to low income Tennesseans. The loan program supports the production of affordable housing by providing low interest, short term loans to eligible nonprofit organizations. BUILD loan funds may be used for new construction or rehabilitation of units for homeownership or rental housing, land acquisition, pre-development activities, and site preparation. During the reporting period, THDA provided \$100,000 to Rutherford County for development costs. Rutherford County is located in Middle Tennessee.

K. Community Investment Tax Credit

THDA administers the Community Investment Tax Credit (CITC) in cooperation with the Tennessee Department of Revenue. CITC allows financial institutions to obtain a credit against the sum total of taxes imposed by the Franchise and Excise Tax Laws when qualified loans, investments, grants or contributions are extended to eligible housing entities for engaging in eligible low income housing activities. During FY 2011-12, THDA and the Tennessee Department of Revenue, awarded credits through CITC for 36 different affordable housing projects with a total investment amount of \$30,710,000. Out of the 36 projects, 20 were in Middle Tennessee, 13 in East Tennessee and three in West Tennessee.

L. Emergency Repair Program

During the reporting period, the nine Development Districts that administer the Emergency Repair Program spent a total of \$3,169,280 to correct, repair or replace an essential system and/or critical structural problem for low income, elderly homeowners. Of this total, \$1,769,418 was provided by THDA and \$1,236,260 in match funds was provided by the Development Districts. An additional \$163,602 was provided to the Development Districts by THDA for administration of ERP. Middle Tennessee received 41% of the total funds, followed by East Tennessee with 34% and West Tennessee with 25%.

Table 18
Program Funds by Grand Division
Emergency Repair Program

East Tennessee	Funds Expended
Anderson	\$26,957
Bledsoe	\$18,492
Bradley	\$148,923
Campbell	\$23,721
Carter	\$32,903
Claiborne	\$24,434
Cumberland	\$94,668
Grainger	\$24,125
Greene	\$81,939
Hamblen	\$49,289
Hamilton	\$108,017
Hancock	\$5,847
Hawkins	\$7,040
Jefferson	\$43,231
Johnson	\$7,253
Loudon	\$42,189
Marion	\$17,322
McMinn	\$40,191
Morgan	\$27,173
Polk	\$12,315
Roane	\$21,601
Scott	\$19,777
Sullivan	\$51,668
Unicoi	\$24,226
Union	\$6,518
Washington	\$103,339
East Total	\$1,063,158

Middle Tennessee	Funds Expended
Bedford	\$9,877
Clay	\$155,471
Coffee	\$51,588
Davidson	\$16,320
DeKalb	\$34,639
Dickson	\$25,502
Fentress	\$68,722
Franklin	\$7,104
Giles	\$16,637
Grundy	\$14,700
Hickman	\$43,342
Jackson	\$37,567
Lawrence	\$31,460
Lewis	\$16,100
Lincoln	\$5,996
Macon	\$18,061
Marshall	\$54,722
Maury	\$172,201
Montgomery	\$39,971
Overton	\$33,485
Perry	\$28,200
Pickett	\$12,701
Putnam	\$180,056
Robertson	\$2,741
Rutherford	\$28,508
Smith	\$13,760
Sumner	\$5,067
Trousdale	\$12,800
Van Buren	\$7,515
Warren	\$92,625
Wayne	\$1,100
White	\$60,114
Williamson	\$14,624
Middle Total	\$1,313,276

West Tennessee	Funds Expended
Benton	\$53,199
Carroll	\$59,890
Chester	\$16,116
Crockett	\$20,570
Decatur	\$33,744
Dyer	\$30,894
Fayette	\$43,806
Gibson	\$69,062
Hardeman	\$6,549
Hardin	\$32,935
Henderson	\$45,408
Henry	\$59,231
Lauderdale	\$39,274
Madison	\$16,111
Obion	\$17,640
Shelby	\$167,924
Tipton	\$62,559
Weakley	\$17,933
West Total	\$792,845

**Summary of Units
By Grand Division**

Division	Funds
East TN	\$1,063,158
Middle TN	\$1,313,276
West TN	\$792,845
Total	\$3,169,279

M. Rural Repair

The THDA Rural Repair Program is a partnership with the Rural Housing Service (RHS) of the US Department of Agriculture (USDA) to provide grants and loans for the repair of homes of low income

persons. The following table presents THDA's contributions by county and Grand Division during FY 2011-12.

Table 19
THDA Contribution by County and Grand Division
Rural Repair Program

East Tennessee Counties	Loans Made	Total Funds
Bledsoe	1	\$3,185
Bradley	2	\$9,959
Campbell	10	\$68,004
Carter	3	\$12,647
Claiborne	3	\$18,740
Cumberland	2	\$9,012
Greene	7	\$32,941
Hamblen	2	\$7,200
Hamilton	5	\$13,010
Hancock	2	\$7,331
Hawkins	3	\$12,191
Johnson	1	\$3,000
Knox	1	\$7,500
Marion	11	\$52,805
McMinn	6	\$26,619
Morgan	1	\$7,500
Sullivan	3	\$10,548
Unicoi	2	\$10,291
Union	2	\$8,497
Washington	4	\$16,745
East Total	73	\$353,157

Middle Tennessee Counties	Loans Made	Total Funds
Cannon	1	\$5,220
Cheatham	1	\$1,754
DeKalb	3	\$18,150
Dickson	3	\$7,235
Franklin	1	\$3,923
Grundy	9	\$47,824
Humphreys	1	\$4,462
Jackson	2	\$3,257
Lincoln	2	\$7,701
Macon	4	\$16,803
Montgomery	2	\$7,525
Pickett	3	\$21,765
Putnam	1	\$5,025
Robertson	1	\$5,053
Warren	3	\$14,175
White	1	\$3,750
Wilson	1	\$4,187
Middle Total	39	\$177,808

West Tennessee Counties	Loans Made	Total funds
Benton	1	\$1,945
Carroll	3	\$14,431
Chester	2	\$7,795
Dyer	4	\$19,898
Fayette	4	\$17,981
Gibson	7	\$29,930
Hardeman	1	\$1,966
Haywood	7	\$25,288
Henderson	1	\$6,113
Henry	4	\$17,531
Lake	1	\$5,000
Lauderdale	7	\$31,823
Madison	2	\$11,216
Obion	1	\$6,228
Tipton	3	\$14,632
Weakley	3	\$11,912
West Total	51	\$223,688

Summary by Grand Division		
East	73	\$353,157
Middle	39	\$177,808
West	51	\$223,688
Total	163	\$754,653

Summary

During FY 2011-12, Middle Tennessee received the overall largest portion of funds, due in large part to the Section 8 Rental Assistance and THDA Homeownership Programs. In regards to the four Consolidated Plan Grant Programs, Middle Tennessee received 44% of the funds, East Tennessee 36% of the funds and West Tennessee 21% of the total funds available. The geographic break-outs of the Housing Assistance Payments for the Section 8 Contract Administration Program were not available at the time of this report.

Table 20
Summary of Geographic Distribution
All Programs

Program	East	Middle	West	Total
<i>Consolidated Plan Grant Programs:</i>				
Community Development Block Grant	\$8,039,903	\$10,398,844	\$5,516,759	\$23,955,506
HOME Investment Partnership	\$5,775,195	\$7,341,807	\$2,646,059	\$15,763,061
Emergency Solutions Grant	\$697,679	\$431,074	\$366,577	\$1,495,330
HOPWA	\$562,800	\$196,800	\$129,700	\$889,300
Subtotal of HUD Resources Available	\$15,075,577	\$18,368,525	\$8,659,095	\$42,103,197
<i>Other Resources Made Available:</i>				
Section 8 Rental Assistance	\$3,085,134	\$24,071,596	\$7,615,863	\$34,772,593
Section 8 Contract Administration	Not available	Not available	Not available	\$148,127,628
Low Income Housing Tax Credit	\$11,425,604	\$11,544,583	\$7,056,830	\$30,027,017
Multifamily Bond Authority	\$0	\$0	\$14,600,000	\$14,600,000
THDA Homeownership Programs	\$51,037,025	\$144,448,948	\$40,528,544	\$236,014,517
BUILD Loan Program	\$0	\$100,000	\$0	\$100,000
Emergency Repair Program	\$1,063,158	\$1,313,276	\$792,845	\$3,169,279
Rural Repair	\$353,157	\$177,808	\$223,688	\$754,653
Subtotal of Other Resources Available	\$66,964,078	\$181,656,211	\$70,817,770	\$467,565,687
Grand Total	\$82,039,655	\$200,024,736	\$79,476,865	\$509,668,884

IV. FAMILIES AND PERSONS ASSISTED

G) HUD Resources Made Available Under the Consolidated Planning Programs

A. Community Development Block Grant (CDBG) Small Cities Program

The following table summarizes data from the 2012 PER Part III: Civil Rights, which reports the CDBG applicants and beneficiaries by race and gender (Appendix A). For the reporting period, the total beneficiaries for the reporting period are 250,277 persons. Of this total, 29,665 are minorities and 77 are female heads of household.

**Table 21
Program Demographics
CDBG Program**

Race	Total Served	Percent of Total Served
White	220,612	88.15%
Black/African American	23,508	9.39%
Asian	446	0.18%
American Indian/Alaskan Native	465	0.19%
Native Hawaiian/Pacific Islander	97	0.04%
American Indian/Alaskan Native and White	311	0.12%
Asian and White	562	0.22%
Black/African American and White	313	0.13%
American Indian/Alaskan Native & Black/African American	24	0.01%
Other Multi-Racial	3,939	1.57%
Total	250,277	100%

Information on the benefit to low and moderate income (LMI) persons is also reported in the PER. The following table presents a summary of the information derived from CDBG contract closeouts. An expanded table is presented in Appendix A. Based on the information, 2,613,821 persons are reported as beneficiaries. Of the total beneficiaries, 1,926,985 or 74% are low and moderate income persons.

**Table 22
Benefit to Low and Moderate Income Persons
CDBG Program**

Activities	Total Persons Assisted	Total LMI Persons Assisted	Percent of Persons Assisted who are LMI
Economic Development	969	555	57%
Housing	1,727	1,710	99%
Public Facilities	2,611,125	1,924,720	74%
Total	2,613,821	1,926,985	74%

B. HOME Investment Partnership

Beneficiary information is obtained for the HOME Program after a project completion report is entered into IDIS and a beneficiary report is obtained from HUD. This year's beneficiary report shows that 332 units were completed with HOME funds during FY 2011-12. The units completed during FY 2012-13 were assisted with HOME funds ranging from program years 2007 – 2010. East Tennessee assisted 162 units, followed by Middle Tennessee with 126 units and West Tennessee with 44 units.

Very low income households are those households whose annual income is below 50 percent or less of the area median income (AMI) for the county in which the household resides. Low income households are those households whose annual income is between 50 percent and 80 percent of the AMI for the county in which the household resides. Out of the 332 households assisted during the reporting period, 60 percent were considered very low income, 37 percent were considered low income and three percent of the units were missing beneficiary data at the time of this report. The income of beneficiaries, organized by Grand Division, is shown in the table below.

Table 23
Household Income of Beneficiaries
HOME Program

Percent of AMI	East Tennessee	Middle Tennessee	West Tennessee	Total	Percent of Total
Very Low 0 – 50%	100	79	21	200	60%
Low 51 – 80%	54	45	23	122	37%
Not Available	8	2	-	10	3%
Total	162	126	44	332	100%

A more detailed breakdown of HOME Beneficiaries receiving assistance during the reporting period by income and Grand Division is provided in the following table.

Table 24
Income Characteristics of Beneficiaries
HOME Program

Percent of AMI	East Tennessee	Middle Tennessee	West Tennessee	Total	Percent of Total
0 – 30 %	34	35	11	80	24%
31 – 50%	66	44	10	120	36%
51 – 60%	24	23	6	53	16%
61 – 80%	30	22	17	69	21%
Not Available	8	2	-	10	3%
Total	162	126	44	332	100%

Owner occupied units comprised the majority of HOME assisted units during the reporting period, followed by tenant occupied units. Approximately 81%, or 268 units, of the 332 HOME assisted units were owner occupied. Sixteen percent or 54 units were tenant occupied and 10 units were vacant at the time of this report.

Out of the 332 units assisted during the reporting period, 243 units, or 45%, were rehabilitation projects. Acquisition and new construction followed with 105 units, acquisition and rehabilitation with 80 units, new construction with 74 units and acquisition only with 33 units.

During the reporting period, 83% of HOME beneficiaries were white, nearly 14% were minorities and information was not available for 3 percent of those assisted. Seven of the total beneficiaries were Hispanic. The tables below describe race and ethnicity information.

**Table 25
Race/Ethnicity Characteristics of Beneficiaries
HOME Program**

Race	East Tennessee	Middle Tennessee	West Tennessee	Total	Percent of Total
White	135	114	28	277	83.4%
Black/African American	16	9	16	41	12.3%
American Indian/Alaskan Native	1	-	-	1	0.3%
Other/Multi-Racial	0	2	1	3	0.9%
Not Available	8	2	0	10	3%
Total	162	126	44	332	100%

Ethnicity	East Tennessee	Middle Tennessee	West Tennessee	Total	Percent of Total
Hispanic	4	2	1	7	2.1%
Non-Hispanic	158	124	43	325	79.9%
Total	162	126	44	332	100%

The size of families assisted with HOME funds during the reporting period ranged from one person to eight persons. Elderly heads of household were most frequently served, followed by single, non-elderly heads of household. A detailed table with the size and type of household is provided below.

**Table 26
Size and Type of Household
HOME Program**

Household Size	Single, Non-Elderly	Elderly	Related/Single Parent	Related/Two Parent	Other	Not Available	Total
1	57	56	-	-	-	-	113
2	11	36	20	4	15	-	86
3	10	3	16	15	6	-	50
4	6	6	10	15	2	-	39
5	3	2	4	8	2	-	19
6	1	-	-	6	-	-	7
7	1	1	1	1	-	-	4
8	1	1	-	2	-	-	4
N/A	-	-	-	-	-	10	10
Total	90	105	51	51	25	10	332

C. Emergency Shelter Grant/Emergency Solutions Grant (ESG)

Demographic information has been summarized in the following tables. More detailed demographic information regarding ESG beneficiaries served with the first allocation of 2011 ESG funds may be found in Appendix C of this document. East Tennessee served the most beneficiaries during the reporting period, followed by Middle and West Tennessee. Sixty-five percent of the total beneficiaries served were in East Tennessee, 18% were in Middle Tennessee and 17% in West Tennessee. White beneficiaries were served most frequently with ESG funds and comprised approximately 65% of the total clients served. Black/African American followed with 30% of the beneficiaries. The table below shows the number of individuals served by race for each Grand Division.

Table 27
Beneficiaries by Race
First Allocation of ESG Funds

Race	East Tennessee	Middle Tennessee	West Tennessee	Total
White	14,505	3,749	3,227	21,481
Black/African American	5,819	1,849	2,207	9,875
Black/African American & White	67	114	83	264
Native Hawaiian/Pacific Islander	12	15	8	35
Asian	57	28	25	110
Asian/White	7	11	0	18
American Indian/Alaskan Native	44	23	3	70
American Indian/Alaskan Native/White	3	0	0	3
American Indian/Alaskan Native/Black	670	2	0	672
Other/Multi Racial	417	156	77	650
Total	22,601	5,947	5,630	33,178

Of the total beneficiaries served with the first allocation of ESG funds, 55% were female and 42% were male. For three percent of the total beneficiaries, the gender was unknown. The table below shows the gender of beneficiaries by Grand Division.

Table 28
Beneficiaries by Gender
First Allocation of ESG Funds

Gender	East Tennessee	Middle Tennessee	West Tennessee	Total
Female	11,042	2,577	4,539	18,158
Male	9,976	2,891	1,091	13,958
Unknown	583	479	0	1062
Total	21,601	5,947	5,630	33,178

Nearly 87% of the total beneficiaries served with the first allocation of ESG funds earn between zero and 30 percent of the Area Median Income (AMI). Nine percent earned between 30 – 60% of the AMI and five percent earned between 60 – 80 percent of the AMI. For six percent of ESG beneficiaries, income

information was unavailable. The table below shows the income category of ESG beneficiaries by Grand Division. It is important to note that domestic violence victims are served regardless of income and are included in the total count of beneficiaries.

Table 29
Income of Beneficiaries
First Allocation of ESG Funds

Income	East Tennessee	Middle Tennessee	West Tennessee	Total
0 – 30% of Area Median Income (AMI)	20,257	3,892	2,874	27,023
30 – 60% of AMI	1,181	271	1,312	2,764
60 – 80% of AMI	140	187	1,104	1,431
Unavailable	23	1,597	340	1,960
Total	21,601	5,947	5,630	33,178

D. Housing Opportunities for Persons with AIDS (HOPWA)

During the reporting period, the HOPWA program reported activity for 560 individuals with HIV/AIDS and 422 affected family members as beneficiaries of HOPWA services. A total of 982 beneficiaries were served. Of those beneficiaries, 62% were male and 38% were female. All of HOPWA beneficiaries are low income. The race and ethnicity of HOPWA beneficiaries is presented below.

Table 30
Race and Ethnicity of Beneficiaries
HOPWA Program

Race	Number of Participants	Percent of Participants
White	619	63%
Black/African American	314	32%
Native Hawaiian/Pacific Islander	29	3%
Other/Multiracial	20	2%
Total	982	100%
Hispanic	8	1%

H) Other Resources Made Available

E. HUD Section 8 Tenant Based Housing Choice Voucher Program

THDA manages the Section 8 Tenant Based Rental Assistance Program. The following tables represent basic demographic data based on the 5,685 heads of household participating in the program. Out of the 5,865 households, 49% were families with dependents and 12% were elderly. Thirty-four percent of the households had a head of household who was non-elderly but had a disability. Information on income and race/ethnicity is presented below.

Table 31
Select Demographic Data
Section 8 Tenant Based Housing Choice Voucher Program

Annual Household Income	Number of Participant Households	Percent of Total Participant Households
\$0	238	4.2%
\$1 – \$5,000	1,009	17.8%
\$5,001 – \$10,000	2,003	35.2%
\$10,001 – \$15,000	1,214	21.4%
\$15,000 – \$20,000	716	12.6%
\$20,001 – \$25,000	323	5.7%
Above \$25,000	181	3.2%
Total	5,685	100%

Sources of Household Income*	Number of Participant Households	Percent of Total Participant Households
Any wages	81	1.42%
TANF	1,052	18.5%
SS/SSI	1,757	30.9%
Child Support	1,478	26.0%
Other Income	5,389	94.8%
*Household may have more than one source of income		

Race	Number of Participant Households	Percent of Total Participant Households
White	2,189	38.7%
Black/African American	3,468	61.0%
Other Race	18	0.3%
Total	5,685	100%

Ethnicity	Number of Participant Households	Percent of Total Participant Households
Hispanic	98	1.7%
Non-Hispanic	5,587	98.3%
Total	5,685	100%

F. HUD Section 8 Contract Administration Program

THDA also manages the Section 8 Project Based Rental Assistance program. The table below provides point in time demographic information regarding the tenants who occupy the Section 8 units.

Table 32
Tenant Characteristics
Section 8 Project Based Rental Assistance

Section 8 Project Based Participants	East	Middle	West	Total
<i>Income Category</i>				
0% – 30% of area median income (AMI)	34.1%	29.2%	28.3%	91.6%
31% – 50% of AMI	2.8%	2.3%	2.0%	7.1%
51% – 80% of AMI	0.1%	0.1%	0.1%	0.2%
<i>Race</i>				
White	29.6%	20.0%	9.0%	58.6%
Black	7.1%	11.3%	21.8%	40.2%
Other Race	1.4%	1.1%	0.4%	2.9%
<i>Ethnicity</i>				
Hispanic	0.8%	0.8%	0.4%	2.0%
<i>Age</i>				
62 and older*	13.6%	13.0%	11.0%	37.6%
<i>Disability</i>				
Mobility, Hearing, or Visually Impaired	0.7%	1.0%	0.9%	2.6%
Total	11,136	9,489	9,146	29,771

G. Low Income Housing Tax Credit

During the FY 2011-12, nearly 74,000 residents benefitted from Low Income Housing Tax Credits (LIHTC). Of those residents, 39 percent lived in Middle Tennessee, 35 percent in West Tennessee, and 26 percent in East Tennessee. Twenty-four percent of them resided in rural areas. The state's most populous counties, Shelby and Davidson, had the highest number of residents living in LIHTC properties.

Table 33
Units Assisted by County and Grand Division
LIHTC

East Tennessee	Contract Units
Anderson	477
Bledsoe	32
Bradley	1,087
Campbell	294
Carter	547
Claiborne	44
Cocke	555
Cumberland	514
Greene	474
Hamblen	642
Hamilton	2,781
Hancock	50
Hawkins	103
Jefferson	185
Johnson	46
Knox	5,055
Loudon	362
McMinn	459
Monroe	116
Morgan	62
Polk	92
Rhea	182
Roane	297
Scott	34
Sevier	393
Sullivan	2,254
Unicoi	202
Union	264
Washington	1,552
East Total	19,155

Middle Tennessee	Contract Units
Bedford	654
Cannon	73
Cheatham	147
Coffee	731
Davidson	12,476
DeKalb	145
Dickson	932
Fentress	286
Franklin	28
Giles	231
Grundy	218
Hickman	103
Jackson	55
Lawrence	234
Lincoln	39
Marshall	167
Maury	1,081
Montgomery	1,822
Moore	35
Putnam	447
Robertson	860
Rutherford	2,982
Sequatchie	153
Smith	198
Sumner	1,766
Warren	189
Wayne	243
White	192
Williamson	645
Wilson	1,806
Middle Total	28,938

West Tennessee	Contract Units
Carroll	94
Chester	133
Crockett	84
Dyer	213
Fayette	251
Gibson	143
Hardin	201
Haywood	505
Henderson	120
Henry	490
Lake	146
Lauderdale	453
Madison	2,551
Obion	141
Shelby	19,394
Tipton	775
Weakley	122
West Total	25,816

**Summary of Units
By Grand Division**

Division	Units
East TN	19,155
Middle TN	28,938
West TN	25,816
Total	73,909

Out of the total beneficiaries of the LIHTC program, 46% identified as Black or African American, while 29% identified as White with the remainder classified as another race. Three percent were of Hispanic or Latino origin.

Among the residents, two percent had a disability, and almost eight percent of them were age 62 or older. More than 87 percent earned less than half of their county's area median income. The tables below detail the social and economic characteristics of the beneficiaries.

Table 34
Demographics of Participants
Low Income Housing Tax Credit (LIHTC)

Race	Percent of Participants
White	29.4%
Black/African-American	46.4%
Other Race	24.2%
Income Category	Percent of Participants
Up to 30% of AMI	55.5%
31%-50% of AMI	31.7%
51%-80% of AMI	10.9%
Unknown	1.8%
Number of Bedrooms	Percent of Units
1 Bedroom	5.5%
2 Bedrooms	36.7%
3 Bedrooms	50.0%
4 Bedrooms	7.6%
5 Bedrooms	0.2%
Other Demographics	Percent of Total Participants
Hispanic	2.8%
Elderly	7.8%
Disabled	2.1%
Rural	23.7%

H. Multifamily Bond Authority

Demographic information is not compiled separately for the Multifamily Bond Authority program. Demographic information for this program has been included in LIHTC data and can be found in Table 33 of this report.

I. THDA Homeownership Programs

For the following THDA Homeownership Programs, the largest number of loans was made to married couples: Great Start, Great Advantage and Great Rate. The majority of New Start loans were made to female households with children. Following married couples, single female and single male households received the majority of Great Start loans, single male households received the majority of Great Advantage loans and single male and single female households received the majority of Great Rate loans. Additional information can be found in the following tables.

Table 35
THDA Loans by Household Type
THDA Homeownership Programs

Great Start	1 Person	2 Persons	3 Persons	4+ Persons	Total
Married Couple	5	275	188	276	744
Single Male	290	66	17	10	383
Single Female	344	42	4	4	394
Male with Child	2	22	31	22	77
Female with Child	6	136	72	44	258
Single Parent with Child	4	8	4	3	19
Other	4	2	0	0	6
<i>Total</i>	<i>655</i>	<i>554</i>	<i>316</i>	<i>359</i>	<i>1,881</i>
Great Advantage	1 Person	2 Persons	3 Persons	4+ Persons	Total
Married Couple	0	8	3	8	19
Single Male	7	3	0	0	10
Single Female	4	1	0	0	5
Male with Child	0	0	0	0	0
Female with Child	0	0	3	2	5
Single Parent with Child	0	0	0	0	0
Other	0	0	0	0	0
<i>Total</i>	<i>11</i>	<i>12</i>	<i>6</i>	<i>10</i>	<i>39</i>
Great Rate	1 Person	2 Persons	3 Persons	4+ Persons	Total
Married Couple	0	36	20	23	79
Single Male	42	5	1	0	48
Single Female	14	2	0	0	16
Male with Child	1	1	2	0	4
Female with Child	1	4	6	1	12
Single Parent with Child	1	0	0	0	1
Other	0	0	0	0	0
<i>Total</i>	<i>59</i>	<i>48</i>	<i>29</i>	<i>24</i>	<i>160</i>
New Start	1 Person	2 Persons	3 Persons	4+ Persons	Total
Married Couple	1	4	6	18	29
Single Male	10	1	1	1	13
Single Female	17	2	3	0	22
Male with Child	0	2	1	0	3
Female with Child	0	21	13	18	52
Single Parent with Child	0	0	0	1	1
Other	0	0	0	0	0
<i>Total</i>	<i>28</i>	<i>30</i>	<i>24</i>	<i>38</i>	<i>120</i>
Grand Total	753	644	375	431	2201

The income levels for homeownership loans varied by loan program. For the Great Start program, the average income level was \$47,418, Great Advantage was \$48,612, Great Rate was \$43,092 and New Start was \$24,070. Average income by household type for each of the programs is presented in the below table.

Table 36
Average Income by Household Type
THDA Homeownership Programs

Program	Great Start		Great Advantage		Great Rate		New Start	
	HHs	Average Income	HHs	Average Income	HHs	Average Income	HHs	Average Income
Married Couple	744	\$53,201	19	\$52,187	79	\$45,692	29	\$26,195
Single Male	383	\$44,601	10	\$44,727	48	\$42,948	13	\$24,347
Single Female	394	\$42,650	5	\$54,380	16	\$31,808	22	\$20,269
Male with Child	77	\$44,636	0	n/a	4	\$57,045	3	\$18,267
Female with Child	258	\$43,104	5	\$37,024	12	\$37,207	52	\$24,775
Single Parent with Child	19	\$46,688	0	n/a	1	\$39,966	1	\$23,253
Other	6	\$46,728	0	n/a	0	n/a	0	n/a
Total	1,881	\$47,418	39	\$48,612	160	\$43,092	120	\$24,070

During the reporting period, 28.6% of all mortgages were made to minorities and 71.4% were made to non-minorities. Following White households, Black/African American Households were most frequently served by THDA's Homeownership Programs. The following tables show mortgage program data by race and ethnicity.

Table 37
Race/Ethnicity of Borrower
THDA Homeownership Programs

Race	Number of Primary Borrowers	Percent of Primary Borrowers
White	1,572	71%
Black/African American	495	23%
Asian	30	1%
American Indian/Alaskan Native	2	0%
Native Hawaiian/Pacific Islander	0	0%
Multi-Racial	12	1%
Other/Unknown	90	4%
Total	2,201	100%

Ethnicity	Number of Primary Borrowers	Percent of Primary Borrowers
Hispanic	72	3%
Non-Hispanic	2,129	97%
Total	2,201	100%

Households ages 29 and younger accounted for 43.6% of all mortgages made during the reporting period. Age groups 30-34 and 45 and older were almost equal, with approximately 18% of the total mortgages. The table below shows mortgages by age of the primary borrower.

Table 38
Age of Borrowers
THDA Homeownership Programs

Age Group	Number of Primary Borrowers	Percent of Total Primary Borrowers
Less than 25	498	23%
25 – 29	461	21%
30 – 34	404	18%
35 – 29	252	12%
40 – 44	178	8%
45 +	408	19%
Total	2,201	100%

J. BUILD Loan Program

The BUILD Loan Program, administered by THDA, provided \$100,000 to the Rutherford County Habitat for Humanity for development costs. Habitat offers new construction to families with an income at or below 80% of the area median income. Therefore, all of the population they serve is considered low-income. No other demographic data was available for this program at the time of this report.

K. Community Investment Tax Credit

During FY 2011-12, THDA and the Tennessee Department of Revenue, awarded credits through CITC for 36 different affordable housing projects with a total investment amount of \$30,710,000. The projects eligible under the CITC program will contribute to the availability of approximately 940 units of affordable housing. Demographic data regarding the beneficiaries of CITC projects was not available at the time of this report.

L. Emergency Repair Program

The Emergency Repair Program (ERP) provides grants to low-income homeowners that are age 60 years or older to correct, repair or replace an essential system and/or critical structural problem. During the reporting period, the ERP assisted 314 units and 468 people. The majority of beneficiaries were in Middle Tennessee, followed by East and West Tennessee. While all of the beneficiaries of the ERP are elderly and low income, more detailed beneficiary information can be found below.

Table 39
Beneficiary Characteristics
Emergency Repair Program

Income Category	East	Middle	West	Total
0 – 30% of AMI	23.3%	29.0%	14.0%	66.2%
31 – 50% of AMI	9.9%	13.4%	7.6%	30.9%
Greater than 50% of AMI	1.0%	1.3%	0.6%	2.9%
Total Persons	159	204	104	268

Race	East	Middle	West	Total
White	32.2%	35.0%	7.3%	74.5%
Black/African American	1.9%	8.6%	15.0%	25.5%
Total Persons	87	112	57	256

M. Rural Repair

All of the beneficiaries of THDA’s Rural Repair Program, which is administered in partnership with USDA, are low income. During the reporting period, the Rural Repair Program assisted 163 units and 256 persons. The table presents other information regarding the program’s beneficiaries.

Table 40
Demographics and Household Size
Rural Repair Program

Rural Repair Participants	East	Middle	West	Total
<i>Household Type</i>				
Elderly or Disabled	28.2%	22.7%	42.9%	93.9%
Non-Elderly/Non-Disabled	3.1%	0.6%	1.8%	5.5%
<i>Race</i>				
White	9.2%	21.5%	40.5%	71.2%
Black	19.0%	1.8%	3.7%	24.5%
Other Race	3.1%	N/A	0.6%	3.7%
<i>Household Size</i>				
1 Family Member	22.1%	12.9%	28.8%	63.8%
2 Family Members	6.8%	6.8%	11.7%	25.2%
3 Family Members	1.2%	2.5%	1.8%	5.5%
4 Family Member	0.6%	0.6%	1.8%	3.1%
5 Family Members	0.6%	N/A	0.6%	1.2%
6 Family Members	N/A	0.6%	N/A	0.6%
7 Family Members	N/A	0.6%	N/A	0.6%
Geographic Distribution of Assistance	31.3%	23.9%	44.8%	100.0%

Summary

Overall, the four formula programs assisted 254,772 individuals and an additional 332 households. The CDBG program served 220,612 persons and the majority of the beneficiaries were low to moderate income. During the reporting period, the HOME program completed 332 units and 60% of the households receiving assistance were very low income. The remaining households were low income. The first allocation of ESG funds assisted 33,178 homeless individuals or individuals who are at risk of homelessness. The majority of ESG beneficiaries were women. The HOPWA program assisted 560 individuals with HIV/AIDS and an additional 422 affected family members. All of the HOPWA beneficiaries were low income.

Other resources contributing to affordable housing and community development also assisted a large number of Tennesseans. The Section 8 Tenant Based Housing Choice Voucher program assisted 5,685 households and the Section 8 Project Based Rental Assistance program provided 29,771 units of affordable housing. The LIHTC and Multifamily Bond authority assisted 73,909 households and the THDA Homeownership Programs helped 2,201 households secure a loan. The CITC program contributed to 940 units of affordable housing, ERP assisted 468 persons and Rural Repair assisted 256 persons.

Together, the four Consolidated Plan Grant Programs and programs providing other affordable housing resources served 329,405 individuals and 38,929 additional households.

STATE OF TENNESSEE
FISCAL YEAR 2011-12 CAPER
PART II
ASSESSMENT OF ANNUAL PERFORMANCE

V. REVIEW AND ASSESSMENT OF PRIORITIES AND ACTION STEPS

A) Review of Priorities and Action Steps

The HUD Performance Measurement Outcome System states the following three objectives: to create a suitable living environment, to provide decent housing and to create economic activities. In the five-year Consolidated Plan, the State of Tennessee established four priorities that compliment HUD's three performance measurement objectives and are related to housing and non-housing community development needs. These priority areas were approved by HUD in the State's 2010-15 Consolidated Plan. Each of the four priorities list action steps that coincide with the intent of the four priority areas. The "Assessment of Annual Performance" section of the FY 2011-12 CAPER focuses specifically on the four priority areas and the State's progress in meeting objectives. The four priorities and their corresponding actions steps are as follows:

Housing

1. Preserve the affordable housing stock, increase the amount of affordable housing and increase home ownership opportunities.

Action Steps:

- 1) Preserve the affordable housing stock through housing rehabilitation targeted toward very low, low and moderate income populations in the state.
- 2) Encourage the production of multifamily housing to serve low income individuals in the state.
- 3) Target funds towards housing for elderly residents in the state with an emphasis on handicapped accessibility.
- 4) Encourage the preservation of 2-3 bedroom affordable housing units for low income families in the state.
- 5) Increase/maintain the number of housing facilities in the state for homeless individuals.
- 6) Increase the ownership rates, especially among lower income and minority households.

Non-Housing Community Development Needs

2. Provide for the viability of communities through ensuring infrastructure, community livability, health and safety, and economic development.

Action Steps:

- 7) Provide for the safety and well-being of low and moderate income families in the state by improving the quality and quantity of water in areas which do not have safe, reliable water sources.
 - 8) Provide safe, reliable wastewater services to low and moderate income families in underserved areas of the state.
 - 9) Provide economic development opportunities through financing of infrastructure development, manufacturing facilities and equipment that support job creation for low and moderate income people.
 - 10) General enhancement of quality of life of low and moderate income neighborhoods throughout the state.
3. Provide for the housing and supportive services needs of homeless individuals and other special needs populations.

Action Steps:

- 11) Support the acquisition and rehabilitation of facilities to house homeless persons or those at risk of homelessness.
 - 12) Provide funds to assist persons at risk of homelessness.
 - 13) Increase the amount of services provided to mentally ill homeless.
 - 14) Encourage programs to support children in homeless facilities to receive preventative and emergency medical care, as well as other development or cognitive services.
 - 15) Provide supportive services and housing-related services for persons who are HIV positive or have AIDS.
4. Affirmatively further fair housing and ensure access to business opportunities in the state for women and minority-owned businesses.

Action Steps:

- 16) Conduct an Analysis of Impediments to Fair Housing Choice in the state.
- 17) Convene fair housing and Title VI workshops in the state for local governments, grantees, housing providers, advocates and consumers.
- 18) Provide fair housing information throughout the state, informing citizens of their housing rights.

- 19) Encourage reporting of fair housing violations by making citizens aware of their rights and providing information on access to fair housing advocates and organizations in the state.

B) Assessment of Progress in Meeting Priorities

This section speaks generally about the State's progress in working towards each of the four priority areas discussed above. The following section discusses in greater detail the State's progress in providing affordable housing and the State's performance in terms of the specific action steps associated with each of the four priority areas. While the action steps focus on shorter-term goals that can be accomplished in one to three years, the priority areas represent general and longer-term goals of the four Consolidated Partners.

Housing

1. Preserve the affordable housing stock, increase the amount of affordable housing and increase home ownership opportunities.

The State of Tennessee's first priority area specifically focuses on the provision of affordable housing opportunities throughout the state, whether through preserving the affordable housing stock that already exists, increasing the number of new affordable housing stock or by creating new homeownership opportunities. Each aspect of the first priority area and the State's progress is addressed individually.

In regards to preserving the affordable housing stock, both the CDBG and HOME Programs work towards this goal through homeowner and rental rehabilitation activities. In FY 2011-12, CDBG applicants proposed to rehabilitate two homes and HOME applicants proposed to rehabilitate 233 homes for a total of 235 homeowner rehabilitation projects. In addition, HOME applicants proposed 24 rental rehabilitation projects and 19 projects that propose both acquisition and rehabilitation. In FY 2011-12, the HOME Program completed 243 homeowner rehabilitations, which comprised 45% of the total projects completed under the HOME Program during the reporting period. An additional 80 rental rehabilitations were also completed during the fiscal year.

Other programs that contribute to preservation of the affordable housing stock through rehabilitation include: THDA's BUILD Loan Program, the Preserve Loan Program, the Community Investment Tax Credit (CITC) Program, the Low Income Housing Tax Credit Program (LIHTC) and Multifamily Bond Authority Programs, the Emergency Repair Program (ERP) and the Rural Repair Program (RRP). The Emergency Repair Program corrected, repaired or replaced an essential system and/or critical structural problem for 314 households during the reporting period and the Rural Repair Program completed an additional 163 rehabilitations. Out of the 940 units that will be created by the CITC Program and the 3,163 units that will be provided through the LIHTC Program, a portion of these will be rehabilitated units, rather than new construction.

A number of programs work to increase the amount of affordable housing in Tennessee by creating new single family and multifamily units and maintaining existing units. Subrecipients of the HOME Program have proposed nine new homeowner construction projects and 10 new rental construction projects with funds awarded during the reporting period. In addition, 179 new construction units were completed during the fiscal year with funds previously awarded through the HOME Program. Other programs working to create new multifamily units include the CITC program, the LIHTC program and the Multifamily Bond Authority. During the fiscal year, these programs will contribute to the creation of 4,103 units of affordable housing.

New homeownership opportunities are created through the HOME Program and THDA's various Homeownership Programs. Subrecipients of HOME funds awarded during the fiscal year are proposing Homebuyer Down Payment Assistance in the amount of \$697,500. The five THDA Homeownership programs provided loans to 2,201 households in the amount of \$236,014,517. The Great Start Program provided the most loans, followed by the Great Rate, New Start, Great Advantage and Preserve Loan Programs.

The above programs and projects contribute to the completion of action steps one through six.

Community Development Needs

2. Provide for the viability of communities through ensuring infrastructure, community livability, health and safety, and economic development.

All four of the Consolidated Plan grant programs contribute to each aspect of the second priority either directly or indirectly through the provision of services that contribute to the enhancement of infrastructure, community livability, health and safety and economic development. Each aspect of the priority area is discussed separately below.

In regards to infrastructure, three of the four Consolidated Plan grant programs contribute to the improvement of infrastructure in Tennessee. The CDBG program will contribute 81% of its FY 2011-12 funds to public facilities and water and sewer programs. Ten percent of the funds will go towards clearance and relocation and two percent will go towards residential rehabilitation. Each of these activities seeks to improve existing infrastructure or build new infrastructure. The HOME Program seeks to improve existing housing infrastructure or build new infrastructure through the provision of new affordable housing and the rehabilitation of existing affordable housing. In FY 2011-12, all HOME funds, with the exception of homeowner down payment assistance and administrative costs, will go towards the improvement of housing infrastructure. ESG funds may be used towards the rehabilitation or conversion of shelters, which also contributes to existing housing infrastructure.

Other programs included in this report and contributing to the maintenance of housing infrastructure through both single and multi-family housing rehabilitation include: the Low Income Housing Tax Credit Program, the Multifamily Bond Authority, the BUILD Loan Program, the Preserve

Loan Program, the Community Investment Tax Credit Program, the Emergency Repair Program and the Rural Repair Program. Programs contributing to housing infrastructure through new construction of single and multi-family housing include: the Low Income Housing Tax Credit Program, the Multifamily Bond Authority, the BUILD Loan Program, the Preserve Loan Program and the Community Investment Tax Credit Program.

The four Consolidated Partners see the availability of affordable housing and affordable housing opportunities as contributing to community livability. Similarly, the health and safety of neighborhoods and communities contribute to a community's livability. Each of the four formula programs contributes to community livability and the health and safety of communities. The CDBG program contributes to livability through its housing rehabilitation, public facilities and water and sewer projects. As mentioned above, over 90% of the CDBG's FY 2011-12 funds will go towards these activities. New construction and upgrades to public facilities, as well as water and sewer projects directly contribute to the health and safety of neighborhoods. Access to essential services and clean water are important to the health and safety of a community.

The HOME Program also contributes to the livability of communities by improving the affordable housing available through rehabilitation and new construction activities. Upgrades to existing housing works to create safe rental units and homeowner occupied units. All of the FY 2011-12 HOME funds, with the exception of administrative costs and homeowner down payment assistance, will be used towards rehabilitation and new construction of affordable housing. Access to the special services provided through the HOPWA and ESG programs also contribute to the livability of communities and the health and safety of those communities. Emergency shelters make communities more livable for homeless persons and those at risk of homelessness. The services provided through homeless prevention and rapid re-housing address the availability of safe and affordable housing. Finally, the HOPWA Program through its Housing Information Services, Housing Assistance and Permanent Housing activities make communities more livable for its beneficiaries. Supportive Services provided through the program also contribute to the health and safety of its participants.

Other programs that contribute to the livability of communities by providing new affordable housing, rehabilitation of existing affordable housing and rental opportunities include: the Section 8 Project Based and Tenant Based Housing Choice Voucher programs, the Low Income Housing Tax Credit Program, the Multifamily Bond Authority, the BUILD Loan Program, the Preserve Loan Program, the Community Investment Tax Credit Program, THDA Homeownership Programs, the Emergency Repair Program and the Rural Repair Program.

Out of the four formula programs, the CDBG program is most involved in economic development activities. Economic development is one of the four project categories in which CDBG funds can be used. Of the funds available in FY 2011-12, 81% was used towards public facilities and water and sewer projects. These projects contribute to the economic development opportunities in the communities where the CDBG projects take place. In addition to the CDBG Program, the HOME

Program contributes to economic development through the jobs that are created as a result of new construction and affordable housing rehabilitation.

Each year, THDA estimates the economic impact of THDA activities on the broader economy. In addition to benefiting individuals and families, THDA's affordable housing programs create additional jobs, income and spending in the local economy and add to state and local revenues. In 2011, the total contribution of THDA's affordable housing programs to the local economy was estimated at \$728.6 million. This estimation is the sum of direct THDA spending, indirect business to business transactions in Tennessee's economy and additional employee spending. Programs contributing to the total economic impact include: the HOME and ESG Programs, THDA Homeownership Programs, LIHTC, the Multifamily Bond Authority, the Section 8 Housing Choice Voucher Program, the Community Investment Tax Credit Program, the Rural Repair Program, the Emergency Repair Program for the Elderly and other THDA programs that are not detailed in this report.

Projects and programs discussed above contribute to the completion of action steps seven through ten.

3. Provide for the housing and supportive services needs of homeless individuals and other special needs populations.

The third priority area focuses on supportive services for homeless individuals and other special needs populations. The two formula programs that are primarily responsible for addressing this priority area are the ESG and HOPWA Programs. ESG specifically provides services for individuals and families who are homeless and persons who are at risk of homelessness. The HOPWA Program provides housing assistance and related supportive services to persons living with HIV/AIDS and their families, which is considered a special needs population.

In regards to the services provided to homeless individuals, with the first allocation of ESG funds, \$1,054,784 of the \$1,534,841 available went to homeless assistance, which includes essential services activities. Approximately \$403,315 of the available funds went towards homelessness prevention activities. At the recommendation of HUD, the second allocation of ESG funds will be dedicated primarily towards rapid re-housing activities and homeless prevention activities. Both of these activities include housing relocation and stabilization services, tenant-based rental assistance and project-based rental assistance. During the reporting period, the ESG program assisted over 33,000 individuals with the first allocation of ESG funds. In addition, ESG subrecipients currently provide 1,641 beds for homeless individuals. The number of beneficiaries will increase as the second allocation of funds is awarded to subrecipients, as a result of the July 10, 2012 approval of the Substantial Amendment to the State of Tennessee's Consolidated Plan.

During the reporting period, the HOPWA program assisted 560 individuals with HIV/AIDS and 422 affected family members. Beneficiaries of the program receive assistance in five areas. The first

program area is the housing information services program, which may include counseling, information and referral services to help clients locate, acquire, finance and maintain housing. The second area is short-term rent, mortgage and utility payments, which intends to prevent homelessness of either a tenant or mortgage holder over a specified period of time. The supportive services program is the third program component and includes health, mental health, drug and alcohol abuse treatment and counseling, day care, nutritional services, intensive care and assistance in gaining other local, state and federal government services. The fourth program area is the permanent housing placement program, which provides housing placement services to help clients establish a new residence. The final program area is the Ongoing Housing Assessment Plan, which provides periodic reviews of housing needs, investigation of homelessness and current health issues to help assist individuals manage resources, track progress and access community care.

The HOME Program also contributes to special needs populations through the Special Needs Set Aside, which may comprise up to 10 percent of the total allocation of HOME funds. Of the FY 2011-12 funds available, the HOME Program will contribute \$656,249 to Special Needs Projects. Special Needs Projects include, but are not limited to, housing designed for persons with a need due to a condition that can be either a permanent or temporary disability. During FY 2011-12, the Special Needs Set Aside was modified and became the Supportive Needs Set Aside through the Amendment to the Consolidated Plan, which was approved by HUD April 27, 2012. Although the amendment to the HOME Program was approved during the fiscal year, it will not impact FY 2011-12 funds.

The programs and activities discussed above work towards action steps 11-15.

4. Affirmatively further fair housing and ensure access to business opportunities in the state for women and minority-owned businesses.

Each of the four formula programs contributed towards this priority area through program administration and in other efforts related to affirmatively furthering fair housing. Efforts to conduct a statewide Analysis of Impediments to Fair Housing Choice and improvements made to activities that affirmatively further fair housing are explained in detail in Section VIII of this report. This section discusses how each program ensures subrecipients of the four formula programs are also working towards the action steps associated with each priority area.

In regards to the administration of the program, ECD ensures requirements related to fair housing and women and minority-owned businesses are fulfilled through its program requirements. All grantees of CDBG funds are required to comply with Civil Rights requirements and the Fair Housing Act. Grantees must demonstrate: affirmative steps to promote fair and equal access to housing; regardless of the grant or amount of grant; equal opportunities are afforded to all persons and no person shall be excluded or denied program benefits on the basis of race, color, religion, sex, national origin, age or disability; minority and female-owned businesses must be informed of grant funded contracts and affirmative steps must be taken to assure this; and to the greatest extent feasible, Section 3 resident and business concerns should be given preference in employment,

training and contracting. The ways in which ECD has completed action steps and contributed to this priority area are described in Section VIII of this report.

The HOME Program, administered by THDA, states that “no person in the United States shall on the grounds of race, color, religion, sex, familiar status, national origin, age or disability be excluded from participation, denied benefits or subjected to discrimination under any program funded in whole or in part by HOME funds.” Subrecipients of HOME funds must comply with all federal requirements that are applicable to HOME projects. THDA also requires local programs to adopt affirmative marking procedures and requirements, which must be approved by THDA prior to any HOME funds being committed to a project of five or more units. Subrecipients are required to maintain records of their actions for HUD’s Office of Fair Housing and Equal Opportunity (FHEO) monitoring purposes, including advertisements for employment and documentation of subsequent applications and individuals hired. HOME subrecipients receive fair housing training when they attend the HOME Workshops, which include a session dedicated to fair housing. Activities in which THDA engaged to fulfill its obligation to further fair housing and work towards this priority area are included in Section VIII of this report.

All ESG subrecipients must perform and document action in the area of enforcement and promotion of affirmatively furthering fair housing. They must also carry out a minimum of one activity a year to promote fair housing. Non-discrimination and equal opportunity laws are also applicable to ESG projects and subrecipients. Publicity surrounding the availability of shelter facilities reaches all persons regardless of handicap, race, color, religion, sex, age, familial status or national origin. Activities conducted by THDA and related to this priority area are found in Section VIII of this document.

HOPWA project sponsors are required to comply with anti-discrimination legislation including the Americans with Disabilities Act, Title VI and the Fair Housing Act. Title II of the ADA directly influences neighborhoods where minimal public investment has led to poor living standards. HOPWA funds are made available to help upgrade and transform these neighborhoods. All HOPWA funds are used to assist clients regardless of race, color, religion, national origin, disability and familial status. HOPWA’s contract with project sponsors contains anti-discrimination conditions and grantees are required to show proof of nondiscrimination and must post notices of nondiscrimination. Actions related to this priority area and completed during the reporting period by DOH are included in Section VII of this report.

The above actions by the Consolidated Partners in reference to the administration of their programs work towards the completion of action steps 18 and 19. Actions taken to conduct an Analysis of Impediments to Fair Housing Choice (action step 16) and efforts to convene fair housing and Title VI workshops (action step 17) are detailed in Section VII of this report.

VI. EVALUATION OF THE JURISDICTION'S PROGRESS IN PROVIDING AFFORDABLE HOUSING

I) HUD Resources Made Available Under the Consolidated Planning Programs

HUD's Performance Measurement Outcome System and the State of Tennessee's four priority areas emphasize the importance of providing affordable housing throughout the state. The following section provides an evaluation of the jurisdiction's progress in meeting the objective of providing affordable housing and also assesses the State's progress in terms of the action steps associated with each of the four priority areas. Each program, including programs that contribute to affordable housing, outside of the four Consolidated Plan grant programs, is addressed individually and the ways in which it contributes to the priority of providing affordable housing is described below. State Table 3B, included in Appendix E of this document, also shows the State of Tennessee's completion of affordable housing goals.

A. Community Development Block Grant (CDBG) Small Cities Program

During the reporting period, CDBG funds assisted with 30 affordable housing activities. The CDBG program acquired four units, created 10 new units and rehabilitated 16 existing units. With FY 2011-12 funds, the CDBG Program has proposed two rehabilitation activities. Rehabilitation activities delivered through the CDBG Program specifically addresses Action Steps 1, 4, and 10.

B. HOME Investment Partnership (HOME)

The HOME Program works to provide affordable housing through homeowner rehabilitation, rental rehabilitation, homeownership and new construction. In FY 2011-12, the HOME Program contributed to the completion of 322 units of affordable housing, utilizing funds from various program years. Subrecipients of FY 2011-12 funds have proposed to provide or rehabilitate an additional 329 units of affordable housing. All beneficiaries of the HOME program are low and moderate income. The activities provided by the HOME Program specifically address Action Steps, 1, 2, 3, 4, 6 and 10.

C. Emergency Shelter Grant/Emergency Solutions Grant (ESG)

ESG works to provide affordable housing through its Homeless Assistance and Homeless Prevention activities, which include Rapid Re-Housing and Homeless Prevention. During the reporting period, the ESG Program assisted over 30,000 Tennesseans with the first allocation of ESG funds. The number of Tennesseans benefiting from the program will increase with the second allocation of ESG funds. The ESG program provided 1,641 bed spaces during the reporting period and this number increased to 1,829 during the winter months. Activities and services delivered through the ESG Program, specifically address Action Steps 5, 11, 12, 13 and 14.

D. Housing Opportunities for Persons with AIDS (HOPWA)

During the reporting period, the HOPWA program assisted 560 individuals with HIV/AIDS and 422 family members. The HOPWA Program provides for affordable housing through its Housing Information Services Program, Short-term Rent, Mortgage and Utility Payment Program and its Permanent Housing Placement Program. The programs and services offered through the HOPWA Program specifically address Action Steps 11, 12, 14 and 15.

B) Other Resources Made Available

E. HUD Section 8 Housing Choice Voucher Program

At the end of the reporting period, the Section 8 Housing Choice Voucher provided rental assistance to 5,685 households and \$34,772,593 was made available for the program. This program specifically addresses Action Steps 1, 2, 3 and 4.

F. HUD Section 8 Contract Administration Program

During FY 2011-12 the HUD Section 8 Contract Administration Program provided 29,771 units of affordable housing and Housing Assistance Payments in the amount of \$148,127,628. This program also addresses Action Steps 1, 2, 3 and 4.

G. Low Income Housing Tax Credit (LIHTC) Program

LIHTC issued \$30,027,017 in 2011 tax credit allocations and 2012 forward commitments. The LIHTC Program will contribute to the creation or rehabilitation of 3,163 units of affordable housing. The LIHTC Program addresses Action Steps 1, 2 and 4.

H. Multifamily Bond Authority

The Multifamily Bond Authority made \$14,600 available to local issuers during Calendar Year 2011. These funds will contribute to the creation or rehabilitation of 354 units of affordable housing. The Multifamily Bond Authority addresses Action Steps 1, 2 and 4.

I. THDA Homeownership Programs

THDA's Great Rate, Great Start, Great Advantage and New Start homeownership programs provide opportunities for low and moderate income persons to purchase their first home. During the reporting period, THDA made 2,201 homeownership loans totaling \$236,014,517. The THDA Homeownership Programs address Action Steps 1 and 6.

J. BUILD Loan Program

The BUILD Loan Program builds the capacity of nonprofit organizations to provide affordable housing to low income Tennesseans. During the reporting period, a \$100,000 loan was made to Rutherford County for the production of affordable housing. The BUILD Loan Program addresses Action Steps 1, 2 and 4.

K. Community Investment Tax Credit (CITC)

The CITC Program allows financial institutions to obtain a credit against the sum total of taxes imposed when qualified loans, investments, grants or contributions are extended to eligible housing entities for engaging in low income housing activities. Credits were awarded for 36 affordable housing projects during the reporting period. The CITC Program addresses Action Steps 1, 2 and 4.

L. Emergency Repair Program for the Elderly (ERP)

ERP provides grants to low income homeowners who are 60 years or older to correct, repair or replace an essential system and/or critical structural problem. During the reporting period, 341 units and 468 individuals were assisted with ERP funds. The ERP Program specifically addresses Action Steps 1, 3 and 4.

M. Rural Repair Program

The Rural Repair Program provides funds for the repair of homes of low income individuals. During the reporting period, the Rural Repair Program assisted 163 units of housing and 256 individuals. The Rural Repair Program specifically addresses Action Steps 1, 3 and 4.

VII. OTHER ACTIONS INDICATED IN THE CONSOLIDATED PLAN AND ACTION PLAN

A) Section 8 Family Self Sufficiency Program

The Family Self Sufficiency (FSS) Program is a part of the HUD Section 8 Housing Choice Voucher Program, which began in 1990 as an effort to enable Section 8 participants to become self-sufficient or independent of welfare assistance. The program is administered by the Rental Assistance Division of THDA with additional federal funds to support FSS staff.

FSS participants sign a five-year contract in which they agree to find employment and identify goals for achieving financial independence. Staff assists participants in identifying goals and provides referrals for resources in the community. Participants are eligible for the establishment of an escrow account, which is based on increased income as a result of employment. The funds in the escrow account may be accessed by the participant once the contract is fulfilled or the family is paying all of their rent.

In 1998, the FSS program was mandated to have 181 participants. Since 1998, over 181 participants have graduated from the program, making the program voluntary. THDA has opted to have 235 slots available to Housing Choice Voucher participants.

B) Rental Assistance Homeownership Voucher Program

The THDA Homeownership Voucher Program offers a mortgage subsidy to low income families who are not able to afford to purchase a home through traditional financing. With the Homeownership Voucher Program, families typically pay 30% of their monthly-adjusted income (or the family's Total Tenant Payment) towards homeownership expenses and THDA pays the difference between the family Total Tenant Payment and the actual monthly mortgage payment. The mortgage assistance payment is paid directly to the lender or loan servicing company and not to the family. At the end of the reporting period, 57 home closings had occurred using this program.

C) Lead Based Paint

Title X of the Federal Lead Based Paint regulation became effective on September 15, 2000. On September 26, 2000, the Tennessee Department of Environment and Conservation (TDEC) developed a certification program and compiled a registry of certified lead inspectors, testing laboratories, contractors and training facilitators.

In April 2001, HUD and the Environmental Protection Agency (EPA) issued a joint memorandum to clarify Title X requirements for rehabilitation of housing to clarify the definition of abatement under regulations issued by EPA and HUD. It also asserted in the memorandum that HUD and EPA regulations were complementary. On May 2, 2011, THDA and TDEC issued a joint memorandum that allows for the use of HUD regulations in rehabilitation projects. TDEC certified that lead based paint professionals must be used. These joint efforts have enabled rehabilitation efforts to continue.

Each of the four Consolidated Planning grant programs have lead based paint requirements. In regards to the CDBG and HOME programs, subrecipients must give participants of the program notice of possible lead hazards within the unit when the house is dated pre-1978 and must inform them of possible dangers. THDA distributes to all grantees the Lead Chapter of the HOME Operations manual, which provides further guidance for compliance with HUD regulations. Housing assisted with ESG funds are also subject to the Lead Based Paint Poisoning Prevention Act and based on the activity, must comply with various subparts of the Act.

VIII. ACTIONS TAKEN TO AFFIRMATIVELY FURTHER FAIR HOUSING

A) Statewide Analysis of Impediments to Fair Housing Choice

In October of 2011, the consolidated partners began a series of meetings to modify the current procedures regarding the Analysis of Impediments to Fair Housing Choice (AI) and the State's obligation to affirmatively further fair housing, as a result of comments made by HUD's Office of Fair Housing and Equal Opportunity (FHEO) in regards to the FY 2011-12 CAPER. In previous grant years, the State of Tennessee required counties that received CDBG assistance to conduct a current AI that would last up to five years. Local AIs were submitted to ECD for review and a summary of impediments was developed annually. Local governments also provided a list of fair housing activities they completed throughout the year. A summary of newly completed or updated AIs was provided in the CAPER, along with a list of fair housing activities taking place on the local level. The State also provided a summary of its own fair housing activities. Recognizing the current process for conducting AIs and reporting steps to further fair housing were inadequate; the Consolidated Partners began a series of meetings to plan for a comprehensive and statewide AI, as well as a long-term fair housing plan.

The Consolidated Partners believe one of the most important steps to preparing a meaningful fair housing plan and taking the necessary steps to identify and address barriers and impediments to fair housing choice is the completion of a comprehensive and statewide AI. Following technical assistance training with FHEO in February of 2012, the Consolidated Partners decided a third party consultant would be secured to complete the AI. It was also decided that ECD would be the lead agency for coordinating the AI's completion. In March of 2012, a timeline was developed for securing a consultant and completing the AI. Since the timeline was developed, the finalization and release of the Request for Proposal (RFP) for a third party consultant was delayed and an updated timeline has been created.

The updated timeline for securing a consultant and completing the AI is as follows:

Activity	Timeline	Responsible Entities
Develop consultant RFP	Item Completed, August and September 2012	ECD
Obtain RFP approval and release RFP for solicitations	October 1, 2012	ECD
Solicit RFP for 30 days	September – October 2012	ECD
Review RFPs, selection of consultant no later than November 15 th	October – November 2012	ECD (lead), THDA, DOH
Secure contract with consultant and report progress in CAPER	November 2012	ECD, THDA, DOH
Consultant to conduct AI study and complete by April 1st	December 2012 – May 2013	ECD
Consolidated partners to review AI findings, identify actions to be taken and develop a long-term fair housing plan	May 2013	ECD, THDA, DOH
Develop and identify one-year goals and activities to address impediments found in AI and that address Fair Housing Plan in FY 2013-14 Action Plan	May 2013	ECD, THDA, DOH
Address impediments through completing steps and actions as identified in the AI and fair housing plan	Ongoing	ECD, THDA, DOH
Monitor progress, adjust activities and fair housing plan as impediments continue to be identified and addressed	Ongoing	ECD, THDA, DOH

ECD was the lead agency for developing the RFP and coordinating its release. ECD spent the months of May through August developing the RFP and making adjustments as necessary to follow State of Tennessee procurement procedures, which includes review and approval of the RFP by the State of Tennessee Comptroller’s Office and the State Department of Finance and Administration. The RFP will be released on October 1, 2012 and will be open for solicitations for 30 days. Following the close of the RFP, ECD will work with the Consolidated Partners to select a consultant and monitor the progress of the AI’s completion.

Once the AI is completed, the Consolidated Partners will work together to develop a long-term fair housing plan for the State of Tennessee based on the AI’s recommendations. The Consolidated Partners hope to finish reviewing the AI’s recommendations during May of 2013 and include a plan for fair housing in its FY 2013-14 Annual Action Plan. Most importantly, the Consolidated Partners will immediately begin to adjust fair housing activities that seek to remove barriers and impediments to fair housing based on the recommendations of the AI. Throughout this process, the Consolidated Partners will work with its fair housing partners and continue to conduct activities related to fair housing.

B) Fair Housing Activities and Training

Following the completion of the AI in 2013, the Consolidated Partners intend to develop a comprehensive and statewide fair housing plan, which seeks to address the impediments and barriers to fair housing in Tennessee. One of the most important aspects of this plan will be to develop meaningful

activities that address impediments to fair housing but also assist the State in its obligation to affirmatively further fair housing. While awaiting the results of the AI and the development of a fair housing plan, the Consolidated Partners are continuing to engage in fair housing activities that seek to further fair housing in the state. The activities of each agency administering the four Consolidated Plan grant programs are described below.

A. Tennessee Department of Economic and Community Development, CDBG Program

ECD has assumed the lead role for developing and securing a statewide Analysis of Impediments to Fair Housing Choice (AI) study over the past year. During the reporting period, ECD participated in a number of meetings with the Consolidated Partners to develop a timeline and plan for the completion of the AI and to participate in technical assistance trainings. In August of 2012, ECD completed the RFP for a third party consultant to complete the AI and submitted the RFP to the State of Tennessee Comptroller's Office. Following State of Tennessee procurement procedures, the RFP will be released on October 1, 2012.

The overall programmatic activities funded through the CDBG program work towards furthering fair housing across the state. CDBG projects are targeted at improvements, which benefit low and moderate income people, as well as minorities, persons with disabilities, elderly persons and female-headed households. ECD collects and analyzes data on those served by CDBG projects and reports findings to HUD annually.

In its CDBG manual, ECD informs all grantees of their roles and responsibilities and program requirements. Each grantee is required to conduct a fair housing activity, must document relocation that occurs due to grant activities, must include equal opportunity language in their contracts, must create and post an Equal Opportunity Employer policy, must follow Section 3 requirements, must follow Section 504, must involve minority and female contractors and must complete contractor activity reports that outline contracts.

While the statewide AI is being conducted, subrecipients will not be required to provide a local AI; instead, grant recipients will be required to participate in ECD-sponsored workshops that train communities on fair housing and their mandate to affirmatively further fair housing locally. In May and June, 2012, ECD conducted four workshops across Tennessee covering fair housing. The workshops were required for subrecipients who had been awarded funds for the 2011 CDBG program year. More than 100 people, representing 60 grantees, attended the training sessions led by a fair housing consultant with experience in leading workshops across the county. During Fiscal Year 2012-13, ECD will consider additional options for future participation by subrecipients with regards to the AI.

ECD also sponsored, assisted in the planning for, and attended the Tennessee Fair Housing Matters Conference during fair housing month. ECD is the lead agency for the coordination and completion of the AI. Together with the consolidated partners, ECD will assist in creating a strategy to address impediments found in the AI. During the time the AI is being completed and coordinated, ECD will continue to keep subrecipients informed of the process and requirements they must meet. Upon completion of the study, ECD has plans to disseminate findings to subrecipients and will plan to provide educational opportunities for subrecipients on identifying fair housing issues and working to improve existing impediments.

B. Tennessee Housing Development Agency, HOME and ESG Programs

THDA has participated in the planning for a statewide AI with the Consolidated Partners over the last year and assisted with the development of a timeline to complete the AI and a fair housing plan. THDA is also responsible for providing updates on the State's progress through Consolidated Planning documents, such as the Annual Action Plan and CAPER, and has provided periodic updates on progress as requested by FHEO. Aside from planning the completion of the AI and a statewide fair housing plan, THDA engages in a number of fair housing activities through the HOME and ESG programs, as well as other programs administered by THDA that are included in this report.

HOME Program Requirements detailed in the HOME Program Description state "no person in the United States shall on the grounds of race, color, religion, sex, familiar status, national origin, age or disability be excluded from participation, denied benefits or subjected to discrimination under any program funded in whole or in part by HOME funds." The Program Description also details federal requirements as set forth in 24 CFR 5.105(a) that are applicable to HOME projects and include: 24 CFR Part 100, 24 CFR Part 107, 24 CFR Part 1, 24 CFR Part 146, 24 CFR Part 8, and 42 USC 12101 et seq. The HOME Operations Manual further discusses applicable federal laws, executive orders and regulations that pertain to fair housing and equal opportunity. THDA HOME grantees must comply with each of the federal laws, executive orders and regulations detailed in Chapter 6, Section 2.1 of the HOME Operations Manual.

Local programs are also required to adopt affirmative marketing procedures and requirements, which must be approved by THDA prior to any HOME funds being committed to a project of five or more units. One requirement of affirmative marketing is detailing the methods for informing the public, owners and potential tenants about fair housing laws and the local program's policies.

In addition to meeting all requirements of the HOME Program Description and HOME Operations Manual, grantees have certain responsibilities to ensure protected persons or groups are not denied benefits. Responsibilities of grantees, which are undertaken throughout the progress of the projects, are outlined in Chapter 6, Section 3 of the HOME Operations Manual. In addition, the HOME Operations Manual requires certain activities of grantees and include: a minimum of one fair housing activity, which includes distribution of the *Fair Housing Equal Opportunity for All* pamphlet to each program applicant, Section 3 activities and documentation, creation and distribution of a policy of nondiscrimination, Equal Opportunity requirements in construction-generated employment, minority and female solicitation, Section 504 requirements, site and neighborhood standards and consideration of fair housing and local zoning ordinances.

Grantees are required to maintain records of their actions for FHEO monitoring purposes, including advertisements for employment and documentation of subsequent applications and individuals hired. An extensive list of recordkeeping requirements are found in Chapter 6, Section 5 of the HOME Operations Manual. Grantees are monitored during the duration of a project and an Equal Opportunity/Fair Housing/Title VI checklist is used by program monitors to determine compliance with requirements, responsibilities, activities and recordkeeping. This checklist also contains questions regarding complaints filed and any indications of Equal Opportunity and Fair Housing policy violations.

HOME Recipients attend the HOME Workshop, which includes a session dedicated to fair housing, each year at THDA's headquarters. Tracy McCartney, the Executive Director of the Tennessee Fair Housing Council, conducted the fair housing session in 2011. Training for HOME grantees included fair housing

basics, fair housing law, how to identify fair housing issues and ways to make the public and clients aware of fair housing and ways to affirmatively further fair housing. The training is attended by HOME administrators located throughout the state. Supplemental fair housing information is provided in the HOME Operations Manual, which is available to the public on THDA's website.

As detailed in the ESG Program Description, all ESG recipients must perform and document action in the area of enforcement and promotion to affirmatively further fair housing. During the grant year, recipients must carry out a minimum of one activity to promote fair housing. Nondiscrimination and equal opportunity laws are also applicable to ESG programs and recipients. The ESG Program Manual requires all grantees to make facilities and services available to all persons and families on a nondiscriminatory basis. Publicity surrounding the availability of shelter facilities should reach all persons regardless of handicap, race, color, religion, sex, age, familial status or national origin. Grantees must also establish additional procedures to disseminate information to those interested in handicap accessible services and facilities. Information regarding fair housing requirements and activities can be found in the ESG Program Guidelines and the ESG Program manual, which is available to the public on THDA's website.

Other THDA programs also engage in fair housing activities similar to those of the ESG and HOME Program. For example, each year, THDA hosts the annual "Peer Session" for education providers of THDA's Homebuyer Education Initiative (HBEI). In 2012, West Tennessee Legal Services provided a fair housing training module for education providers throughout the state. HBEI agencies providing education to potential homebuyers use the *Realizing the American Dream* manual and deliver training on the Fair Housing Act through multiple curriculum components. The manual used by HBEI agencies covers the rights of potential borrowers or homeowners and helps them identify fair housing issues through examples. Information is provided regarding the Equal Credit Opportunity Act, Truth in Lending Act, Fair Credit Billing Act, Fair Credit Reporting and the Fair Debt Collection Practices Act. Homebuyer education is required for THDA loan programs that provide down payment assistance (Great Advantage and Great Start) and is voluntary for THDA's other loan programs.

Various THDA staff members attend statewide and national training events that include fair housing components. During the reporting period, 35 employees across five divisions attended the Fair Housing Matters Conference, two employees attended the West Tennessee Fair Housing Celebration, 34 employees from three divisions attended the Tennessee Southeastern Affordable Housing Management Association conference, 16 THDA employees attended the Tennessee Association of Housing and Redevelopment Authorities conference and 30 staff members attended various conferences hosted by the National Council of State Housing Agencies. In addition, 15 staff members attended a HUD and fair housing training hosted by Ross Business Development and 62 employees attended the Governor's Housing Summit.

Each year, THDA hosts the Tennessee Governor's Housing Summit, a two-day event that provides informational sessions to affordable housing professionals on topics related to providing safe, sound and affordable housing opportunities for Tennesseans. In 2011, one session was dedicated to visitability and the implementation of visitable features in the design and construction of affordable housing. The session was attended by local government and housing authority representatives, Development Districts, for profit and non-profit developers of affordable housing and others interested in the topic of visitability for persons with mobility impairments. The 2012 Summit will also provide sessions related to the topic of fair housing and anti-discrimination. In April of 2012, THDA assisted the Tennessee Human Rights Commission with planning the West Tennessee Fair Housing Celebration. The one-day event in

Memphis included a keynote session from Bryan Greene of HUD and sessions on visitability, the Analysis of Impediments to Fair Housing Choice, Federal Housing Litigation, Tennessee's Mortgage Settlement and Urban Blight. The Fair Housing Matters Conference, also taking place in April and hosted by the Tennessee Fair Housing Conference, included a keynote session from Sara Pratt of HUD and sessions on Food Access and Equity, Regional Planning, Fair Housing 101 and Criminal Records and Fair Housing.

In September 2012, THDA entered into an agreement with the Fair Housing Council for the provision of fair housing training for THDA staff. The training will include in-depth fair housing training that is tailored to each of THDA's various program divisions. For example, support staff will be provided an overview of fair housing, while staff working for certain programs will receive training specific to the requirements and details of that program. Training sessions will take place throughout the fall of 2012 with the goal of training 100% of THDA's staff on fair housing. Also included in the fair housing training sessions provided by the Fair Housing Council, will be a Title VI training conducted by THDA's Assistant Legal Counsel.

Other activities that took place during the reporting period in regards to fair housing include the development of a Fair Housing and Title VI Compliance section of THDA's website. The webpages include a general overview of Title VI and the Fair Housing Act, fair housing legislation, examples of housing discrimination, fair housing resources and procedures for filing a fair housing complaint with HUD's Office of Fair Housing and Equal Opportunity or the Tennessee Human Rights Commission. THDA also developed and adopted a Limited English Proficiency Plan meant to better assist LEP persons of Tennessee. The plan provides guidelines for THDA staff who encounter and LEP person to ensure they are provided the resources or services needed. THDA staff is currently developing a system to track its encounters with LEP persons and will continue to improve its policy and current guidelines, as well as its services, as needed.

In September of 2012, THDA staff completed a state-wide Housing Needs Assessment. The report looks closely at housing needs, the major housing programs of THDA and other housing agencies and finally, aligns housing needs with housing programs and examines which areas could be better assisted. Data included in this report will help THDA identify underserved populations, as well as underserved areas of the state. Combined with the use of GIS technology and HUD mapping capabilities, the information provided in the study will assist THDA with identifying areas of minority concentration, identifying the location of THDA programs and projects and determining what areas THDA should focus its affordable housing efforts.

C. Tennessee Department of Health

During the reporting period, DOH also assisted in planning for the release of the RFP for a third party consultant to complete the AI and in the development of a timeline to complete a comprehensive fair housing plan. In regards to the HOPWA Program, all Project Sponsors are required to comply with anti-discrimination legislation including the Americans with Disabilities Act, Title VI and the Fair Housing Act. In addition, all services provided with funds from the HOPWA program are used to assist beneficiaries regardless of their race, religion, national origin, disability and familial status. Although direct housing services are only a portion of the HOPWA program, the Department of Health takes measures to ensure all services are provided free of discrimination. HOPWA providers participate in webinars that prepare communities for the release of funds and contain anti-discrimination information and fair housing regulations that HOPWA funds must comply with.

During FY 2011-12, DOH conducted the following activities in regards to fair housing: hosted and attended job fairs that promote fair housing practices and training, participated in webinars that prepare communities for the release of funds and contain anti-discrimination and fair housing regulations and attended Veteran Career expos that provide affordable housing and job information for veterans.

DOH's contracts with Project Sponsors contain anti-discrimination conditions and subrecipients are required to show proof of nondiscrimination upon request and must post notices of nondiscrimination. Section D.8. of HOPWA's contract with providers contains anti-discrimination conditions. It states that no person will be excluded participation, denied benefits or subjected to discrimination in the performance of the grant contract or in the employment practices of the grantee on the grounds of handicap or disability, age, race, color, religion, national origin or any other classification protected by Federal, Tennessee State constitutional or statutory law.

During FY 2011-12, DOH reviewed its contracts with Project Sponsors and program materials to strengthen language surrounding fair housing. HOPWA staff will continue to become more involved in educational opportunities regarding fair housing in the upcoming fiscal year. Some of the events staff attended included the Fair Housing Matters Conference, the Governor's Housing Summit and HUD educational opportunities. DOH will also continue to be involved in the development and completion of the AI and a long-term Fair Housing Plan for Tennessee.

D. Collaborative Activities Conducted by the Consolidated Partners

Although each agency conducts activities tailored to the programs it administers throughout the year, the Consolidated Partners came together throughout the reporting period to plan and strategize ways to improve fair housing activities and fulfill the State's obligation to affirmatively further fair housing. As mentioned, the Consolidated Partners began a series of meetings in October, 2011 to plan the development and completion of the AI. The Consolidated Partners have continued to collaborate since their initial meeting.

In February 2012, the Consolidated Partners attended a technical assistance session provided by David Youngblood of FHEO in Nashville. The fair housing portion of the workshop was titled "Affirmatively Furthering Fair Housing: Practical Approaches to Compliance." The presentation provided an overview of laws and regulations, fair housing planning, fair housing activities and impediments to fair housing. A presentation on Limited English Proficiency was also provided and offered an overview of LEP, guidelines for creating an LEP Plan and monitoring and improving LEP activities. The final presentation was Section 3 training and provided an overview of Section 3, triggering events and reporting requirements. Following the presentations, Mr. Youngblood met separately with the Consolidated Partners to provide technical assistance and guidance on developing a plan to conduct the AI.

Following the technical assistance session with FHEO, the Consolidated Partners came together and decided to secure a third party consultant to conduct the AI. Since this meeting, the Consolidated Partners have offered assistance to ECD in developing the RFP and coordinating its approval. The Consolidated Partners have also provided periodic updates to FHEO and HUD as requested.

The Consolidated Partners will continue to work together in FY 2012-13 to complete some of the activities that were started in FY 2011-12. Following the completion of the AI, the Consolidated Partners will work to create a statewide fair housing plan and will adjust both agency and collaborative fair

housing activities to overcome the barriers and impediments to fair housing choice that are identified in the AI. After the RFP is closed, the Consolidated Partners will work together to review the submissions of the RFP and select a third party consultant. The Consolidated Partners anticipate meeting regularly once the AI has been completed and the results are available for analysis. Together, the Consolidated Partners will address the results and recommendation of the AI and create a state-wide fair housing plan that covers the delivery of services through the four formula programs. The Consolidated Partners realize the process will take time and are committed to improving their processes and procedures in regards to fair housing.

IX. ADDITIONAL INFORMATION AND FUTURE ACTIONS

A) Public Participation and Public Comments

Each year, the State of Tennessee provides a summary of the CAPER on THDA's website and at each of the nine Development District offices for the review of citizens. Citizens may access the summary and a public comment forum on THDA's website. Instructions for viewing and commenting on the summary are included in the summary and in a public notice that is published in both English and Spanish in newspapers throughout the state. This year, the notice was published in nine newspapers in both English and Spanish. Two of the newspapers are Spanish newspapers. The names of the publications in which the notices appear are:

- Memphis Commercial Appeal
- Jackson Sun
- Nashville Tennessean
- Clarksville Leaf-Chronicle
- Chattanooga Free Press
- Knoxville News Sentinel
- Johnson City Press
- La Campana
- Mundo Hispano

The notice was published on Thursday, September 6, 2012. The summary and the public comment forum were made available on THDA's website until September 21, 2012, which meets the requirements set forth in the State of Tennessee's Citizen Participation Plan. During the public comment period, no public comments were received.

B) Future Actions

The State of Tennessee will continue to administer the four formula programs covered in the Five-Year Consolidated Plan and work towards the goals set forth in the four priority areas. While the priority areas represent longer term goals, the Action Steps represent actions the four formula programs seek to carry out annually, in order to reach longer term goals. The State of Tennessee will also continue to work with local public housing authorities, as they work to adopt their long-term plans. Currently, the State of Tennessee assists with reviewing the plans of public housing authorities to ensure they are consistent with the State's Consolidated Plan. Aside from reporting, the Consolidated Partners will also continue to collaborate on housing related issues ranging from fair housing, to the new E-Con Planning Suite, to meeting specific housing and community actions steps as outlined in the Consolidated Plan.

In regards to reporting, the administering agencies will continue to report on the activities of the CDBG, HOME, ESG and HOPWA programs. The four agencies will also continue to complete the Annual Action Plan, which states the intentions and goals of each program for the following fiscal year. The Consolidated Partners have already participated in a number of webinars hosted through HUD's E-Con Planning Suite and will continue to participate throughout the year. The Consolidated Partners have a goal of utilizing the new Consolidated Planning tools and submitting an electronic FY 2013-14 Action Plan in May, 2014. Use of the new tools will require the State to upload its existing five year Consolidated Plan, which will occur during fall and winter of 2012-13.

Another area in which the Consolidated Partners hope to make significant progress is fair housing. Each agency recognizes past processes to be inadequate in fulfilling its obligation to further fair housing in Tennessee. The completion of the AI and a subsequent fair housing plan are the first steps in working to identify and then work to overcome impediments and barriers to fair housing. The Consolidated Partners will continue to make efforts in the area of fair housing over the coming year and update HUD and FHEO as goals are met.

Both the HOME and ESG Programs underwent substantial changes during the fiscal year. The former Emergency Shelter Grant became the Emergency Solutions Grant and the 2012 Appropriations Bill made significant changes to the HOME Program. While the changes to the ESG Program directly impacted the second allocation of 2011 funds, changes to the HOME Program will most significantly impact 2012 funds. THDA submitted a Substantial Amendment to the Consolidated Plan in anticipation of the changes impacting the 2012 HOME Program. Changes that directly impact the State of Tennessee's HOME Program are described in the Substantial Amendment and were also covered in the FY 2011-12 Annual Action Plan, which was submitted in May of 2012. THDA will work to administer the HOME Program as stated in the Action Plan and Substantial Amendment. The HOME Program also received significant cuts during the reporting period. While the State of Tennessee had over \$15 million available in FY 2011-12, the expected allocation for FY 2012-13 is over \$9 million. The decrease in HOME funds was also a consideration when the Substantial Amendment to the Consolidated Plan was made.

Although the State is not involved in providing direct services through the four formula programs, the State is responsible for ensuring funds are made available to local government and non-profit agencies throughout Tennessee that are capable of delivering services to local communities and individuals in need. While the State of Tennessee has identified specific target areas and community development and affordable housing goals, communities have the flexibility to use funds to reach their populations most efficiently and effectively. The State will continue to ensure funds from the four formula programs are used in a manner that is consistent with the Consolidated Plan, targets those who need assistance the most, and provides for the completion of community development and affordable housing goals.

STATE OF TENNESSEE
FY 2011-12 CONSOLIDATED ANNUAL PERFORMANCE AND EVALUATION REPORT
LIST OF TABLES

Table 1	Summary of Resources Made Available During FY 2011-12, All Programs	9
Table 2	Awards by Activity Type, CDBG Program	10
Table 3	Proposed Activities, HOME Program	10
Table 4	Funds Made Available, First Allocation of ESG Funds	11
Table 5	Distribution of Funds, HOPWA Program	12
Table 6	Single Family Loans, THDA Homeownership Programs	13
Table 7	Repair Activities by Grand Division, Emergency Repair Program	14
Table 8	Summary of Investments, FY 2011-12, All Programs	15
Table 9	Funding by Grand Division, CDBG Program	15
Table 10	Distribution of Funds by Grand Division, HOME Program	16
Table 11	Funding Categories and Proposed Activities, HOME Program	16
Table 12	Subrecipients and Funds by Grand Division, First Allocation of ESG Funds	18
Table 13	Funds Awarded by Grand Division, HOPWA Program	19
Table 14	Funds by Grand Division, Section 8 Tenant Based Housing Choice Voucher Program	19
Table 15	Contract Units by Grand Division, Section 8 Project Based Rental Assistance	20
Table 16	2011 Allocations and 2012 Forward Commitments, LIHTC Program	22
Table 17	Homeownership Loans by Grand Division, THDA Homeownership Programs	23
Table 18	Program Funds by Grand Division, Emergency Repair Program	25
Table 19	THDA Contribution by County and Grand Division, Rural Repair Program	26
Table 20	Summary of Geographic Distribution, All Programs	27
Table 21	Program Demographics, CDBG Program	28
Table 22	Benefit to Low and Moderate Income Persons, CDBG Program	28
Table 23	Household Income of Beneficiaries, HOME Program	29
Table 24	Income Characteristics of Beneficiaries, HOME Program	29
Table 25	Race/Ethnicity of Beneficiaries, HOME Program	30
Table 26	Size and Type of Household, HOME Program	30
Table 27	Beneficiaries by Race, First Allocation of ESG Funds	31
Table 28	Beneficiaries by Gender, First Allocation of ESG Funds	31
Table 29	Income of Beneficiaries, First Allocation of ESG Funds	32
Table 30	Race and Ethnicity of Beneficiaries, HOPWA Program	32
Table 31	Select Demographic Data, Section 8 Tenant Based Housing Choice Voucher Program	33
Table 32	Tenant Characteristics, Section 8 Project Based Rental Assistance	34
Table 33	Units Assisted by County and Grand Division, LIHTC	35
Table 34	Demographics of Participants, LIHTC	36
Table 35	THDA Loans by Household Type, THDA Homeownership Programs	37
Table 36	Average Income by Household Type, THDA Homeownership Programs	38
Table 37	Race/Ethnicity of Borrower, THDA Homeownership Programs	38
Table 38	Age of Borrowers, THDA Homeownership Programs	39
Table 39	Beneficiary Characteristics, Emergency Repair Program	40
Table 40	Demographics and Household Size, Rural Repair Program	40

Appendix A

FY 2011-12

State Grant Performance/Evaluation Report

Prepared By:

State of Tennessee

Department of Economic & Community Development

Part 1

State: Tennessee					Reporting Period FY: 2001							
Grant Number: B-01-DC-47-0001					Date as of: June 30, 2012							
1. Financial Status					2. National Objectives							
A. Total Funds					A. Period Specified for Benefit: FY 2001 to FY 2001							
					B. Amount Used to:							
(1) Allocation: \$31,505,000					(1) Benefit to Low/Moderate Income Persons: \$37,673,496							
(2) Program Income: \$9,184,647					(2) Prevent/Eliminate Slums/Blight: \$0							
					(3) Meet Urgent Community Development Needs: \$0							
B. Amount Obligated to Recipients: \$39,844,531					(4) Acquisition/Rehabilitation Noncountable: \$0							
C. Amount Drawn Down: \$30,324,394					(5) Local Administration: \$2,171,035							
D. Amount for State Administration: \$730,100												
E. Technical Assistance: \$0					TOTAL: \$39,844,531							
F. Section 108 Loan Guarantees: \$0												
					8. Accomplishments							
					Proposed				Actual			
3.	3a.	4.	4a.	5.	6.	7.	a.	b.	c.	d.	e.	f.
Locality	Status	activity	Status	Amount	Purpose	Nat'l Objective	# of Units/Loans	Total # of Persons Jobs	Total # of L/M Persons / L/M Jobs	# of Units/Loans	Total # of Persons Jobs	Total # of L/M Persons/ L/M Jobs
Adamsville	AC	13		\$29,145	PF	LMI	N/A	1,350	828	N/A	1,350	828
		4b		\$470,855								
Alamo	AC	13(P)		\$9,500	PF	LMI	N/A	3,285	2,470	N/A	3,285	2,470
		6(P)		\$164,500								
Algood	AC	13		\$22,500	PF	LMI	N/A	113	92	N/A	109	93
		4b		\$327,500								
Allardt	AC	13(P)		\$7,000	PF	LMI	N/A	2,421	2,089	N/A	2,421	2,089
		6(P)(M)		\$107,492								
Athens	AC	13		\$23,500	PF	LMI	N/A	352	253	N/A	321	263
		4a		\$476,500								
Atoka	AC	13		\$24,070	PF	LMI	N/A	2,525	1,394	N/A	2,525	1,394
		4b		\$356,353								
Bulls Gap	AC	13		\$21,500	PF	LMI	N/A	866	637	N/A	866	637
		4b		\$478,500								
Bell Buckle	AC	13		\$12,500	PF	LMI	N/A	482	357	N/A	482	357
		4b		\$387,500								
Benton	AC	13(P)		\$44,500	H	LMI	N/A	38	38	N/A	25	25
		2(P)		\$9,500								
		8(P)		\$309,000								

3.	3a.	4.	4a.	5.	6.	7.	a.	b.	c.	d.	e.	f.
Locality	Status	activity	Status	Amount	Pur- pose	Nat'l Objec- tive	# of Units/ Loans	Total # of Persons Jobs	Total # of L/M Persons / L/M Jobs	# of Units/ Loans	Total # of Persons Jobs	Total # of L/M Persons/ L/M Jobs
New Market	AC	13		\$21,036	PF	LMI	N/A	3,422	2,601	N/A	3,422	2,601
		4A		\$478,964								
Niota	AC	13		\$33,500	PF	LMI	N/A	315	225	N/A	271	216
		4a		\$716,500								
Obion County	AC	13(P)		\$15,000	ED	LMI	N/A	55	28	N/A	61	31
[Williams Sausage]		14b(P)		\$485,000								
Oliver Springs	AC	13		\$32,350	PF	LMI	N/A	5,842	4,510	N/A	5,842	4,510
		4a		\$467,650								
Orme	AC	13		\$16,240	PF	LMI	N/A	157	47	N/A	133	122
		4a		\$229,437								
Overton County	AC	13(P)		\$17,500	PF	LMI	N/A	10,798	8,142	N/A	10,798	8,142
		6(P)		\$282,500								
Parrottsville	AC	13		\$15,000	PF	LMI	N/A	209	150	N/A	209	150
		4b		\$258,000								
Pegram	AC	13(P)		\$54,500	H	LMI	N/A	19	19	N/A	26	26
		2(P)		\$6,000								
		8(P)		\$277,000								
		9a(P)		\$56,909								
Pigeon Forge	AC	13		\$16,500	PF	LMI	N/A	51	44	N/A	41	41
		4b		\$234,258								
Pleasant View	AC	13		\$21,000	PF	LMI	N/A	765	469	N/A	763	464
		4b		\$479,000								
Pulaski	AC	13		\$23,000	PF	LMI	N/A	3,835	3,298	N/A	3,835	3,298
		4b		\$477,000								
Puryear	AC	13		\$26,500	PF	U/N	N/A	668	N/A	N/A	668	N/A
		4a		\$473,500								
Red Boiling Springs	AC	13		\$21,500	PF	LMI	N/A	3,860	3,161	N/A	3,860	3,161
		4a		\$478,500								
Rutherford	AC	13		\$15,375	PF	LMI	N/A	1,234	887	N/A	1,234	887
		4a		\$436,491								
Samburg	AC	13(P)		\$59,000	H	LMI	N/A	32	32	N/A	21	21
		8(P)		\$270,000								
		9a(P)		\$171,000								
Sevier County	AC	13		\$20,251	PF	LMI	N/A	93	84	N/A	83	83
		4a		\$292,263								
Sevierville	AC	13		\$29,000	PF	LMI	N/A	12,362	7,516	N/A	12,362	7,516
		4b		\$471,000								
Shelbyville	AC	13		\$14,950	PF	LMI	N/A	76	54	N/A	76	55

STATE GRANT PERFORMANCE/EVALUATION REPORT

Part III: Civil Rights
State of Tennessee
Grant Number: B-01-47-0001

Section 570.491 of the State Community Development Block Grant (CDBG) Rule requires states to submit to HUD data on the racial, ethnic, and gender characteristics of persons who are applicants for, participants in or beneficiaries of CDBG programs. This information must be reported for each activity and should indicate:

1. White, not Hispanic
2. Black, not Hispanic
3. Hispanic
4. Asian or Pacific Islander
5. American Indian/Alaskan Native
6. Female head of households (if applicants or beneficiaries are receiving a direct benefit).

Locality	Activity	Applicant						Beneficiary						Total Served	# OF LMI	% LMI
		1	2	3	4	5	6	1	2	3	4	5	6			
Adamsville	WW Treatment Plt	0	0	0	0	0	0	1,305	28	17	0	0	220	1,350	827	61.26%
Alamo	Fire Protection	0	0	0	0	0	0	2,513	699	73	0	0	975	3,285	2,470	75.19%
Algood	Sewer Line Extension	100	13	0	0	0	16	0	0	0	0	0	113	92	81.42%	
Allardt	Fire Protection	0	0	0	0	0	0	2,421	0	0	0	0	208	2,421	2,089	86.29%
Athens	Water Line Extension	352	0	0	0	0	16	0	0	0	0	0	352	253	71.88%	
Atoka	WW Treatment Plt	0	0	0	0	0	0	2,346	131	16	24	8	235	2,525	1,394	55.21%
Bell Buckle	Sewer System	0	0	0	0	0	0	427	55	0	0	0	55	482	357	74.07%
Benton County	Water System	0	0	0	0	0	0	8,064	41	0	0	0	1,046	8,105	4,223	52.10%
Benton	Housing Rehab	20	0	0	0	0	0	0	0	0	0	0	20	20	100.00%	
Benton	Relocation	18	0	0	0	0	3	0	0	0	0	0	18	18	100.00%	
Bulls Gap	Sewer System	0	0	0	0	0	0	864	0	0	0	2	138	866	637	73.56%
Carthage	Sewer System	0	0	0	0	0	0	1,934	135	0	0	0	625	2,069	1,611	77.86%
Cocke County	Water Line Extension	279	0	2	0	0	21	0	0	0	0	0	281	227	80.78%	
Collinwood	Water System	0	0	0	0	0	0	1,548	5	0	2	0	243	1,555	987	63.47%
Cookeville	Sewer System	0	0	0	0	0	0	334	15	9	0	0	116	358	333	93.02%
Cowan	Sewer System	0	0	0	0	0	0	1,595	160	9	0	9	298	1,773	1,205	67.96%
Cottage Grove	Water System	0	0	0	0	0	0	121	1	0	0	0	10	122	76	62.30%
Crab Orchard	Housing Rehab	39	0	0	0	0	6	0	0	0	0	0	39	39	100.00%	
Cumberland Co.	Water Line Extension	114	0	0	0	0	7	0	0	0	0	0	114	97	85.09%	
Decaturville	Sewer System	0	0	0	0	0	0	521	12	0	0	0	101	533	420	78.80%
Decatur Co. (IT)	Sewer System	0	0	0	0	0	0	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A

STATE GRANT PERFORMANCE/EVALUATION REPORT

Locality	Activity	Applicant						Beneficiary						Total Served	# OF LMI	% LMI
		1	2	3	4	5	6	1	2	3	4	5	6			
Dyer	Sewer System	0	0	0	0	0	0	2,453	327	42	0	0	325	2,822	1,834	64.99%
East Ridge	Sewer System	0	0	0	0	0	0	1,159	130	10	13	10	216	1,322	796	60.21%
Englewood	Fire Protection	0	0	0	0	0	0	6,207	56	45	0	0	874	6,308	5,097	80.80%
Erin	Water System	0	0	0	0	0	0	4,791	412	16	0	0	506	5,219	4,598	88.10%
Fentress Co.	Water Line Extension	167	0	0	0	0	16	0	0	0	0	0	0	167	166	99.40%
Gates	Housing Rehab	6	10	0	0	0	7	0	0	0	0	0	0	16	16	100.00%
Gatlinburg	Sewer Line Extension	41	0	0	0	0	6	0	0	0	0	0	0	41	25	60.98%
Grand Junction	Sewer System	0	0	0	0	0	0	244	205	0	4	0	0	453	287	63.36%
Gruetli-Laager	Relocation	32	0	0	0	0	1	0	0	0	0	0	0	32	32	100.00%
Gruetli-Laager	Housing Rehab	19	0	0	0	0	4	0	0	0	0	0	0	19	19	100.00%
Hamblen Co.	Sewer Line Extension	115	12	0	0	0	21	0	0	0	0	0	0	127	96	75.59%
Hamilton Co.(IT)	Water System	0	0	0	0	0	0	N/A	N/A	N/A	N/A	N/A	N/A	6,237	N/A	N/A
Hancock Co.	Water System	0	0	0	0	0	0	1,200	0	0	0	0	128	1,200	967	80.58%
Harriman	WW Treatment Plt	0	0	0	0	0	0	4,844	89	0	0	0	745	4,933	3,058	61.99%
Hawkins Co.	Water Line Extension	263	7	3	2	0	5	0	0	0	0	0	0	275	149	54.18%
Henning	WW Treatment Plt	0	0	0	0	0	0	278	524	0	0	0	72	802	464	57.86%
Henry	Sewer System	0	0	0	0	0	0	363	94	47	0	0	46	504	414	82.14%
Hickman Co.	Water System	0	0	0	0	0	0	9,686	0	123	0	0	808	9,809	7,521	76.67%
Hohenwald	Water System	0	0	0	0	0	0	6,183	172	0	0	0	1,282	6,355	40,696	640.38%
Hollow Rock	Housing Rehab	27	40	3	0	0	10	0	0	0	0	0	0	70	70	100.00%
Hollow Rock	Relocation	0	4	0	0	0	2	0	0	0	0	0	0	4	4	100.00%
Hollow Rock	Clearance	31	40	3	0	0	12	0	0	0	0	0	0	74	74	100.00%
Hornbeak	Sewer System	121	0	0	0	0	17	0	0	0	0	0	0	121	104	85.95%
Houston Co.	Water Line Extension	280	6	1	0	0	21	0	0	0	0	0	0	287	252	87.80%
Huntingdon	Sewer System	0	0	0	0	0	0	2,391	733	96	57	115	906	3,392	2,473	72.91%
Iron City	Water Line Extension	108	0	0	0	0	14	0	0	0	0	0	0	108	102	94.44%
Jackson Co.	Fire Protection	0	0	0	0	0	0	11,872	0	0	0	132	1,729	12,004	10,263	85.50%
Lawrence Co.	Water System	0	0	0	0	0	0	2,617	14	56	0	0	281	2,687	1,829	68.07%
Lewis Co.	Health Department	0	0	0	0	0	0	5,641	229	31	0	0	781	5,901	5,779	97.93%
Lewsborg	Sewer System	0	0	0	0	0	0	487	250	100	3	4	137	844	649	76.90%
Livingston	Water System	0	0	0	0	0	0	7,885	35	0	0	0	1,124	7,920	4,593	57.99%
Loudon	Housing Rehab	24	0	0	0	0	8	0	0	0	0	0	0	24	24	100.00%
Macon Co.	Water Line Extension	198	1	0	0	20	17	0	0	0	0	0	0	219	188	85.84%
Martin	Water System	0	0	0	0	0	0	6,405	1,811	122	95	95	1,262	8,528	5,729	67.18%
McMinnville	Housing Rehab	44	2	0	0	0	7	0	0	0	0	0	0	46	40	86.96%
Milan	Sewer Line Extension	84	10	0	0	0	10	0	0	0	0	0	0	94	88	93.62%
Minor Hill	Water Line Extension	59	0	0	0	0	3	0	0	0	0	0	0	59	41	69.49%
Mitchellville	Sewer System	206	0	8	0	1	12	0	0	0	0	0	0	215	166	77.21%
Monroe Co.	Water Line Extension	265	1	0	0	10	27	0	0	0	0	0	0	276	168	60.87%

STATE GRANT PERFORMANCE/EVALUATION REPORT

Locality	Activity	Applicant						Beneficiary						Total Served	# OF LMI	% LMI
		1	2	3	4	5	6	1	2	3	4	5	6			
Monterey	Water System	0	0	0	0	0	0	3,691	25	538	18	0	671	4,272	3,289	76.99%
Morristown	Housing Rehab	48	0	0	0	0	5	0	0	0	0	0	48	48	100.00%	
Munford	Water System	0	0	0	0	0	0	9,058	634	0	16	11	894	9,719	6,268	64.49%
New Hope	Housing Rehab	21	0	0	0	0	4	0	0	0	0	0	21	21	100.00%	
New Hope	Relocation	5	0	0	0	0	2	0	0	0	0	0	5	5	100.00%	
New Market	Water System	0	0	0	0	0	0	3,393	49	0	0	0	330	3,442	2,620	76.12%
Niota	Water System	0	0	0	0	0	0	3,001	15	0	0	0	237	3,016	2,696	89.39%
Oliver Springs	Water System	0	0	0	0	0	0	5,766	76	0	0	0	386	5,842	4,510	77.20%
Orme	Water Line Extension	56	0	0	0	0	4	0	0	0	0	0	56	47	83.93%	
Overton Co.	Fire Protection	0	0	0	0	0	0	10,722	63	0	0	13	1,166	10,798	8,142	75.40%
Parrottsville	Sewer System	0	0	0	0	0	0	206	3	0	0	0	18	209	150	71.77%
Pegram	Housing Rehab	0	19	0	0	0	4	0	0	0	0	0	19	19	100.00%	
Pigeon Forge	Sewer Line Extension	46	0	5	0	0	10	0	0	0	0	0	51	44	86.27%	
Pleasant View	Sewer System	0	0	0	0	0	0	765	0	0	0	0	68	765	483	63.14%
Pulaski	Sewer System	0	0	0	0	0	0	1,381	2,453	0	1	0	1,026	3,835	3,299	86.02%
Puryear (IT)	Water System	0	0	0	0	0	0	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Red Boiling Springs	Water System	0	0	0	0	0	0	3,682	0	147	31	0	421	3,860	3,161	81.89%
Rutherford	Water System	0	0	0	0	0	0	940	294	0	0	0	245	1,234	857	69.45%
Samburg	Housing Rehab	34	0	0	0	0	4	0	0	0	0	0	34	32	94.12%	
Sevier Co.	Water Line Extension	93	0	0	0	0	5	0	0	0	0	0	93	84	90.32%	
Sevierville	Sewer System	0	0	0	0	0	0	10,767	997	66	133	399	1,755	12,362	7,701	62.30%
Shelbyville	Sewer Line Extension	67	0	9	0	0	6	0	0	0	0	0	76	54	71.05%	
Tellico Plains	Water System	0	0	0	0	0	0	4,504	9	0	0	0	208	4,513	2,812	62.31%
Tennessee Ridge	Water System	0	0	0	0	0	0	2,689	19	0	5	0	402	2,713	2,233	82.31%
Trousdale Co.	Water System	0	0	0	0	0	0	5,535	780	115	6	0	953	6,436	4,457	69.25%
Union City	Housing Rehab	0	9	0	0	0	2	0	0	0	0	0	9	9	100.00%	
Union City	Acq/Relocation	0	16	0	0	0	4	0	0	0	0	0	16	16	100.00%	
Union Co.(IT)	Water Line Extension	N/A	N/A	N/A	N/A	N/A	N/A	0	0	0	0	0	0	N/A	N/A	N/A
Van Buren Co.	Emergency Equip	0	0	0	0	0	0	4,753	7	20	0	100	500	4,880	1,593	32.64%
Warren Co.	Water Line Extension	176	2	0	0	8	15	0	0	0	0	0	186	136	73.12%	
Wartburg	Sewer Line Extension	111	0	0	0	0	12	0	0	0	0	0	111	80	72.07%	
Wartrace	Water System	0	0	0	0	0	0	1,785	53	19	0	0	191	1,857	1,135	61.12%
Watauga	Fire Protection	0	0	0	0	0	0	6,787	36	26	0	0	691	6,849	5,123	74.80%
Waynesboro	Water System	0	0	0	0	0	0	2,499	65	0	0	0	587	2,564	1,651	64.39%
White Pine	Sewer System	0	0	0	0	0	0	1,913	44	124	0	0	200	2,081	1,394	66.99%

Part 1

State: Tennessee					Reporting Period FY: 2002							
Grant Number: B-02-DC-47-0001					Date as of: June 30, 2012							
1. Financial Status					2. National Objectives							
A. Total Funds					A. Period Specified for Benefit: FY 2002 to FY 2002							
					B. Amount Used to:							
(1) Allocation: \$31,570,000					(1) Benefit to Low/Moderate Income Persons: \$34,702,658							
(2) Program Income: \$6,523,000					(2) Prevent/Eliminate Slums/Blight: \$0							
					(3) Meet Urgent Community Development Needs: \$677,060							
B. Amount Obligated to Recipients: \$37,183,991					(4) Acquisition/Rehabilitation Noncountable: \$0							
C. Amount Drawn Down: \$29,964,636					(5) Local Administration: \$1,804,273							
D. Amount for State Administration: \$731,400					TOTAL: \$37,183,991							
E. Technical Assistance: \$0												
F. Section 108 Loan Guarantees: \$0												
					8. Accomplishments							
					Proposed				Actual			
3.	3a.	4.	4a.	5.	6.	7.	a.	b.	c.	d.	e.	f.
Locality	Status	activity	Status	Amount	Pur- pose	Nat'l Objec- tive	# of Units/ Loans	Total # of Persons Jobs	Total # of L/M Persons / L/M Jobs	# of Units/ Loans	Total # of Persons/ Jobs	Total # of L/M Persons/ L/M Jobs
Auburntown	AC	13		\$17,500	PF	LMI	N/A	150	93	N/A	137	83
		4A		\$418,600								
Baxter	AC	13		\$20,500	PF	LMI	N/A	4,138	3,480	N/A	4,138	3,480
		4A		\$479,500								
Beersheba Springs	AC	13 (P)		\$24,000	H	LMI	N/A	20	20	N/A	25	25
		9A (P)		\$109,000								
		2 (P)		\$6,000								
		08 (P)		\$134,000								
Bolivar	AC	13		\$31,500	PF	LMI	N/A	5,509	3,944	N/A	5,509	3,944
		4B		\$468,500								
Bradley County	AC	13		\$23,500	PF	LMI	N/A	649	493	N/A	405	357
		4A		\$476,500								
Brighton	AC	13		\$31,500	PF	LMI	N/A	2,057	1,572	N/A	2,057	1,572
		4A		\$468,500								
Byrdstown	AC	13		\$18,500	PF	LMI	N/A	4,240	2,599	N/A	4,240	2,599
		4A		\$481,500								
Calhoun	AC	13		\$23,500	PF	LMI	N/A	303	226	N/A	177	160
		4A		\$476,500								
Campbell County	AC	13		\$1,500	PF	LMI	N/A	123	105	N/A	77	71
		4A		\$498,500								
Cannon County	AC	13		\$23,500	PF	LMI	N/A	181	121	N/A	97	53

STATE GRANT PERFORMANCE/EVALUATION REPORT

Part III: Civil Rights
 State of Tennessee
 Grant Number: B-09-47-0001

Section 570.491 of the State Community Development Block Grant (CDBG) Rule requires states to submit to HUD data on the racial, ethnic, and gender characteristics of persons who are applicants for, participants in or beneficiaries of CDBG programs. This information must be reported for each activity and should indicate:

1. White
2. Black/African American
3. Asian
4. American Indian/Alaskan Native
5. Native Hawaiian/Other Pacific Islander
6. American Indian/Alaskan Native & White

7. Asian & White
8. Black/African American & White
9. American Indian/Alaskan Native & Black/African American
10. Other Multi-Racial
11. Female head of households (if applicants or beneficiaries are receiving a direct benefit).

Locality	Activity	Applicant - Direct Benefit											Beneficiary											Total Served	# OF LMI	% LMI	
		1	2	3	4	5	6	7	8	9	10	11	1	2	3	4	5	6	7	8	9	10	11				
Athens	Sewer System Improvements	0	0	0	0	0	0	0	0	0	0	0	11,373	1,314	0	37	0	18	166	92	0	202	2,253	13,386	9,675	72.28%	
Bedford County	Water Line Extension	108	0	0	0	0	0	0	0	0	0	10	0	0	0	0	0	0	0	0	0	0	108	73	67.59%		
Bell Buckle	Water System Rehabilitation	0	0	0	0	0	0	0	0	0	0	0	1,792	243	6	0	0	6	0	6	0	23	258	2,076	1,666	80.25%	
Bells	Water System Improvements	0	0	0	0	0	0	0	0	0	0	0	1,140	670	20	14	0	0	0	0	0	0	650	382	2,494	2,087	83.68%
Benton County	Water Line Extension	62	0	0	0	0	0	0	0	0	0	3	0	0	0	0	0	0	0	0	0	0	0	63	46	73.02%	
Bledsoe County	Water Line Extension	169	0	0	0	0	0	0	0	0	0	5	0	0	0	0	0	0	0	0	0	0	0	169	121	71.60%	
Bradford	Sewer System Rehabilitation	0	0	0	0	0	0	0	0	0	0	0	841	132	5	0	0	0	0	0	0	0	196	978	763	78.02%	
Brownsville	Sewer System Improvements	0	0	0	0	0	0	0	0	0	0	0	5,675	3,586	0	0	0	0	0	0	0	197	2,327	9,458	5,817	61.50%	
Bruceston	Sewer System Rehabilitation	0	0	0	0	0	0	0	0	0	0	0	1,298	37	0	3	0	0	0	0	0	0	20	403	1,358	1,096	80.71%
Carthage	Fire Protection	0	0	0	0	0	0	0	0	0	0	0	1,807	183	0	0	0	0	48	0	0	37	637	2,075	1,819	87.66%	
Centerville	Sewer System Rehabilitation	0	0	0	0	0	0	0	0	0	0	0	2,017	57	0	0	0	0	0	0	0	0	559	2,074	1,606	77.43%	
Chester County	Fire Protection	0	0	0	0	0	0	0	0	0	0	0	3,012	179	21	0	0	0	0	0	0	0	155	3,236	2,316	71.57%	
Church Hill	Sewer System Improvements	0	0	0	0	0	0	0	0	0	0	0	4,890	30	0	0	0	0	0	0	0	0	856	4,920	3,065	62.30%	
Clairborne County	Water Line Extension	155	0	0	0	0	0	0	0	0	13	0	0	0	0	0	0	0	0	0	0	0	155	139	89.68%		
Clarksburg	Sewer Line Extension	57	2	0	0	0	0	4	0	0	0	17	0	0	0	0	0	0	0	0	0	0	63	43	68.25%		
Clifton	Water Line Extension	39	0	0	0	0	0	0	0	0	0	2	0	0	0	0	0	0	0	0	0	0	39	26	66.67%		
Coalmont	Housing Rehabilitation	48	0	0	0	0	0	0	0	0	3	0	0	0	0	0	0	0	0	0	0	0	48	48	100.00%		
Cocke County	Water Line Extension	149	0	0	0	0	1	0	0	0	18	0	0	0	0	0	0	0	0	0	0	0	150	131	87.33%		
Columbia	Youth Empowerment Center	0	0	0	0	0	0	0	0	0	0	0	335	500	5	6	0	3	3	25	4	143	176	1,024	978	95.51%	
Dayton	Water Pressure Improvement	0	0	0	0	0	0	0	0	0	0	0	2,540	23	0	0	0	0	0	0	0	0	37	263	2,600	1,841	70.81%
Franklin County	Water Line Extension	130	0	0	0	0	0	0	0	0	8	0	0	0	0	0	0	0	0	0	0	0	130	81	62.31%		
Giles County	Water System Rehabilitation	0	0	0	0	0	0	0	0	0	0	0	2,260	532	3	0	0	0	0	3	0	18	332	2,816	2,049	72.76%	
Gleason	Fire Protection	0	0	0	0	0	0	0	0	0	0	0	1,763	88	25	0	0	0	0	0	0	0	300	1,876	1,313	69.99%	
Grainger County	Water Line Extension	153	0	0	0	0	0	0	0	0	6	0	0	0	0	0	0	0	0	0	0	0	0	153	123	80.39%	
Guys	Early Warning Siren System	0	0	0	0	0	0	0	0	0	0	0	360	71	0	0	0	0	0	0	0	0	47	432	421	97.45%	
Halls	Sewer System Improvements	0	0	0	0	0	0	0	0	0	0	0	1,568	554	3	6	0	0	6	0	0	17	373	2,154	1,551	72.01%	
Hamilton County	Water Source Improvements	0	0	0	0	0	0	0	0	0	0	0	2,744	0	0	0	0	0	0	0	0	16	246	2,760	2,055	74.46%	
Haywood County	Water System Improvements	0	0	0	0	0	0	0	0	0	0	0	709	276	0	0	0	0	0	0	0	0	0	985	834	84.67%	
Henderson	Sewer Line Extension	49	4	0	0	0	0	0	0	0	3	0	0	0	0	0	0	0	0	0	0	0	49	45	91.84%		
Henderson County	Fire Protection	0	0	0	0	0	0	0	0	0	0	0	975	81	0	14	2	0	0	0	0	5	129	1,077	833	77.34%	
Humboldt	Housing Rehabilitation	0	52	0	0	0	0	0	0	0	10	0	0	0	0	0	0	0	0	0	0	0	52	52	100.00%		
Huntingdon	Sewer System Improvements	0	0	0	0	0	0	0	0	0	0	0	2,889	352	0	0	0	0	0	53	0	65	853	3,359	2,721	81.01%	
Jackson County	Water System Rehabilitation	0	0	0	0	0	0	0	0	0	0	0	5,023	0	0	0	0	0	0	0	0	5	463	5,028	3,867	76.91%	
Jamestown	Sewer System Improvements	0	0	0	0	0	0	0	0	0	0	0	1,717	4	0	7	0	0	0	4	0	28	623	1,760	1,604	91.14%	
Jefferson City	Sewer System Improvements	0	0	0	0	0	0	0	0	0	0	0	5,258	228	0	0	0	0	0	0	0	0	342	570	5,828	4,250	72.92%
Lauderdale County	Fire Protection	0	0	0	0	0	0	0	0	0	0	0	7,094	293	12	0	0	0	0	0	0	29	943	7,428	3,989	53.70%	

3.	3a.	4.	4a.	5.	6.	7.	a.	b.	c.	d.	e.	f.
Locality	Status	activity	Status	Amount	Pur- pose	Nat'l Objec- tive	# of Units/ Loans	Total # of Persons Jobs	Total # of L/M Persons / L/M Jobs	# of Units/ Loans	Total # of Persons/ Jobs	Total # of L/M Persons/ L/M Jobs
Lafollette	AC	13		\$31,500	PF	LMI	NA	8,672	5,273	NA	8,672	5,273
		4B		\$468,500								
Martin	AC	13		\$30,500	PF	LMI	NA	7,669	5,713	NA	7,669	5,713
		4B		\$469,500								
Maynardville	AC	13		\$27,500	PF	LMI	NA	75	73	NA	86	86
		4A		\$418,400								
Monroe	NR	13		\$21,750	PF	LMI						
		4A		\$374,100								
Mosheim		4B		\$500,000	PF	LMI						
Mount Pleasant	AC	13		\$22,500	PF	LMI	NA	2,840	2,417	NA	2,840	2,417
		4B		\$377,500								
Mountain City		13		\$31,500	PF	LMI						
		4B		\$468,500								
Newbern	AC	13		\$31,500	PF	LMI	NA	2,368	1,579	NA	2,368	1,579
		4B		\$468,500								
Palmer	NR	13		\$33,000	H	LMI						
		9A		\$302,000								
Polk County		13		\$23,500	PF	LMI						
		4A		\$476,500								
Putnam County	NR	13		\$16,000	PF	LMI						
		6		\$284,000								
Red Bank	NR	13		\$23,500	PF	LMI						
		4B		\$476,500								
Red Boiling Springs	NR	13		\$27,250	PF	LMI						
		4A		\$472,750								
Ridgley	NR	13		\$35,000	PF	LMI						
		4A		\$465,000								
Sequatchie County		13		\$28,500	PF	LMI						
		4A		\$471,500								
Shelbyville	NR	13		\$13,500	PF	LMI						
		4B		\$436,500								
Sparta	AC	13		\$26,500	PF	LMI	NA	3,938	3,182	NA	3,938	3,182
		4B		\$473,500								
Spencer		13		\$28,000	PF	LMI						
		4A		\$472,000								
Spring Hill		13		\$16,500	H	LMI						
		9A		\$483,500								
Trimble		13		\$28,921	PF	LMI						
		4B		\$455,514								
Trousdale County / Hartsville		13		\$28,500	PF	LMI						

STATE GRANT PERFORMANCE/EVALUATION REPORT

Part III: Civil Rights
 State of Tennessee
 Grant Number: B-10-47-0001

Section 570.491 of the State Community Development Block Grant (CDBG) Rule requires states to submit to HUD data on the racial, ethnic, and gender characteristics of persons who are applicants for, participants in or beneficiaries of CDBG programs. This information must be reported for each activity and should indicate:

1. White
2. Black/African American
3. Asian
4. American Indian/Alaskan Native
5. Native Hawaiian/Other Pacific Islander
6. American Indian/Alaskan Native & White

7. Asian & White
8. Black/African American & White
9. American Indian/Alaskan Native & Black/African American
10. Other Multi-Racial
11. Female head of households (if applicants or beneficiaries are receiving a direct benefit).

Locality	Activity	Applicant - Direct Benefit										Beneficiary										Total Served	# OF LMI	% LMI		
		1	2	3	4	5	6	7	8	9	10	11	1	2	3	4	5	6	7	8	9				10	11
Alamo	Sewer System Improvements	0	0	0	0	0	0	0	0	0	0	2,084	476	0	0	0	0	0	0	0	0	71	445	2,631	2,068	78.60%
Anderson County	Sewer Line Extension	44	26	0	0	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	71	57	80.28%
Baxter	Water System Rehabilitation	0	0	0	0	0	0	0	0	0	0	4,327	26	0	0	0	0	0	0	0	0	13	637	4,366	3,497	80.10%
Bradley County	Water Line Extension	346	1	0	0	0	0	0	0	0	0	20	0	0	0	0	0	0	0	0	0	0	0	347	345	99.42%
Brighton	Housing Rehabilitation	1	39	0	0	0	0	0	0	0	0	6	0	0	0	0	0	0	0	0	0	0	0	40	40	100.00%
Campbell County	Water Line Extension	81	0	0	0	0	0	0	0	0	0	12	0	0	0	0	0	0	0	0	0	0	0	81	78	96.30%
Celina	Water System Rehabilitation	0	0	0	0	0	0	0	0	0	0	4,130	12	61	49	41	0	0	0	28	32	792	4,353	3,713	85.30%	
Chapel Hill	Sewer System Rehabilitation	0	0	0	0	0	0	0	0	0	0	1,002	64	0	0	0	0	0	0	0	0	24	188	1,090	993	91.10%
Clay County	Water System Improvements	0	0	0	0	0	0	0	0	0	0	3,200	16	0	4	0	12	0	0	0	0	4	339	3,236	2,164	66.87%
Covington	Sewer Plant Improvements	0	0	0	0	0	0	0	0	0	0	3,519	4,822	0	40	0	0	0	0	0	0	79	1,455	8,460	6,988	82.60%
Crockett County	Emergency Service Improvements	0	0	0	0	0	0	0	0	0	0	9,290	3,009	60	43	0	0	34	60	9	2,383	2,129	14,888	11,613	78.00%	
Cumberland County	Water System Renovation	0	0	0	0	0	0	0	0	0	0	210	0	0	0	0	0	0	0	0	0	0	38	210	192	91.43%
Cumberland Gap	Water System Improvements	0	0	0	0	0	0	0	0	0	0	218	0	0	0	0	0	0	0	6	0	53	224	175	78.13%	
Decherd	Sewer System Rehabilitation	0	0	0	0	0	0	0	0	0	0	2,032	269	0	0	0	0	2	14	2	43	535	2,360	2,152	91.19%	
Dover	Sewer System Rehabilitation	0	0	0	0	0	0	0	0	0	0	1,382	14	1	1	2	0	0	0	0	0	0	238	1,400	1,273	90.93%
Doyle	Fire Protection	0	0	0	0	0	0	0	0	0	0	2,425	10	0	3	5	0	0	29	0	119	254	2,591	2,117	81.71%	
Dyer	Sewer Plant Improvements	0	0	0	0	0	0	0	0	0	0	1,850	112	0	0	0	0	3	0	2	6	677	1,973	1,383	70.10%	
Dyer County	Finley Elementary Wastewater	0	0	0	0	0	0	0	0	0	0	1,300	11	0	16	0	0	0	4	0	16	99	1,347	730	54.19%	
East Ridge	Drainage	0	0	0	0	0	0	0	0	0	0	5,412	120	136	24	1	17	9	6	1	45	939	5,761	3,243	56.29%	
Erin	Sewer System Rehabilitation	0	0	0	0	0	0	0	0	0	0	853	85	0	0	0	0	6	0	2	154	946	780	82.45%		
Ethridge	Early Warning Sirens	0	0	0	0	0	0	0	0	0	0	505	7	4	0	0	1	3	0	0	0	64	520	434	83.46%	
Gibson County	Fire Department Improvements	0	0	0	0	0	0	0	0	0	0	13,810	606	0	0	0	9	0	0	0	2	2,046	14,427	10,388	72.00%	
Greenfield	Water Treatment Plant Improvements	0	0	0	0	0	0	0	0	0	0	1,695	162	0	0	0	15	11	0	28	131	1,911	1,449	75.82%		
Harriman	Sewer System Improvements	0	0	0	0	0	0	0	0	0	0	4,703	186	0	0	0	0	24	0	53	1,058	4,956	3,595	72.39%		
Harrogate	Sewer Line Extension	104	0	2	0	0	0	0	0	0	16	0	0	0	0	0	0	0	0	0	0	0	106	91	85.85%	
Hawkins County	Water Line Extension	433	0	0	1	0	0	0	0	1	61	0	0	0	0	0	0	0	0	0	0	0	435	338	77.70%	
Henning	Fire Protection	0	0	0	0	0	0	0	0	0	0	1,062	1,373	0	21	0	10	0	15	0	43	1,462	2,524	1,815	71.91%	
Henry County	Water Tank Acquisition	0	0	0	0	0	0	0	0	0	0	1,252	50	0	0	0	0	0	0	16	138	1,318	771	58.50%		
Hornbeak	Sewer Line Extension	114	0	0	0	0	0	0	0	0	30	0	0	0	0	0	0	0	0	0	0	0	114	91	79.82%	
Humphreys County	Water System Improvements	0	0	0	0	0	0	0	0	0	0	1,938	0	0	0	0	0	0	0	0	207	1,938	1,642	84.73%		
Jellico	Wastewater System Improvement	0	0	0	0	0	0	0	0	0	0	2,354	29	0	0	0	0	0	0	9	333	2,392	1,979	82.73%		
Kimball	Sewer Line Extension	96	0	0	0	0	0	0	0	2	10	0	0	0	0	0	0	0	0	0	0	0	97	94	96.91%	
Lafayette	Sewer System Improvements	0	0	0	0	0	0	0	0	0	0	3,499	33	0	0	0	0	0	0	0	67	824	3,599	2,664	74.02%	
Lafollette	Sewer System Improvements	0	0	0	0	0	0	0	0	0	0	8,438	26	0	136	0	0	0	0	72	1,171	8,672	5,276	60.84%		
Lynnville	Water Storage Tank	0	0	0	0	0	0	0	0	0	0	678	42	7	0	0	0	5	0	0	83	732	516	70.49%		
Martin	Sewer System Improvements	0	0	0	0	0	0	0	0	0	0	5,997	1,314	90	7	0	0	30	45	0	186	1,020	7,669	5,713	74.49%	
Maynardville	Water Line Extension	75	0	0	0	0	0	0	0	0	18	0	0	0	0	0	0	0	0	0	0	0	75	73	97.33%	
Monroe County	Water Line Extension	77	0	0	0	0	0	0	0	0	13	0	0	0	0	0	0	0	0	0	0	0	77	77	100.00%	
Mosheim	Sewer Plant Rehabilitation	0	0	0	0	0	0	0	0	0	0	3,420	102	0	0	0	0	0	0	22	517	3,544	2,499	70.51%		

Part I

State: Tennessee					Reporting Period FY: 2011							
Grant Number: B-11-DC-47-0001					Date as of: June 30, 2012							
I. Financial Status					2. National Objectives							
A. Total Funds					A. Period Specified for Benefit: FY 2011 to FY 2011							
					B. Amount Used to:							
(1) Allocation: \$24,449,841					(1) Benefit to Low/Moderate Income Persons: \$17,321,279							
(2) Program Income: \$0					(2) Prevent/Eliminate Slums/Blight: \$0							
					(3) Meet Urgent Community Development Needs: \$0							
B. Amount Obligated to Recipients: \$18,512,709					(4) Acquisition/Rehabilitation Noncountable: \$0							
C. Amount Drawn Down: \$12,868					(5) Local Administration: 1,191,430.00							
D. Amount for State Administration: \$588,997												
E. Technical Assistance: \$244,498					TOTAL: \$18,512,709							
F. Section 108 Loan Guarantees: \$0												
					8. Accomplishments							
								Proposed			Actual	
3.	3a.	4.	4a.	5.	6.	7.	a.	b.	c.	d.	e.	f.
Locality	Status	activity	Status	Amount	Purpose	Nat'l Objective	# of Units/Loans	Total # of Persons Jobs	Total # of L/M Persons / L/M Jobs	# of Units/Loans	Total # of Persons/ Jobs	Total # of L/M Persons/ L/M Jobs
Benton	NR	4A		\$473,000	PF	LMI						
		13		\$27,000								
Cannon County	NR	4A		\$261,000	PF	LMI						
		13		\$17,500								
Caryville	NR	4A		\$435,160	PF	LMI						
		13		\$33,260								
Copperhill	NR	4B		\$209,500	PF	LMI						
		13		\$15,500								
Cornersville	NR	9A		\$208,500	H	LMI						
		13		\$16,500								
DeKalb County	NR	4A		\$471,500	PF	LMI						
		13		\$28,500								
Ducktown	NR	6		\$100,000	PF	LMI						
Elizabethton	NR	4B		\$465,000	PF	LMI						
		13		\$35,000								
Fentress County	NR	6		\$285,000	PF	LMI						
		13		\$15,000								
Gainesboro	NR	4A		\$278,500	PF	LMI						
		13		\$21,500								
Giles County	NR	4A		\$485,000	PF	LMI						

3.	3a.	4.	4a.	5.	6.	7.	a.	b.	c.	d.	e.	f.
Locality	Status	activity	Status	Amount	Purpose	Nat'l Objective	# of Units/Loans	Total # of Persons Jobs	Total # of L/M Persons / L/M Jobs	# of Units/Loans	Total # of Persons/ Jobs	Total # of L/M Persons/ L/M Jobs
		13		\$15,000								
Gleason	NR	6		\$177,621	PF	LMI						
		13		\$11,900								
Greeneville	NR	4B		\$500,000	PF	LMI						
Halls	NR	4B		\$468,500	PF	LMI						
		13		\$31,500								
Hartsville / Trousdale County	NR	4A		\$471,500	PF	LMI						
		13		\$28,500								
Jackson County	NR	6		\$285,000	PF	LMI						
		13		\$15,000								
Jamestown	NR	4B		\$475,000	PF	LMI						
		13		\$25,000								
Lauderdale County	NR	6		\$279,000	PF	LMI						
		13		\$21,000								
Lawrence County	NR	4A		\$480,500	PF	LMI						
		13		\$19,500								
Lawrenceburg	NR	4B		\$479,000	PF	LMI						
		13		\$21,000								
Lewis County	NR	4A		\$480,000	PF	LMI						
		13		\$20,000								
Lewisburg	NR	4B		\$477,000	PF	LMI						
		13		\$23,000								
Livingston	NR	4A		\$475,000	PF	LMI						
		13		\$25,000								
Mason	NR	4B		\$473,500	PF	LMI						
		13		\$26,500								
Maury County	NR	4B		\$481,500	PF	LMI						
		13		\$18,500								
Meigs County	NR	4B		\$380,050	PF	LMI						
		13		\$20,000								
Milan	NR	4B		\$468,500	PF	LMI						
		13		\$31,500								
New Hope	NR	6		\$180,000	PF	LMI						
		13		\$20,000								
Newport	NR	4A		\$465,000	PF	LMI						
		13		\$35,000								
Oneida	NR	4B		\$470,000	PF	LMI						
		13		\$30,000								
Overton County	NR	4A		\$472,000	PF	LMI						

STATE GRANT PERFORMANCE/EVALUATION REPORT

Part III: Civil Rights
 State of Tennessee
 Grant Number: B-11-DY-47-0001

Section 570.491 of the State Community Development Block Grant (CDBG) Rule requires states to submit to HUD data on the racial, ethnic, and gender characteristics of persons who are applicants for, participants in or beneficiaries of CDBG programs. This information must be reported for each activity and should indicate:

1. White
2. Black/African American
3. Asian
4. American Indian/Alaskan Native
5. Native Hawaiian/Other Pacific Islander
6. American Indian/Alaskan Native & White

7. Asian & White
8. Black/African American & White
9. American Indian/Alaskan Native & Black/African American
10. Other Multi-Racial
11. Female head of households (if applicants or beneficiaries are receiving a direct benefit).

Locality	Activity	Beneficiary											Applicant -Direct Benefit											Total Served	# OF LMI	% LMI		
		1	2	3	4	5	6	7	8	9	10	11	1	2	3	4	5	6	7	8	9	10	11					
Ardmore	Water System	1,648	97	20	4						31														1,800	1,721	95.61%	
Benton	Water System	2,402	3																							2,405	2,015	83.78%
Brownsville	Sewer System Improvements	5,492	3,125					57	34		122															8,830	5,492	62.20%
Bulls Gap	Fire Protection	6,104	61	4					4		89															6,262	5,925	94.62%
Cannon County	Water Line Extension													35										3	35	34	97.14%	
Carter County	Water System	1,228	3				14	9																		1,254	885	70.57%
Caryville	Water System	9,359	21	20		74		1			304															9,779	7,706	78.80%
Copperhill	Sewer System Improvements	517	1								8															526	444	84.41%
Comersville	Housing Rehabilitation													4	4									2	8	7	87.50%	
Crossville	Sewer System Improvements	8,612	39	26	92		13				341															9,123	6,231	68.30%
DeKalb County	Water Line Extension													129										10	129	107	82.95%	
Ducktown	Fire Hall	5,590	6								10															5,606	4,345	77.51%
Elizabethton	Sewer System Improvements	10,695	126	20			5	10	8		196															11,060	5,696	51.50%
Fentress County	Fire Protection	2,737	4						4		37															2,782	2,426	87.20%
Gainesboro	Water System	1,310																								1,310	1,111	84.81%
Giles County	Water System	2,260	533	3					3		17															2,816	2,309	82.00%
Gleason	Street Improvements	1,791	88	25																						1,904	1,352	71.01%
Greeneville	Sewer System Improvements	12,794	312				21				371															13,498	8,118	60.14%
Grundy County	Water System	14,209	20	24	43				3	16	127															14,442	11,481	79.50%
Halls	Sewer System Improvements	1,565	554	3	6				9		17															2,154	1,651	72.01%
Hartsville/Trousdale Co.	Water System	5,607	1,202								244															7,053	6,016	85.30%
Jackson County	Fire Protection	12,673		13							63															12,749	10,556	82.80%
Jamestown	Sewer System Improvements	1,717	4		7					4	28															1,760	1,637	93.01%
Jefferson County	Water Line Extension													82										2	11	84	71	84.52%
Lake County	Incinerator	3,154	2,481	11	31																					5,677	2,943	51.84%
Lauderdale County	Ambulance Services	19,866	8,654	15	150				46	37	370															29,158	19,040	65.30%
Lawrence County	Water Line Extension													205	4									7	15	216	163	75.46%
Lawrenceburg	Sewer System Improvements	2,935	231								45															3,211	2,896	90.19%
Lewis County	Water System	5,621	118																							5,739	4,207	73.31%
Lewisburg	Sewer System Improvements	1,627	382	2			18	29	18		168															2,244	1,959	87.30%
Livingston	Water System	10,567	34							17																10,618	7,634	71.90%
Mason	Sewer System Improvements	278	488									11	27													804	662	82.34%
Maury County	Sewer System Improvements	2,365	440						9		26															2,840	2,341	82.43%
Meigs County	Sewer System Improvements	1,128	5								8															1,141	870	76.25%
Milan	Sewer System Improvements	5,600	1,453	51	77		122	148	51	13	174															7,689	5,498	71.50%
Mount Carmel	Sewer System Improvements	4,143	20						193		44															4,400	2,424	55.09%
New Hope	Fire Protection	8,937	106	22	30				22	38	43															9,198	7,740	84.15%

STATE GRANT PERFORMANCE/EVALUATION REPORT

Locality	Activity	Beneficiary											Applicant -Direct Benefit											Total Served	# OF LMI	% LMI	
		1	2	3	4	5	6	7	8	9	10	11	1	2	3	4	5	6	7	8	9	10	11				
Newport	Water Line Extension												95											95	78	82.11%	
Norris	Sewer System Improvements	1,027																						1,027	871	84.81%	
Oneida	Sewer System Improvements	3,068	85								36													3,189	2,471	77.49%	
Overton County	Water System	6,583	28								58													6,676	5,201	77.91%	
Parsons	Housing Rehabilitation						7																	46	46	100.00%	
Sevier County	Water Line Extension												118	1										8	46	46	100.00%
Smith County	Water Line Extension												55											9	119	100	84.03%
Sneedville	Sewer System Improvements	1,186																			5			4	60	54	90.00%
Soddy Daisy	Sewer Line Extension							11																71	1,197	1,043	87.13%
South Fulton	Sewer System Improvements	2,032	713								8													9	71	47	66.20%
Spring City	Sewer System Improvements	1,267	71		7				10		13														2,753	1,977	71.81%
Stanton	Housing Rehabilitation												11	4	2										1,368	1,033	75.51%
Sumner County	Water Line Extension												34											2	17	17	100.00%
Sunbright	Rescue Improvements	1,527																						2	34	34	100.00%
Tiptonville	Water System	1,519	430								64														1,527	1,194	78.19%
Tracy City	Water System	3,416																							2,013	1,476	73.32%
Trenton	Sewer System Improvements	2,963	947	13					5			92													3,416	2,774	81.21%
Tullahoma	Sewer System Improvements	2,317	208		18			21		32		30													4,020	2,722	67.71%
Union City	Counseling Center	1,522	190									24													2,626	2,182	83.09%
Van Buren County	Water System	3,680	4		4	23	12		8			42													1,736	1,564	90.09%
Warren County	Water System	13,008	214	175				78		39		662													3,773	2,879	76.31%
Wartrace	Water Line Extension												34												14,176	10,093	71.20%
																								2	34	25	73.53%
</																											

3.	3a.	4.	4a.	5.	6.	7.	a.	b.	c.	d.	e.	f.
Locality	Status	activity	Status	Amount	Pur- pose	Nat'l Objec- tive	# of Units/ Loans	Total # of Persons Jobs	Total # of L/M Persons / L/M Jobs	# of Units/ Loans	Total # of Persons/ Jobs	Total # of L/M Persons/ L/M Jobs
		4B		\$463,500								
McNairy County	AC	13		\$19,500	PF	LMI	N/A	4,612	4,321	N/A	4,612	4,321
		6		\$280,500								
Michie	AC	13		\$7,050	PF	LMI	N/A	2,135	1,522	N/A	2,135	1,522
		6		\$87,126								
Milan	AC	13		\$29,050	PF	LMI	N/A	7,443	5,389	N/A	7,443	5,389
		4B		\$470,950								
Moscow	AC	13		\$9,500	PF	LMI	NA	2,905	2,330	NA	2,905	2,330
		6		\$129,730								
Mosheim	AC	6		\$135,900	PF	LMI	N/A	2,034	1,302	N/A	2,034	1,302
New Johnsonville	AC	13		\$15,000	PF	LMI	NA	1,986	1,523	NA	1,986	1,523
		4A		\$190,000								
Obion	AC	13		\$20,320	PF	LMI	NA	1,192	966	N/A	1,192	966
		4B		\$345,408								
Obion County	AC	13		\$19,500	PF	LMI	NA	6,645	6,306	NA	6,645	6,306
		6		\$280,500								
Oliver Springs	AC	13		\$31,500	PF	LMI	N/A	5,441	4,005	N/A	5,441	4,005
		4A		\$468,500								
Overton County		13(P)		\$11,500	ED	LMI						
[Cooper Recycling]		14B(P)		\$430,784								
Polk County	AC	13		\$28,500	PF	LMI	N/A	280	261	N/A	185	171
		4A		\$471,500								
Scott County	AC	13		\$28,475	PF	LMI	N/A	93	69	N/A	65	56
		4B		\$421,547								
Selmer		13		\$6,480	PF	LMI						
		6		\$164,500								
Sevier County	AC	4A		\$400,000	PF	LMI	N/A	159	135	N/A	130	126
Sparta	AC	13		\$25,000	PF	LMI	N/A	4,289	3,457	N/A	4,289	3,457
		4B		\$475,000								
Spencer	AC	13		\$28,000	PF	LMI	N/A	3,224	2,589	N/A	3,224	2,589
		4A		\$472,000								
Stewart County		13		\$36,500	PF	LMI						
		4A		\$463,500								
Sweetwater		13		\$17,500	PF	LMI						

STATE GRANT PERFORMANCE/EVALUATION REPORT

Part III: Civil Rights
 State of Tennessee
 Grant Number: B-08-47-0001

Section 570.491 of the State Community Development Block Grant (CDBG) Rule requires states to submit to HUD data on the racial, ethnic, and gender characteristics of persons who are applicants for, participants in or beneficiaries of CDBG programs. This information must be reported for each activity and should indicate:

1. White
2. Black/African American
3. Asian
4. American Indian/Alaskan Native
5. Native Hawaiian/Other Pacific Islander
6. American Indian/Alaskan Native & White

7. Asian & White
8. Black/African American & White
9. American Indian/Alaskan Native & Black/African American
10. Other Multi-Racial
11. Female head of households (if applicants or beneficiaries are receiving a direct benefit).

Locality	Activity	Applicant - Direct Benefit											Beneficiary											Total Served	# OF LMI	% LMI		
		1	2	3	4	5	6	7	8	9	10	11	1	2	3	4	5	6	7	8	9	10	11					
Alamo	Fire Protection	0	0	0	0	0	0	0	0	0	0	0	3,324	434	0	0	0	0	0	0	0	0	76	518	3,834	2,929	76.40%	
Auburntown	Water Line Extension	114	0	0	0	0	0	0	1	0	0	11	0	0	0	0	0	0	0	0	0	0	0	0	115	99	86.09%	
Byrdstown	Water System Improvement	0	0	0	0	0	0	0	0	0	0	0	5,025	0	0	0	0	0	0	0	0	0	0	77	617	5,102	4,122	80.79%
Celina	Water System Rehabilitation	0	0	0	0	0	0	0	0	0	0	0	3,716	145	0	0	0	0	0	0	0	0	17	636	3,878	3,025	78.00%	
Clay County	Ambulances (EMS Project)	0	0	0	0	0	0	0	0	0	0	0	9053	163	0	0	0	11	0	0	0	11	1,465	9238	7545	81.67%		
Collinwood	Water System Development	0	0	0	0	0	0	0	0	0	0	0	1,234	0	0	0	0	0	0	0	0	0	0	0	1,234	966	78.28%	
Decatur	Sewer Line Extension	48	0	0	0	0	0	0	0	0	0	5	0	0	0	0	0	0	0	0	0	0	0	0	48	43	89.58%	
DeKalb County	Water Line Extension	144	0	0	1	0	4	0	0	0	4	17	0	0	0	0	0	0	0	0	0	0	0	0	48	43	89.58%	
Elizabethon	Water System Rehabilitation	0	0	0	0	0	0	0	0	0	0	0	23,668	439	49	122	0	49	0	49	24	317	4,685	24,717	15,250	61.70%		
Englewood	Sewer System Improvement	0	0	0	0	0	0	0	0	0	0	32	0	0	0	0	0	0	0	0	0	0	0	229	1,163	962	82.72%	
Fayette County	Housing Rehabilitation	0	67	0	0	0	0	0	0	0	0	0	1,163	0	0	0	0	0	0	0	0	0	0	0	229	1,163	962	82.72%
Gallaway	Water System Improvement	0	0	0	0	0	0	0	0	0	0	0	742	520	0	0	0	24	21	0	21	193	1,328	1,176	1,898	77.88%		
Graysville	Water Plant Improvement	0	0	0	0	0	0	0	0	0	0	0	2,335	3	0	0	0	3	3	3	0	90	219	2,437	1,898	77.88%		
Greene County	Water System Rehabilitation	0	0	0	0	0	0	0	0	0	0	0	8,887	0	69	0	0	0	0	234	0	317	953	9,535	7,304	76.60%		
Greenville	Housing Rehabilitation	24	0	0	0	0	0	0	0	0	0	8	0	0	0	0	0	0	0	0	0	0	0	24	24	100.00%		
Grundy County	Water System	0	0	0	0	0	0	0	0	0	0	0	15,409	0	36	0	0	7	14	14	0	85	1,649	15,565	11,855	76.16%		
Hamblen County	Sewer Line Extension	91	0	0	0	0	0	0	0	0	0	10	0	0	0	0	0	0	0	0	0	0	0	0	91	75	82.42%	
Harriman	Sewer System	0	0	0	0	0	0	0	0	0	0	0	4,908	125	0	0	0	0	0	0	0	0	69	1,077	5,102	3,827	75.01%	
Hartsville	Water System	0	0	0	0	0	0	0	0	0	0	0	5,816	845	0	0	0	0	0	0	0	0	126	1,011	6,787	5,742	84.60%	
Houston County	Water Line Extension	86	0	0	0	0	0	0	0	0	14	0	0	0	0	0	0	0	0	0	0	0	0	0	86	81	94.19%	
Jasper	Water System Improvement	0	0	0	0	0	0	0	0	0	0	0	7,575	205	27	0	0	0	0	0	0	122	722	7,929	6,512	82.13%		
Lafayette	Sewer System Rehabilitation	0	0	0	0	0	0	0	0	0	0	0	3,265	0	0	0	0	0	29	0	0	195	786	3,489	2,663	76.33%		
Lafollette	Sewer System Improvement	0	0	0	0	0	0	0	0	0	0	0	8,372	49	0	36	0	0	0	0	0	86	1,299	8,543	6,962	81.49%		
Lake City	Sewer System Rehabilitation	0	0	0	0	0	0	0	0	0	0	0	1,629	0	0	0	0	0	0	0	0	14	427	1,643	1,498	91.17%		
Lawrence County	Water Line Extension	272	5	0	1	0	1	0	0	0	32	0	0	0	0	0	0	0	0	0	0	0	0	0	279	186	66.67%	
Lewis County	Water System Rehabilitation	0	0	0	0	0	0	0	0	0	0	0	5,621	87	0	0	0	0	0	0	0	31	1,036	5,739	3,874	67.50%		
Lewisburg	Sewer System	0	0	0	0	0	0	0	0	0	0	0	855	337	0	0	0	0	9	5	0	61	221	1,267	1,171	92.42%		
Marshall County	Water Line Extension	220	0	0	2	0	5	10	0	0	6	25	0	0	0	0	0	0	0	0	0	0	0	0	243	209	86.01%	
Martin	Sewer System Improvement	0	0	0	0	0	0	0	0	0	0	0	5,073	1,660	0	0	0	0	0	0	0	13	1,315	6,746	5,060	75.01%		
Mason	Water System Improvement	0	0	0	0	0	0	0	0	0	0	0	1,213	1,351	0	0	0	0	0	0	0	0	0	310	2,564	2,390	93.21%	
Maynardville	Water System	0	0	0	0	0	0	0	0	0	0	0	5,088	15	0	0	0	5	0	0	0	15	664	5,108	4,148	81.21%		
McKenzie	Sewer System Improvement	0	0	0	0	0	0	0	0	0	0	0	3,771	573	18	6	0	0	0	0	0	98	2,075	4,466	3,010	67.40%		
McNairy County	Health Department Renovation	0	0	0	0	0	0	0	0	0	0	0	3,954	264	217	72	0	0	0	0	0	105	516	4,612	4,323	93.73%		
Michie	Fire Protection	0	0	0	0	0	0	0	0	0	0	0	2,028	107	0	0	0	0	0	0	0	0	0	406	2,135	1,520	71.19%	

State: Tennessee					Reporting Period FY: 2009							
Grant Number: B-08-R-47-0001					Date as of: June 30, 2012							
1. Financial Status					2. National Objectives							
A. Total Funds					A. Period Specified for Benefit: FY 2009 to FY 2009							
					B. Amount Used to:							
(1) Allocation: \$7,095,627					(1) Benefit to Low/Moderate Income Persons: \$6,492,299							
(2) Program Income: \$0					(2) Prevent/Eliminate Slums/Blight: \$0							
					(3) Meet Urgent Community Development Needs: \$0							
B. Amount Obligated to Recipients: \$6,915,871					(4) Acquisition/Rehabilitation Noncountable: \$0							
C. Amount Drawn Down: \$7,043,058					(5) Local Administration: \$423,572							
D. Amount for State Administration: \$179,756												
E. Technical Assistance: \$0					TOTAL: \$6,915,871							
F. Section 108 Loan Guarantees: \$0												
8. Accomplishments												
					Proposed			Actual				
3.	3a.	4.	4a.	5.	6.	7.	a.	b.	c.	d.	e.	f.
Locality	Status	activity	Status	Amount	Pur- pose	Nat'l Objec- tive	# of Units/ Loans	Total # of Persons Jobs	Total # of L/M Persons / L/M Jobs	# of Units/ Loans	Total # of Persons/ Jobs	Total # of L/M Persons/ L/M Jobs
Baileyton	AC	13		\$1,000	PF	LMI	NA	401	325	NA	401	325
		4B		\$224,000								
Benton	AC	13		\$30,500	PF	LMI	N/A	105	95	N/A	87	83
		4B		\$419,500								
Charleston	AC	13		\$28,500	PF	LMI	NA	90	86	NA	97	94
		4B		\$471,500								
Crossville	AC	13		\$31,500	PF	LMI	N/A	9852	6069	N/A	9852	6069
		4B		\$468,500								
Enville	AC	13		\$6,250	PF	LMI	NA	960	723	NA	988	774
		6		\$56,688								
Gainesboro	AC	13		\$27,000	PF	LMI	N/A	1,287	1,082	N/A	1,287	1,082
		4A		\$473,000								
Gates	AC	13		\$23,700	PF	LMI	NA	604	575	NA	604	575
		4A		\$366,360								
Maury County	AC	13		\$19,000	PF	LMI	N/A	5,534	3,968	N/A	5,534	3,968
		4A		\$455,000								

Part 1

State: Tennessee					Reporting Period FY: 2009							
Grant Number: B-09-DC-47-0001					Date as of: June 30, 2012							
1. Financial Status					2. National Objectives							
A. Total Funds					A. Period Specified for Benefit: FY 2009 to FY 2009							
					B. Amount Used to:							
(1) Allocation: \$26,660,860					(1) Benefit to Low/Moderate Income Persons: \$25,000,293							
(2) Program Income: \$0					(2) Prevent/Eliminate Slums/Blight: \$0							
					(3) Meet Urgent Community Development Needs: \$0							
B. Amount Obligated to Recipients: \$26,494,428					(4) Acquisition/Rehabilitation Noncountable: \$0							
C. Amount Drawn Down: \$20,875,655					(5) Local Administration: \$1,494,135							
D. Amount for State Administration: \$633,217												
E. Technical Assistance: \$0					TOTAL: \$26,494,428							
F. Section 108 Loan Guarantees: \$0												
					8. Accomplishments							
					Proposed				Actual			
3.	3a.	4.	4a.	5.	6.	7.	a.	b.	c.	d.	e.	f.
Locality	Status	activity	Status	Amount	Pur- pose	Nat'l Objec- tive	# of Units/ Loans	Total # of Persons Jobs	Total # of L/M Persons / L/M Jobs	# of Units/ Loans	Total # of Persons/ Jobs	Total # of L/M Persons/ L/M Jobs
Athens		13		\$26,500	PF	LMI						
		4B		\$473,500								
Bedford County	AC	13		\$18,500	PF	LMI	NA	104	75	NA	102	79
		4A		\$341,500								
Bell Buckle		13		\$9,500	PF	LMI						
		4A		\$284,500								
Benton County	AC	13		\$19,500	PF	LMI	NA	61	46	NA	35	31
		4A		\$289,756								
Bledsoe County		13		\$28,500	PF	LMI						
		4A		\$471,500								
Bradford	AC	13		\$13,758	PF	LMI	NA	976	765	NA	976	765
		4B		\$205,728								
Brownsville	AC	13		\$21,500	PF	LMI	NA	9458	5817	NA	9458	5817
		4B		\$478,500								
Bruceton	AC	13		\$18,900	PF	LMI	NA	1358	1096	NA	1358	1096
		4B		\$275,100								
Carthage		13		\$9,000	PF	LMI						
		6		\$186,500								
Centerville	AC	13		\$24,500	PF	LMI	NA	2074	1606	NA	2074	1606
		4B		\$361,900								
Chester County		13		\$19,500	PF	LMI						
		6		\$280,500								
Church Hill	AC	13		\$31,500	PF	LMI	NA	4920	3065	NA	4920	3065
		4B		\$468,500								

3. Locality	3a. Status	4. activity	4a. Status	5. Amount	6. Pur- pose	7. Nat'l Objec- tive	a. # of Units/ Loans	b. Total # of Persons Jobs	c. Total # of L/M Persons / L/M Jobs	d. # of Units/ Loans	e. Total # of Persons/ Jobs	f. Total # of L/M Persons/ L/M Jobs
Claiborne County	AC	13		\$24,000	PF	LMI	NA	155	139	NA	148	132
		4A		\$444,964								
Clarksburg	NR	13		\$28,500	PF	LMI						
		4B		\$471,500								
Clifton	NR	13		\$31,500	PF	LMI						
		4A		\$468,500								
Coalmont	NR	13		\$22,500	H	LMI						
		9A		\$238,500								
Cocke County	AC	13		\$23,650	PF	LMI	NA	150	131	NA	183	145
		4A		\$476,350								
Columbia	NR	13		\$13,500	PF	LMI						
		6		\$286,500								
Dayton	AC	13		\$26,500	PF	LMI	NA	2600	1841	NA	2600	1841
		4A		\$473,500								
Franklin County	AC	13		\$11,500	PF	LMI	NA	130	81	NA	141	88
		4A		\$354,860								
Giles County	AC	13		\$18,500	PF	LMI	NA	2,816	2,050	NA	2,816	2,050
		4A		\$480,634								
Gleason	AC	13		\$10,989	PF	LMI	NA	1,904	1,352	NA	1,904	1,352
		6		\$179,228								
Grainger County	AC	13		\$31,500	PF	LMI	NA	153	123	NA	83	83
		4A		\$468,500								
Guys		13		\$4,590	PF	LMI						
		6		\$45,087								
Halls	AC	13		\$33,000	PF	LMI	NA	2,154	1,551	NA	2,154	1,551
		4B		\$467,000								
Hamilton County	AC	13		\$28,500	PF	LMI	NA	2,760	2,053	NA	2,760	2,053
		4A		\$471,500								
Haywood County	NR	13		\$25,000	PF	LMI						
		4A		\$475,000								
Henderson		13		\$13,500	PF	LMI						
		4B		\$186,500								
Henderson County		13		\$13,115	PF	LMI						
		6		\$180,462								
Humboldt	AC	13		\$54,000	H	LMI	17	52	52	5	7	7
		9A		\$52,000								
		8		\$388,000								
		2		\$6,000								
Huntingdon	AC	13		\$26,500	PF	LMI	NA	3,359	2,721	NA	3,359	2,721
		4B		\$473,500								
Jackson County	AC	13		\$27,000	PF	LMI	NA	5,028	3,867	NA	5,028	3,867
		4A		\$473,000								
Jamestown	AC	13		\$28,000	PF	LMI	NA	1,760	1,605	NA	1,760	1,605

3. Locality	3a. Status	4. activity	4a. Status	5. Amount	6. Pur- pose	7. Nat'l Objec- tive	a. # of Units/ Loans	b. Total # of Persons Jobs	c. Total # of L/M Persons / L/M Jobs	d. # of Units/ Loans	e. Total # of Persons/ Jobs	f. Total # of L/M Persons/ L/M Jobs
Lobelville		13		\$21,500	PF	LMI						
		4A		\$478,500								
Loretto	AC	13		\$17,900	PF	LMI	NA	290	223	NA	290	223
		4A		\$255,433								
Luttrell		13		\$16,500	PF	LMI						
		4B		\$312,500								
Macon County	AC	13		\$27,500	PF	LMI	NA	170	159	NA	153	141
		4A		\$472,500								
Madisonville		13		\$25,000	PF	LMI	NA	2,219	1,924	NA	2,219	1,924
		4B		\$434,228								
Maury City	AC	13		\$13,206	PF	LMI	NA	738	528	NA	738	528
		4B		\$181,898								
McEwen		13		\$16,500	PF	LMI						
		4B		\$261,400								
McLemoresville	AC	13		\$7,044	PF	LMI	NA	315	194	NA	315	194
		4A		\$85,358								
McMinn County	AC	13		\$30,000	PF	LMI	NA	238	203	NA	127	111
		4A		\$470,000								
Minor Hill		13		\$4,500	PF	LMI						
		6		\$136,600								
Monterey		13		\$27,500	PF	LMI						
		4B		\$472,500								
Morgan County		13		\$26,795	PF	LMI						
		4A		\$467,605								
Overton County	AC	13		\$16,500	PF	LMI	NA	25,074	17,226	NA	25,074	17,226
		6		\$283,500								
Paris	AC	13		\$20,250	PF	LMI	NA	72	57	NA	72	57
		4B		\$279,750								
Pickett County	AC	13		\$12,500	PF	LMI	NA	1,811	1,490	NA	1,811	1,490
		6		\$284,500								
Pikeville	AC	13		\$26,500	PF	LMI	NA	1,137	919	NA	1,137	919
		4B		\$473,500								
Pulaski	AC	13		\$6,364	PF	LMI	NA	6,364	4,281	NA	6,364	4,281
		4A		\$462,500								
Ripley	AC	13		\$31,500	PF	LMI	NA	5,411	4,524	NA	5,411	4,524
		4B		\$468,500								
Rutledge	AC	13		\$30,165	PF	LMI	NA	122	100	NA	137	127
		4A		\$447,585								
Saltillo		13		\$54,000	H	LMI						
		9A		\$355,000								
		2		\$1,000								
		8		\$90,000								
South Fulton	AC	13		\$32,140	PF	LMI	NA	2,753	1,977	NA	2,753	1,977

STATE GRANT PERFORMANCE/EVALUATION REPORT

Part III: Civil Rights
 State of Tennessee
 Grant Number: B-06-47-0001

Section 570.491 of the State Community Development Block Grant (CDBG) Rule requires states to submit to HUD data on the racial, ethnic, and gender characteristics of persons who are applicants for, participants in or beneficiaries of CDBG programs. This information must be reported for each activity and should indicate:

- | | |
|--|---|
| <ul style="list-style-type: none"> 1. White 2. Black/African American 3. Asian 4. American Indian/Alaskan Native 5. Native Hawaiian/Other Pacific Islander 6. American Indian/Alaskan Native & White | <ul style="list-style-type: none"> 7. Asian & White 8. Black/African American & White 9. American Indian/Alaskan Native & Black/African American 10. Other Multi-Racial 11. Female head of households (if applicants or beneficiaries are receiving a direct benefit). |
|--|---|

Locality	Activity	Applicant - Direct Benefit											Beneficiary											Total Served	# OF LMI	% LMI	
		1	2	3	4	5	6	7	8	9	10	11	1	2	3	4	5	6	7	8	9	10	11				
Alexandria	Sewer System	0	0	0	0	0	0	0	0	0	0	0	877	50	0	0	0	0	0	0	0	0	15	171	942	760	80.70%
Anderson County	Water Line Ext.	188	0	0	0	0	0	0	0	0	0	21	0	0	0	0	0	0	0	0	0	0	0	0	188	188	100.00%
Big Sandy	Water System	0	0	0	0	0	0	0	0	0	0	0	924	0	0	0	0	0	0	0	0	0	0	76	924	763	82.60%
Bradford	Sewer Line Ext.	15	0	0	0	0	12	0	0	0	3	0	0	0	0	0	0	0	0	0	0	0	0	30	24	80.00%	
Bradley County	Sewer Line Ext.	122	0	0	0	0	0	0	0	0	6	11	0	0	0	0	0	0	0	0	0	0	0	0	30	24	80.00%
Byrdstown	Housing Rehab.	50	0	0	0	0	0	0	0	0	0	8	0	0	0	0	0	0	0	0	0	0	0	128	124	96.90%	
Cannon County	Water Line Ext.	106	0	0	0	0	0	0	0	0	5	8	0	0	0	0	0	0	0	0	0	0	0	0	111	89	80.20%
Chester County	Fire Protection	0	0	0	0	0	0	0	0	0	0	0	3,039	144	6	39	0	0	0	0	0	0	17	322	3,245	2,783	85.80%
Clarksburg	Sewer Line Ext.	66	3	0	0	0	0	0	0	0	0	14	0	0	0	0	0	0	0	0	0	0	0	0	69	50	71.60%
Crump	Siren System	0	0	0	0	0	0	0	0	0	0	0	2,305	0	0	32	0	0	0	0	0	0	18	278	2,355	1,425	60.50%
Decherd	Drainage Improves	0	0	0	0	0	0	0	0	0	0	0	2,241	314	0	0	0	0	0	0	0	0	7	370	2,562	2,240	87.50%
Ducktown	Water Line Ext.	171	0	0	0	0	0	0	0	0	0	9	0	0	0	0	0	0	0	0	0	0	0	0	171	123	85.40%
Dunlap	Water Line Ext.	213	0	0	0	1	0	0	0	0	0	13	0	0	0	0	0	0	0	0	0	0	0	0	214	176	82.24%
Dyer County	Tigrett Sewer System	22	71	0	0	0	0	0	0	0	0	39	0	0	0	0	0	0	0	0	0	0	0	0	93	87	93.50%
Gainesboro	Water Line	0	0	0	0	0	0	0	0	0	0	0	1,287	0	0	0	0	0	0	0	0	0	0	317	1,287	1,067	82.90%
Gates	Sewer System	0	0	0	0	0	0	0	0	0	0	0	323	344	0	0	0	0	0	0	0	0	2	91	669	617	92.20%
Gibson County	Health Clinic Reno.	0	0	0	0	0	0	0	0	0	0	0	8,302	10,295	312	52	0	0	52	555	0	1,022	3,706	20,590	19,766	95.99%	
Grainger County	Water Line Ext.	132	0	0	0	0	0	0	0	0	0	18	0	0	0	0	0	0	0	0	0	0	0	0	132	132	100.00%
Hardin County	Fire Protection	0	0	0	0	0	0	0	0	0	0	0	3,114	99	7	19	0	0	0	0	0	0	9	236	3,248	2,573	79.20%
Harriman	Sewer System Improve	0	0	0	0	0	0	0	0	0	0	0	4,746	280	45	0	0	0	0	0	0	0	0	751	5,071	4,092	80.70%
Haywood County	Water Line Ext.	50	89	0	0	0	0	0	0	0	4	33	0	0	0	0	0	0	0	0	0	0	0	0	143	125	87.40%
Henderson	Housing Rehab.	0	56	0	0	0	0	0	0	0	0	15	0	0	0	0	0	0	0	0	0	0	0	0	56	56	100.00%
Hollow Rock	Water Treatment Plant	0	0	0	0	0	0	0	0	0	0	0	803	77	0	0	0	0	0	0	0	0	12	152	892	675	76.70%
Hornbeak	Sewer Line Ext.	140	0	0	0	0	0	0	0	0	0	39	0	0	0	0	0	0	0	0	0	0	0	0	140	105	75.00%
Humphreys County	Fire Protection	0	0	0	0	0	0	0	0	0	0	0	6,672	57	0	0	0	6	6	17	9	14	208	6,781	3,898	57.50%	
Iron City	Water Line Ext.	49	0	0	0	0	0	0	0	0	0	9	0	0	0	0	0	0	0	0	0	0	0	0	49	40	81.60%
Jefferson City	Sewer System Rehab.	0	0	0	0	0	0	0	0	0	0	0	4,274	581	40	0	0	0	0	0	0	0	79	858	4,974	4,274	86.00%
LaFollette	Sewer System Improve	0	0	0	0	0	0	0	0	0	0	0	8,372	49	0	36	0	0	0	0	0	0	86	1,299	8,543	6,962	81.50%
Lawrence County	Water Line Ext.	294	0	7	0	0	0	0	0	1	0	12	0	0	0	0	0	0	0	0	0	0	0	0	302	218	72.20%
Lenoir City	Sewer System Improve	7,191	73	0	0	0	0	0	0	0	51	1,214	0	0	0	0	0	0	0	0	0	0	0	0	7,315	4,638	63.40%
Lewis County	Water Line Ext.	138	0	0	0	0	0	0	0	0	0	5	0	0	0	0	0	0	0	0	0	0	0	0	138	84	60.90%

STATE GRANT PERFORMANCE/EVALUATION REPORT

Locality	Activity	Applicant - Direct Benefit											Beneficiary											Total Served	# OF LMI	% LMI	
		1	2	3	4	5	6	7	8	9	10	11	1	2	3	4	5	6	7	8	9	10	11				
Lewisburg	Sewer System Rehab.	0	0	0	0	0	0	0	0	0	0	0	685	342	0	0	0	9	0	8	0	67	242	1,111	1,001	90.10%	
Mason	Fire Protection	0	0	0	0	0	0	0	0	0	0	0	887	1,298	0	0	0	0	0	0	10	294	2,195	1,927	87.80%		
McMinn County	Sewer Line Ext.	0	0	0	0	0	0	0	0	0	0	2,215	27	0	0	3	0	0	13	0	46	98	2,304	1,674	72.60%		
McMinnville	Sewer Plant Exp.	0	0	0	0	0	0	0	0	0	0	9,770	310	0	0	0	10	0	0	0	160	2,640	10,250	7,480	73.00%		
Monterey	Sewer System Rehab.	0	0	0	0	0	0	0	0	0	0	1,597	18	0	0	0	0	0	0	0	779	529	2,394	2,107	88.00%		
Munford	Water Storage Tank	0	0	0	0	0	0	0	0	0	0	10,006	495	0	9	0	0	0	0	0	12	1,221	10,522	6,660	63.30%		
Newbern	Sewer System Improve	0	0	0	0	0	0	0	0	0	0	1,984	578	0	0	0	0	0	0	0	15	438	2,577	1,866	72.40%		
Overton County	EMS Facility	0	0	0	0	0	0	0	0	0	0	2,944	54	0	0	0	0	0	0	0	294	2,998	2,461	82.10%			
Paris	Housing Rehab.	0	29	0	0	0	0	0	0	0	0	9	0	0	0	0	0	0	0	0	0	0	29	29	100.00%		
Plainview	Sewer Line Ext.	110	0	0	0	0	0	0	0	0	0	7	0	0	0	0	0	0	0	0	0	0	110	79	71.80%		
Ridgely	Water Line Replace	0	0	0	0	0	0	0	0	0	0	1,033	247	0	0	0	0	0	0	0	0	0	28	510	1,308	993	75.90%
Rogersville	Water Line Ext.	297	0	0	0	0	0	0	0	0	25	0	0	0	0	0	0	0	0	0	0	0	297	218	73.40%		
Saltillo	Housing Rehab.	1	40	0	0	0	0	8	0	0	2	13	0	0	0	0	0	0	0	0	0	0	51	46	90.20%		
Samburg	Fire Protection	0	0	0	0	0	0	0	0	0	0	3,577	0	0	0	0	0	0	0	0	0	0	311	3,577	2,740	76.60%	
Sardis	Water Line Ext.	26	0	2	0	0	0	0	0	0	4	0	0	0	0	0	0	0	0	0	0	0	28	21	75.00%		
Saulsbury	Fire Hall Improve	0	0	0	0	0	0	0	0	0	0	1,684	922	0	0	0	0	0	0	0	0	0	2,606	1,970	75.60%		
Selmer	Water Treatment Plant	0	0	0	0	0	0	0	0	0	0	15,065	1,116	0	0	0	0	0	0	0	0	0	2,055	16,181	9,919	61.30%	
Shelbyville	Sewer System Rehab.	0	0	0	0	0	0	0	0	0	0	1,920	473	0	0	0	0	0	18	38	346	2,449	1,712	68.50%			
Sneedville	Sewer System Rehab.			0	0	0		0	0	0	0	1,099	3	0	0	0	12	0	0	0	259	1,114	1,066	95.70%			
Sparta	Sewer System Rehab.	0	0	0	0	0	0	0	0	0	0	3,972	286	0	0	0	0	0	0	0	31	1,068	4,289	3,457	80.60%		
Spring City	Water Intake Ext.	0	0	0	0	0	0	0	0	0	0	2,132	43	7	43	0	0	0	0	0	7	343	2,232	1,610	72.10%		
Surgoinsville	Water Treatment Plant	0	0	0	0	0	0	0	0	0	0	19,208	584	0	0	0	0	0	0	0	269	1,950	20,061	10,481	52.25%		
Tipton County	Sewer Line Ext.	52	0	0	0	0	0	0	0	0	10	0	0	0	0	0	0	0	0	0	0	0	52	44	84.60%		
Trenton	Sewer System Improve	0	0	0	0	0	0	0	0	0	0	2,959	735	17	0	0	0	0	0	34	820	3,745	2,663	71.10%			
Unicoi	Fire Protection	0	0	0	0	0	0	0	0	0	0	6,154	24	0	0	0	47	0	35	106	802	6,366	3,890	61.10%			
Watauga	Housing Rehab.	17	0	0	0	0	0	0	0	0	7	0	0	0	0	0	0	0	0	0	0	0	17	17	100.00%		
Weakley County	Sewer Line Ext.	1,183	37	0	0	0	0	0	0	0	98	0	0	0	0	0	0	0	0	0	0	0	1,220	861	70.30%		
Westmoreland	Sewer System Rehab.	0	0	0	0	0	0	0	0	0	0	1,796	0	0	0	0	0	3	0	32	337	1,831	1,677	91.60%			
White County	Water Treatment Plant	0	0	0	0	0	0	0	0	0	0	2,514	0	0	0	0	0	19	0	6	404	2,539	2,036	80.20%			
White Pine	Sewer Line Ext.	70	1	0	0	0	1	0	0	0	6	0	0	0	0	0	0	0	0	0	0	0	72	70	97.00%		
Woodbury	Water Line Replace	0	0	0	0	0	0	0	0	0	0	6,835	166	0	0	0	0	0	0	67	1,322	7,068	6,036	85.40%			

Part 1

State: Tennessee					Reporting Period FY: 2007								
Grant Number: B-07-DC-47-0001					Date as of: June 30, 2012								
1. Financial Status					2. National Objectives								
A. Total Funds					A. Period Specified for Benefit: FY 2007 to FY 2007								
					B. Amount Used to:								
(1) Allocation: \$26,736,998.00					(1) Benefit to Low/Moderate Income Persons: \$21,947,992								
(2) Program Income: \$1,280,836.00					(2) Prevent/Eliminate Slums/Blight: \$0								
					(3) Meet Urgent Community Development Needs: \$0								
B. Amount Obligated to Recipients: \$23,255,907.00					(4) Acquisition/Rehabilitation Noncountable: \$0								
C. Amount Drawn Down: \$22,383,155.78					(5) Local Administration: \$1,307,915								
D. Amount for State Administration: \$634,740.00													
E. Technical Assistance: \$0.00					TOTAL: \$23,255,907								
F. Section 108 Loan Guarantees: \$0.00													
8. Accomplishments													
					Proposed			Actual					
3.	3a.	4.	4a.	5.	6.	7.	a.	b.	c.	d.	e.	f.	
Locality	Status	activity	Status	Amount	Pur- pose	Nat'l Objec- tive	# of Units/ Loans	Total # of Persons Jobs	Total # of L/M Persons / L/M Jobs	# of Units/ Loans	Total # of Persons/ Jobs	Total # of L/M Persons/ L/M Jobs	
Adamsville	AC	13		\$28,500.00	PF	LMI	N/A	9861	7931	N/A	9861	7931	
		4A		\$471,500.00									
Altamont	AC	13		\$22,500.00	H	LMI	NA	26	26	NA	21	21	
		9A		\$184,500.00									
Baxter	AC	13		\$28,000.00	PF	LMI	N/A	4761	3814	N/A	4761	3814	
		4A		\$472,000.00									
Bean Station	AC	13		\$29,500.00	PF	LMI	NA	1956	1205	NA	1956	1205	
		4B		\$470,500.00									
Bedford County	AC	13		\$25,500.00	PF	LMI	N/A	227	227	N/A	159	167	
		4A		\$747,500.00									
Bolivar	AC	13		\$31,170.00	PF	LMI	N/A	5071	3570	N/A	5071	3570	
		4B		\$463,330.00									
Brownsville	AC	13		\$29,500.00	PF	LMI	N/A	9486	5662	N/A	9486	5662	
		4B		\$470,500.00									
Calhoun	AC	13		\$28,500.00	PF	LMI	N/A	247	203	N/A	133	102	
		4A		\$471,500.00									
Campbell County	AC	13		\$21,800.00	PF	LMI	N/A	87	80	N/A	47	42	
		4A		\$378,200.00									
Carter County	AC	13		\$30,000.00	PF	LMI	N/A	1,243	883	N/A	1,243	883	
		4A		\$447,750.00									

3.	3a.	4.	4a.	5.	6.	7.	a.	b.	c.	d.	e.	f.
Locality	Status	activity	Status	Amount	Pur- pose	Nat'l Objec- tive	# of Units/ Loans	Total # of Persons Jobs	Total # of L/M Persons / L/M Jobs	# of Units/ Loans	Total # of Persons/ Jobs	Total # of L/M Persons/ L/M Jobs
Church Hill	AC	13		\$31,500.00	PF	LMI	N/A	4,895	3,275	N/A	4,895	3,275
		4B		\$468,500.00								
Clinton	AC	13		\$25,000.00	H	LMI	N/A	40	40	N/A	20	20
		9A		\$475,000.00								
Cookeville	AC	13		\$7,000.00	PF	LMI	N/A	1,616	1,519	N/A	1,616	1,519
		4B		\$250,000.00								
Copperhill	AC	13		\$13,500.00	PF	LMI	N/A	546	458	N/A	546	458
		4B		\$186,500.00								
Cumberland County	AC	13		\$23,500.00	PF	LMI	N/A	84	84	N/A	59	59
		4A		\$407,444.00								
Dresden	AC	13		\$24,300.00	PF	LMI	N/A	2,767	2,283	N/A	2,767	2,283
		4B		\$376,692.00								
Fayetteville	AC	13		\$21,500.00	PF	LMI	N/A	5,351	4,051	N/A	5,351	4,051
		4B		\$428,500.00								
Fentress County	AC	13		\$26,500.00	PF	LMI	N/A	9,861	7,931	N/A	9,861	7,931
		4A		\$473,500.00								
Friendship	AC	13		\$25,995.00	PF	LMI	NA	134	111	NA	134	111
		4B		\$382,264.00								
Greenfield	AC	13		\$23,130.00	PF	LMI	N/A	2,040	1,475	N/A	2,040	1,475
		4B		\$320,713.00								
Gruetli-Laager		13		\$32,500.00	H	LMI						
		9A		\$288,500.00								
Halls	AC	13		\$12,500.00	PF	LMI	N/A	4,286	3,303	N/A	4,286	3,303
		6		\$172,500.00								
Harrogate	AC	13		\$27,500.00	PF	LMI	N/A	100	99	N/A	47	45
		4B		\$337,833.00								
Hartsville/Trousdale County	AC	13		\$28,500.00	PF	LMI	N/A	6,426	5,648	N/A	6,426	5,648
		4A		\$471,500.00								
Hohenwald	AC	13		\$17,500.00	PF	LMI	NA	2,310	1,788	NA	2,310	1,788
		4B		\$482,500.00								
Houston County		13(P)		\$11,500.00	ED	LMI						
[Commercial Insulating Glass Co.]		14B(P)		\$718,512.00								
Huntingdon	AC	13		\$26,500.00	PF	LMI	N/A	3,243	2,650	N/A	3,245	2,650
		4B		\$473,500.00								
Jackson County	AC	13		\$27,500.00	PF	N	N/A	126	104	N/A	150	106
		4A		\$474,500.00								
Jellico	AC	13		\$21,825.00	PF	LMI	N/A	2,285	1,691	N/A	2,285	1,691
		4B		\$390,541.00								
Lauderdale County	AC	13		\$31,500.00	PF	LMI	N/A	5,039	3,099	N/A	5,039	3,099
		4A		\$468,500.00								
Lawrenceburg	AC	13		\$21,000.00	PF	LMI	N/A	1,931	1,440	N/A	1,931	1,440

STATE GRANT PERFORMANCE/EVALUATION REPORT

Part III: Civil Rights
 State of Tennessee
 Grant Number: B-07-47-0001

Section 570.491 of the State Community Development Block Grant (CDBG) Rule requires states to submit to HUD data on the racial, ethnic, and gender characteristics of persons who are applicants for, participants in or beneficiaries of CDBG programs. This information must be reported for each activity and should indicate:

- | | |
|---|--|
| 1. White | 7. Asian & White |
| 2. Black/African American | 8. Black/African American & White |
| 3. Asian | 9. American Indian/Alaskan Native & Black/African American |
| 4. American Indian/Alaskan Native | 10. Other Multi-Racial |
| 5. Native Hawaiian/Other Pacific Islander | 11. Female head of households (if applicants or beneficiaries are receiving a direct benefit). |
| 6. American Indian/Alaskan Native & White | |

Locality	Activity	Applicant - Direct Benefit											Beneficiary											Total Served	# OF LMI	% LMI		
		1	2	3	4	5	6	7	8	9	10	11	1	2	3	4	5	6	7	8	9	10	11					
Adamsville	Water System	0	0	0	0	0	0	0	0	0	0	0	6,585	0	0	0	0	0	0	0	0	0	0	56	1,049	6,641	4,600	69.27%
Altamont	Housing Rehab	26	0	0	0	0	0	0	0	0	0	7	0	0	0	0	0	0	0	0	0	0	0	0	0	26	26	100.00%
Baxter	Water System	0	0	0	0	0	0	0	0	0	0	0	4,735	31	0	0	0	0	0	7	0	19	605	4,761	3,814	80.11%		
Bean Station	Sewer Line	1,901	0	0	0	0	0	0	0	0	49	127	0	0	0	0	0	0	0	0	0	0	0	1,956	1,205	61.61%		
Bedford County	Water Line	216	6	0	0	0	0	4	0	0	1	12	0	0	0	0	0	0	0	0	0	0	0	227	177	77.97%		
Bolivar	Sewer System	0	0	0	0	0	0	0	0	0	0	0	2,201	2,741	0	13	0	0	0	0	0	116	1,131	5,071	3,570	70.40%		
Brownsville	Sewer System	0	0	0	0	0	0	0	0	0	0	0	5,689	3,599	0	0	0	0	0	0	0	198	2,336	9,486	5,662	59.69%		
Calhoun	Water Line	239	0	0	0	0	0	0	0	0	8	10	0	0	0	0	0	0	0	0	0	0	247	216	87.45%			
Campbell County	Water Line	87	0	0	0	0	0	0	0	0	0	10	0	0	0	0	0	0	0	0	0	0	87	80	91.95%			
Carter County	Water System	0	0	0	0	0	0	0	0	0	0	0	1,184	0	0	21	0	0	7	0	0	31	149	1,243	883	71.04%		
Clinton	Housing Rehab	40	0	0	0	0	0	0	0	0	0	4	0	0	0	0	0	0	0	0	0	0	40	40	100.00%			
Cookeville	Sewer System	0	0	0	0	0	0	0	0	0	0	0	986	97	15	0	0	0	0	0	0	518	194	1,616	1,519	94.00%		
Church Hill	Sewer System	0	0	0	0	0	0	0	0	0	0	0	4,885	10	0	0	0	0	0	0	0	0	0	891	4,895	3,255	66.50%	
Copperhill	Water System	0	0	0	0	0	0	0	0	0	0	0	544	0	2	0	0	0	0	0	0	0	0	124	546	458	83.88%	
Cumberland County	Water Line	83	1	0	0	0	0	0	0	0	0	8	0	0	0	0	0	0	0	0	0	0	84	77	91.67%			
Dresden	Sewer System	0	0	0	0	0	0	0	0	0	0	0	2,656	111	0	0	0	0	0	0	0	0	0	636	2,767	2,283	82.51%	
Erwin	Water System	0	0	0	0	0	0	0	0	0	0	0	10,327	0	0	0	0	0	0	0	0	83	2,115	10,410	5,776	55.49%		
Fayetteville	Sewer System	0	0	0	0	0	0	0	0	0	0	0	3,917	1,434	0	0	0	0	0	0	0	0	0	1,350	5,351	4,049	75.67%	
Fentress	Water System	0	0	0	0	0	0	0	0	0	0	0	9,861	0	0	0	0	0	0	0	0	0	0	1,197	9,861	7,931	80.43%	
Friendship	Sewer Line	71	45	0	0	0	0	0	0	0	18	40	0	0	0	0	0	0	0	0	0	0	134	111	82.84%			
Greenfield	Sewer System	0	0	0	0	0	0	0	0	0	0	0	1,836	194	0	7	0	0	0	0	0	0	0	341	2,037	1,472	72.26%	
Gruetli-laager	Housing Rehab	42	0	0	0	0	0	0	0	0	0	13	0	0	0	0	0	0	0	0	0	0	0	42	40	95.24%		
Halls	Fire Protection	0	0	0	0	0	0	0	0	0	0	0	3,936	663	0	0	0	13	7	0	0	67	604	4,686	3,303	70.49%		
Harrogate	Sewer Line	109	0	0	0	0	0	0	0	0	0	19	0	0	0	0	0	0	0	0	0	0	0	109	99	90.83%		
Hartsville	Water System	0	0	0	0	0	0	0	0	0	0	0	5,006	1,177	0	0	0	0	0	38	0	205	1,330	6,426	5,648	87.89%		
Hohenwald	Sewer System	0	0	0	0	0	0	0	0	0	0	0	2,249	61	0	0	0	0	0	0	0	0	0	765	2,310	1,788	77.40%	
Huntingdon	Sewer System	0	0	0	0	0	0	0	0	0	0	0	2,721	377	0	0	0	0	0	23	0	122	872	3,243	2,650	81.71%		
Jackson County	Water Line	150	0	0	0	0	0	0	0	0	0	11	0	0	0	0	0	0	0	0	0	0	0	150	126	84.00%		
Jellico	Sewer System	0	0	0	0	0	0	0	0	0	0	0	2,258	29	0	0	0	0	0	0	0	5	427	2,292	1,700	74.17%		
Jonesborough	Water Treatment	0	0	0	0	0	0	0	0	0	0	0	17,905	157	45	22	0	45	0	0	0	134	2,526	18,308	9,410	51.40%		
Lauderdale County	Water Line	0	0	0	0	0	0	0	0	0	0	0	3,754	1,231	0	0	0	0	0	21	0	33	509	5,039	3,099	61.50%		
Lawrenceburg	Sewer System	0	0	0	0	0	0	0	0	0	0	0	1,844	43	0	4	0	0	0	0	0	40	289	1,931	1,440	74.57%		

Part 1

State: Tennessee					Reporting Period FY: 2008								
Grant Number: B-08-DC-47-0001					Date as of: June 30, 2012								
1. Financial Status					2. National Objectives								
A. Total Funds					A. Period Specified for Benefit: FY 2008 to FY 2008								
					B. Amount Used to:								
(1) Allocation: \$26,154,131					(1) Benefit to Low/Moderate Income Persons: \$22,873,961								
(2) Program Income: \$442,284					(2) Prevent/Eliminate Slums/Blight: \$0								
					(3) Meet Urgent Community Development Needs: \$0								
B. Amount Obligated to Recipients: \$24,227,590					(4) Acquisition/Rehabilitation Noncountable: \$0								
C. Amount Drawn Down: \$20,044,222					(5) Local Administration: \$1,353,629								
D. Amount for State Administration: \$623,083													
E. Technical Assistance: \$0					TOTAL: \$24,227,590								
F. Section 108 Loan Guarantees: \$0													
					8. Accomplishments								
					Proposed					Actual			
3.	3a.	4.	4a.	5.	6.	7.	a.	b.	c.	d.	e.	f.	
Locality	Status	activity	Status	Amount	Pur- pose	Nat'l Objec- tive	# of Units/ Loans	Total # of Persons Jobs	Total # of L/M Persons / L/M Jobs	# of Units/ Loans	Total # of Persons/ Jobs	Total # of L/M Persons/ L/M Jobs	
Alamo	AC	13		\$10,500	PF	LMI	N/A	3834	2929	N/A	3834	2929	
		6		\$172,410									
Auburntown	AC	13		\$25,500	PF	LMI	NA	115	99	NA	95	79	
		4A		\$374,500									
Byrdstown		13		\$33,000	PF	LMI							
		4A		\$467,000									
Celina	AC	13		\$25,500	PF	LMI	N/A	3878	3025	N/A	3878	3025	
		4A		\$474,500									
Clay County	AC	13		\$16,500	PF	LMI	N/A	9238	7547	N/A	9238	7547	
		6		\$283,500									
Collinwood		13		\$23,500	PF	LMI							
		4A		\$347,444									
Decatur	AC	13		\$14,700	PF	LMI	NA	48	43	NA	48	43	
		4B		\$205,300									
DeKalb County	AC	13		\$25,500	PF	LMI	N/A	110	110	N/A	128	94	
		4A		\$374,500									
Elizabethton	AC	13		\$31,500	PF	LMI	N/A	24,717	15,250	N/A	24,717	15,250	
		4A		\$468,500									

3.	3a.	4.	4a.	5.	6.	7.	a.	b.	c.	d.	e.	f.
Locality	Status	activity	Status	Amount	Purpose	Nat'l Objective	# of Units/Loans	Total # of Persons/ Jobs	Total # of L/M Persons / L/M Jobs	# of Units/Loans	Total # of Persons/ Jobs	Total # of L/M Persons/ L/M Jobs
Greeneville	AC	13		\$19,500	H	LMI	N/A	24	24	N/A	9	9
		9A		\$280,500								
Hamblen County		13		\$22,950	PF	LMI						
		4B		\$387,906								
Harriman	AC	13		\$31,500	PF	LMI	N/A	5,102	3,827	N/A	5,102	3,827
		4B		\$468,500								
Hartsville / Trousdale County	AC	13		\$28,500	PF	LMI	N/A	6,787	5,742	N/A	6,787	5,742
		4A		\$471,500								
Houston County	AC	13		\$22,500	PF	LMI	NA	86	81	NA	109	101
		4A		\$477,500								
Jasper	AC	13		\$27,000	PF	LMI	NA	7,929	6,512	NA	7,929	6,512
		4A		\$473,000								
Lafayette		13		\$22,500	PF	LMI						
		6		\$727,500								
Lafayette	AC	13		\$27,000	PF	LMI	N/A	3,489	2,663	N/A	3,489	2,663
		4B		\$473,000								
LaFollette	AC	13		\$31,500	PF	LMI	N/A	8,543	6,963	N/A	8,543	6,963
		4B		\$468,500								
Lake City	AC	13		\$24,500	PF	LMI	NA	1,693	1,498	NA	1,693	1,498
		4B		\$475,500								
Lawrence County	AC	13		\$21,500	PF	LMI	N/A	285	186	N/A	205	162
		4A		\$478,500								
Lewis County	AC	13		\$21,500	PF	LMI	N/A	5,739	3,874	N/A	5,739	3,874
		4A		\$478,500								
Lewisburg	AC	13		\$20,000	PF	LMI	N/A	1,267	1,171	N/A	1,665	1,171
		4B		\$430,275								
Macon County		13		\$24,000	PF	LMI						
		6		\$726,000								
Marshall County	AC	13		\$34,500	PF	LMI	NA	243	210	NA	243	210
		4A		\$465,500								
Martin	AC	13		\$31,500	PF	LMI	N/A	6,746	5,060	N/A	6,746	5,060
		4B		\$468,500								
Mason	AC	13		\$26,500	PF	LMI	N/A	2,564	2,390	N/A	2,564	2,390
		4A		\$473,500								
Maynardville	AC	13		\$31,500	PF	LMI	N/A	5,108	4,148	N/A	5,108	4,148
		4A		\$468,500								
McKenzie	AC	13		\$36,500	PF	LMI	N/A	3,020	2,195	N/A	3,020	2,195

Part 1

State: Tennessee					Reporting Period FY: 2005							
Grant Number: B-05-DC-47-0001					Date as of: June 30, 2012							
1. Financial Status					2. National Objectives							
A. Total Funds					A. Period Specified for Benefit: FY 2005 to FY 2005							
					B. Amount Used to:							
(1) Allocation: \$29,786,399					(1) Benefit to Low/Moderate Income Persons: \$20,497,254							
(2) Program Income: \$1,096,100					(2) Prevent/Eliminate Slums/Blight: \$0							
					(3) Meet Urgent Community Development Needs: \$579,440							
B. Amount Obligated to Recipients: \$22,390,217					(4) Acquisition/Rehabilitation Noncountable: \$0							
C. Amount Drawn Down: \$21,519,519					(5) Local Administration: \$1,313,523							
D. Amount for State Administration: \$695,728												
E. Technical Assistance: \$0					TOTAL: \$22,390,217							
F. Section 108 Loan Guarantees: \$0												
					8. Accomplishments							
					Proposed					Actual		
3.	3a.	4.	4a.	5.	6.	7.	a.	b.	c.	d.	e.	f.
Locality	Status	activity	Status	Amount	Purpose	Nat'l Objective	# of Units/Loans	Total # of Persons Jobs	Total # of L/M Persons / L/M Jobs	# of Units/Loans	Total # of Persons Jobs	Total # of L/M Persons/ L/M Jobs
Alamo	AC	13		\$22,500	PF	LMI	N/A	2459	1876	N/A	2459	1876
		4B		\$346,265								
Baxter	AC	13		\$25,000	PF	LMI	N/A	4,761	3,814	N/A	4,761	3,814
		4A		\$475,000								
Bedford County	AC	13		\$18,500	PF	LMI	N/A	119	98	N/A	116	95
		4A		\$342,300								
Brownsville	AC	13		\$59,051	H	LMI	N/A	115	115	N/A	43	43
		9A		\$440,949								
Camden	AC	13		\$31,500	PF	LMI	N/A	7,264	4,460	N/A	7,264	4,460
		4A		\$468,500								
Caryville	AC	13		\$30,949	PF	LMI	NA	8,156	5,832	NA	8,156	5,832
		4A		\$459,875								
Celina	AC	13		\$24,000	PF	LMI	N/A	3,890	3,061	N/A	3,890	3,061
		4A		\$476,000								
Clay County	AC	13(P)		\$34,000	H	LMI	N/A	60	54	N/A	21	21
		9A(P)		\$213,000								
		8		\$253,000								
Cumberland Gap	AC	13		\$20,896	PF	LMI	N/A	325	269	N/A	325	269

STATE GRANT PERFORMANCE/EVALUATION REPORT

Part III: Civil Rights
 State of Tennessee
 Grant Number: B-05-47-0001

Section 570.491 of the State Community Development Block Grant (CDBG) Rule requires states to submit to HUD data on the racial, ethnic, and gender characteristics of persons who are applicants for, participants in or beneficiaries of CDBG programs. This information must be reported for each activity and should indicate:

- | | |
|---|--|
| 1. White | 7. Asian & White |
| 2. Black/African American | 8. Black/African American & White |
| 3. Asian | 9. American Indian/Alaskan Native & Black/African American |
| 4. American Indian/Alaskan Native | 10. Other Multi-Racial |
| 5. Native Hawaiian/Other Pacific Islander | 11. Female head of households (if applicants or beneficiaries are receiving a direct benefit). |
| 6. American Indian/Alaskan Native & White | |

Locality	Activity	Applicant - Direct Benefit											Beneficiary											Total Served	# OF LMI	% LMI		
		1	2	3	4	5	6	7	8	9	10	11	1	2	3	4	5	6	7	8	9	10	11					
Alamo	Sewer System	0	0	0	0	0	0	0	0	0	0	0	1,869	546	0	0	0	0	0	0	0	0	44	428	2,459	1,876	76.30%	
Baxter	Water System	0	0	0	0	0	0	0	0	0	0	0	4,704	31	0	0	0	0	0	0	0	0	19	605	4,761	3,814	80.10%	
Bedford County	Water Line Ext.	107	3	0	0	0	0	5	0	0	4	20	0	0	0	0	0	0	0	0	0	7	0	19	605	4,761	3,814	80.10%
Brownsville	Housing Rehab.	0	110	0	0	0	0	0	0	0	0	49	0	0	0	0	0	0	0	0	0	0	0	0	0	119	98	82.40%
Camden	Water System	0	0	0	0	0	0	0	0	0	0	0	6,683	477	32	29	0	0	0	0	0	0	43	1,482	7,264	4,460	61.40%	
Caryville	Water Plant Exp.	0	0	0	0	0	0	0	0	0	0	0	8,126	6	3	6	0	0	0	0	0	0	15	1,142	8,156	5,832	71.50%	
Celina	Water System	0	0	0	0	0	0	0	0	0	0	0	3,731	142	17	0	0	0	0	0	0	0	0	626	3,890	3,061	78.70%	
Clay County	Housing Rehab.	60	0	0	0	0	0	0	0	0	0	8	0	0	0	0	0	0	0	0	0	0	0	0	0	60	54	90.00%
Cumberland Gap	Sewer System	0	0	0	0	0	0	0	0	0	0	0	318	6	1	0	0	0	0	0	0	0	0	42	325	269	82.90%	
DeKalb County	Water System	0	0	0	0	0	0	0	0	0	0	0	5,050	28	0	0	0	0	0	0	0	20	7	592	5,105	3,701	72.50%	
Elizabethton	Water System	0	0	0	0	0	0	0	0	0	0	0	22,461	131	105	131	0	209	236	26	0	445	3,495	23,744	14,264	60.00%		
Englewood	Sewer System	0	0	0	0	0	0	0	0	0	0	0	1,075	0	0	0	0	0	0	0	0	57	193	1,132	921	81.40%		
Erin	Water System	0	0	0	0	0	0	0	0	0	0	0	5,412	472	0	0	0	0	0	0	0	18	596	5,902	5,300	89.80%		
Etowah	Wellness Ctr.	0	0	0	0	0	0	0	0	0	0	0	14,672	524	0	42	0	0	0	0	0	42	1,470	15,280	11,322	74.10%		
Gallaway	Sewer System	0	0	0	0	0	0	0	0	0	0	0	268	305	0	0	0	0	0	0	0	0	0	131	573	559	97.60%	
Graysville	Sewer Line Ext	0	0	0	0	0	0	0	0	0	0	0	707	1	0	0	0	0	0	0	0	45	38	753	613	81.40%		
Greenville	Housing Rehab.	0	49	0	0	0	0	0	0	0	0	9	0	0	0	0	0	0	0	0	0	0	0	49	49	100.00%		
Greenfield	Sewer System	0	0	0	0	0	0	0	0	0	0	0	1,971	57	0	2	0	0	0	0	0	0	0	339	2,030	1,468	72.30%	
Halls	Water System	0	0	0	0	0	0	0	0	0	0	0	4,724	677	0	0	0	0	0	0	0	67	656	5,468	3,740	68.40%		
Hartsville/Trousdale	Water Line Ext.	125	7	0	0	0	0	0	0	0	12	11	0	0	0	0	0	0	0	0	0	0	0	0	144	123	85.40%	
Hawkins County	Water Line Ext.	243	0	0	0	0	0	0	0	0	3	26	0	0	0	0	0	0	0	0	0	0	0	0	246	178	72.40%	
Huntingdon	Sewer System	0	0	0	0	0	0	0	0	0	0	0	2,407	786	0	0	0	0	0	0	0	77	703	3,270	2,413	73.80%		
Lafayette	Sewer System	0	0	0	0	0	0	0	0	0	0	0	2,791	6	12	0	0	0	0	0	0	29	743	2,838	2,142	75.50%		
Lake City	Sewer System	0	0	0	0	0	0	0	0	0	0	0	1,777	0	0	0	0	0	0	0	0	14	463	1,791	1,675	93.50%		
Lake County	Housing Rehab.	35	10	0	0	0	0	0	0	0	0	5	0	0	0	0	0	0	0	0	0	0	0	45	45	100.00%		
Lawrenceburg	Sewer System	0	0	0	0	0	0	0	0	0	0	0	1,844	39	0	0	0	0	0	0	0	0	0	0	45	45	100.00%	
Lynnville	Water System	0	0	0	0	0	0	0	0	0	0	0	659	76	14	0	0	0	2	9	0	3	72	763	552	72.40%		
Macon County	Water System	0	0	0	0	0	0	0	0	0	0	0	11,257	20	0	0	0	0	0	0	0	99	1,870	11,376	9,308	81.80%		
Martin	Sewer System	0	0	0	0	0	0	0	0	0	0	0	5,334	1,330	0	0	0	0	0	0	0	12	1,549	6,676	5,207	78.00%		
Maynardville	Water System	0	0	0	0	0	0	0	0	0	0	0	5,241	0	0	32	0	0	0	0	0	0	538	5,273	3,849	72.90%		

STATE GRANT PERFORMANCE/EVALUATION REPORT

Locality	Activity	Applicant - Direct Benefit											Beneficiary											Total Served	# OF LMI	% LMI
		1	2	3	4	5	6	7	8	9	10	11	1	2	3	4	5	6	7	8	9	10	11			
McKenzie	Sewer System	0	0	0	0	0	0	0	0	0	0	0	3,377	295	28	4	0	18	0	5	0	111	844	3,838	2,771	72.20%
Minor Hill	Fire Protection	0	0	0	0	0	0	0	0	0	0	0	1,932	41	0	0	0	0	0	0	0	17	245	1,990	1,698	85.30%
Monteagle	Sewer System	0	0	0	0	0	0	0	0	0	0	0	297	0	0	0	0	0	0	0	0	0	59	297	209	70.50%
Mountain City	Water System	0	0	0	0	0	0	0	0	0	0	0	6,927	52	0	0	39	0	0	0	0	0	814	7,018	4,358	62.10%
Niota	Water Line Ext.	214	73	0	0	0	0	0	0	0	0	23	0	0	0	0	0	0	0	0	0	0	0	287	232	80.84%
Oliver Springs	Sewer System	0	0	0	0	0	0	0	0	0	0	0	2,818	175	0	0	0	0	0	0	20	20	428	3,033	2,496	82.30%
Oneida	Sewer System	0	0	0	0	0	0	0	0	0	0	0	2,378	0	0	0	0	0	0	0	0	0	390	2,378	1,855	78.00%
Putnam County	Fire Protection	0	0	0	0	0	0	0	0	0	0	0	17,616	103	20	20	0	0	0	0	0	0	1,719	17,759	10,975	61.80%
Red Boiling Springs	Water System	0	0	0	0	0	0	0	0	0	0	0	4,125	12	30	0	0	0	0	0	0	152	462	4,319	3,511	81.30%
Rives	Fire Protection	0	0	0	0	0	0	0	0	0	0	0	2,958	58	0	8	0	0	3	0	0	4	249	3,031	2,076	68.50%
Scott County	Sewer Line Ext	189	0	0	0	0	0	0	0	0	0	22	0	0	0	0	0	0	0	0	0	0	189	159	84.00%	
Scotts Hill	Water Line	0	0	0	0	0	0	0	0	0	0	0	3,339	57	0	6	0	0	0	0	0	0	409	3,402	2,732	80.30%
Spencer	Water System	0	0	0	0	0	0	0	0	0	0	0	3,336	0	0	0	0	0	0	0	0	0	717	3,336	2,762	82.80%
Tennessee Ridge	Water System	0	0	0	0	0	0	0	0	0	0	0	2,814	16	0	0	0	0	0	22	0	49	426	2,901	2,649	91.30%
Warren County	Water Line Ext.	75	0	0	0	0	0	0	0	0	45	6	0	0	0	0	0	0	0	0	0	0	120	108	90.00%	
Waverly	Sewer System	0	0	0	0	0	0	0	0	0	0	0	2,838	579	0	0	0	0	0	0	0	48	606	3,465	3,132	90.40%
Wayne County	Water Line Ext.	88	1	0	0	0	0	0	0	0	0	15	0	0	0	0	0	0	0	0	0	0	89	77	86.50%	
Waynesboro	Sewer System	0	0	0	0	0	0	0	0	0	0	0	1,338	0	0	0	0	0	0	0	13	455	1,351	975	72.20%	
Winfield	Sewer Line Ext.	64	0	0	0	0	0	0	0	0	0	9	0	0	0	0	0	0	0	0	0	0	64	59	92.20%	
Johnson Co. (IT)	Water System	0	0	0	0	0	0	0	0	0	0	0	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	462	N/A	N/A	

Part 1

State: Tennessee					Reporting Period FY: 2006							
Grant Number: B-06-DC-47-0001					Date as of: June 30, 2012							
1. Financial Status					2. National Objectives							
A. Total Funds					A. Period Specified for Benefit: FY 2006 to FY 2006							
					B. Amount Used to:							
(1) Allocation: \$26,912,038					(1) Benefit to Low/Moderate Income Persons: \$23,842,775							
(2) Program Income: \$0					(2) Prevent/Eliminate Slums/Blight: \$0							
					(3) Meet Urgent Community Development Needs: \$0							
B. Amount Obligated to Recipients: \$26,001,624					(4) Acquisition/Rehabilitation Noncountable: \$0							
C. Amount Drawn Down: \$25,385,592					(5) Local Administration: \$2,158,849							
D. Amount for State Administration: \$638,241												
E. Technical Assistance: \$0					TOTAL: \$26,001,624							
F. Section 108 Loan Guarantees: \$0												
					8. Accomplishments							
					Proposed					Actual		
3.	3a.	4.	4a.	5.	6.	7.	a.	b.	c.	d.	e.	f.
Locality	Status	activity	Status	Amount	Pur- pose	Nat'l Objec- tive	# of Units/ Loans	Total # of Persons Jobs	Total # of L/M Persons / L/M Jobs	# of Units/ Loans	Total # of Persons/ Jobs	Total # of L/M Persons/ L/M Jobs
Alexandria	AC	13		\$22,500	PF	LMI	N/A	942	760	N/A	942	760
		4B		\$344,230								
Anderson County	AC	13		\$19,200	PF	LMI	N/A	188	188	N/A	172	172
		4A		\$275,800								
Big Sandy	AC	13		\$17,500	PF	LMI	N/A	924	763	N/A	924	763
		4A		\$482,500								
Bradford	AC	13		\$13,254	PF	LMI	N/A	30	24	N/A	24	20
		4B		\$149,314								
Bradley County	AC	13		\$28,500	PF	LMI	N/A	128	124	N/A	85	85
		4B		\$471,500								
Byrdstown	AC	13		\$51,500	H	LMI	N/A	50	50	N/A	36	36
		9A		\$270,500								
		8		\$178,000								
Cannon County	AC	13		\$34,500	PF	LMI	N/A	111	89	N/A	85	52
		4A		\$465,500								
Chester County	AC	13		\$11,650	PF	LMI	N/A	3,245	2,783	N/A	3,245	2,783
		6		\$270,021								
Clarksburg	AC	13		\$31,500	PF	LMI	N/A	69	50	N/A	45	37
		4B		\$468,500								
Crump	AC	13		\$3,050	PF	LMI	N/A	2,355	1,425	N/A	2,355	1,425

3.	3a.	4.	4a.	5.	6.	7.	a.	b.	c.	d.	e.	f.
Locality	Status	activity	Status	Amount	Purpose	Nat'l Objective	# of Units/Loans	Total # of Persons Jobs	Total # of L/M Persons / L/M Jobs	# of Units/Loans	Total # of Persons/ Jobs	Total # of L/M Persons/ L/M Jobs
		6		\$25,516								
Decherd	AC	13		\$18,500	PF	LMI	N/A	2,562	2,240	N/A	2,562	2,240
		4C		\$281,500								
Ducktown	AC	13		\$365,569	PF	LMI	N/A	171	106	N/A	123	106
		4A		\$23,500								
Dunlap		13		\$30,000	PF	LMI						
		4A		\$420,000								
Dyer County	AC	13		\$26,500	PF	LMI	N/A	93	87	N/A	93	87
		4B		\$473,500								
Gainesboro	AC	13		\$23,500	PF	LMI	N/A	1,287	1,067	N/A	1,287	1,067
		4A		\$556,500								
Gates	AC	13		\$21,443	PF	LMI	N/A	669	617	N/A	669	617
		4B		\$310,950								
Gibson County	AC	13		\$19,500	PF	LMI	N/A	20,590	19,766	N/A	20,590	19,766
		4C		\$280,500								
Grainger County	AC	13		\$31,500	PF	LMI	N/A	132	132	N/A	133	133
		14A		\$468,500								
Hardin County	AC	13		\$12,630	PF	LMI	N/A	3,183	2,573	N/A	3,183	2,573
		6		\$287,370								
Harriman	AC	13		\$29,500	PF	LMI	N/A	5,071	4,092	N/A	5,092	4,092
		4B		\$470,500								
Haywood County	AC	13		\$29,500	PF	LMI	N/A	143	125	N/A	143	101
		4A		\$470,500								
Henderson	AC	13		\$56,500	H	LMI	N/A	41	41	N/A	23	23
		9A		\$218,500								
		8		\$225,000								
Hollow Rock	AC	13		\$41,500	PF	LMI	N/A	892	675	N/A	892	675
		4A		\$458,500								
Hornbeak	AC	13		\$34,685	PF	LMI	N/A	140	103	N/A	140	105
		4B		\$465,315								
Humphreys County	AC	13		\$16,500	PF	LMI	N/A	6,804	3,960	N/A	6,804	3,960
		6		\$283,500								
Iron City		13		\$305,181	PF	LMI						
		4A		\$16,500								
Jefferson City	AC	13		\$25,000	PF	LMI	N/A	5,029	4,324	N/A	5,029	4,324
		4B		\$475,000								
Lafollette	AC	13		\$31,500	PF	LMI	N/A	8,543	6,962	N/A	8,543	6,962
		4B		\$468,500								
Lawrence County	AC	13		\$19,500	PF	LMI	N/A	302	218	N/A	302	166

3.	3a.	4.	4a.	5.	6.	7.	a.	b.	c.	d.	e.	f.
Locality	Status	activity	Status	Amount	Pur- pose	Nat'l Objec- tive	# of Units/ Loans	Total # of Persons Jobs	Total # of L/M Persons / L/M Jobs	# of Units/ Loans	Total # of Persons/ Jobs	Total # of L/M Persons/ L/M Jobs
		4A		\$480,500								
Lenoir City	AC	4B		\$500,000	PF	LMI	N/A	7,315	4,638	N/A	7,315	4,638
Lewis County	AC	13		\$18,500	PF	LMI	N/A	138	84	N/A	129	82
		4A		\$481,500								
Lewisburg	AC	13		\$23,000	PF	LMI	N/A	1,111	1,001	N/A	1,111	1,001
		4B		\$477,000								
Marion County (IT)	AC	13		\$13,500	PF	U/N	NA	NA	NA	NA	NA	NA
		4A		\$186,500								
Mason	AC	13		\$7,500	PF	LMI	N/A	2,195	1,927	N/A	2,195	1,927
		6		\$135,600								
McMinn County	AC	13		\$28,500	PF	LMI	N/A	2,304	1,674	N/A	2,304	1,674
		4B		\$471,500								
McMinnville	AC	13		\$26,500	PF	LMI	N/A	10,250	7,480	N/A	10,250	7,480
		4B		\$473,500								
Monterey	AC	13		\$25,000	PF	LMI	N/A	2,394	2,107	N/A	2,394	2,107
		4B		\$475,000								
Munford	AC	13		\$31,500	PF	LMI	NA	10,522	6,660	NA	10,522	6,660
		4A		\$468,500								
Newbern	AC	13		\$34,500	PF	LMI	N/A	2,577	1,866	N/A	2,577	1,866
		4B		\$465,500								
Overton County	AC	13		\$19,000	PF	LMI	N/A	2,998	2,461	N/A	2,998	2,461
		6		\$281,000								
Paris	AC	13		\$58,049	H	LMI	N/A	29	29	N/A	15	15
		9A		\$180,551								
		2		\$21,000								
		8		\$240,400								
Plainview	AC	13		\$26,500	PF	LMI	NA	110	79	N/A	101	95
		4B		\$435,896								
Ridgely	AC	13		\$34,000	PF	LMI	N/A	1,308	993	N/A	1,308	993
		4A		\$466,000								
Rogersville	AC	13		\$29,500	PF	LMI	N/A	297	218	N/A	226	175
		4A		\$470,500								
Salttillo	AC	13		\$25,000	H	LMI	N/A	51	46	N/A	20	20
		8		\$110,000								
		9A		\$365,000								
Samburg	AC	13		\$10,500	PF	LMI	N/A	2,740	3,577	N/A	3,577	2,740
		6		\$154,150								
Sardis	AC	13		\$5,963	PF	LMI	N/A	28	21	N/A	25	18

STATE GRANT PERFORMANCE/EVALUATION REPORT

Part III: Civil Rights
State of Tennessee
Grant Number: B-03-47-0001

Section 570.491 of the State Community Development Block Grant (CDBG) Rule requires states to submit to HUD data on the racial, ethnic, and gender characteristics of persons who are applicants for, participants in or beneficiaries of CDBG programs. This information must be reported for each activity and should indicate:

1. White, not Hispanic
2. Black, not Hispanic
3. Hispanic
4. Asian or Pacific Islander
5. American Indian/Alaskan Native
6. Female head of households (if applicants or beneficiaries are receiving a direct benefit).

Locality	Activity	Applicant						Beneficiary						Total Served	# OF	
		1	2	3	4	5	6	1	2	3	4	5	6		LMI	% LMI
Alexandria	Water system	0	0	0	0	0	0	1,892	67	6	0	0	279	1,965	1,377	70.08%
Bedford Co.	Water system	0	0	0	0	0	0	1,813	9	9	0	0	143	1,831	1,507	82.30%
Bells	Sewer system	0	0	0	0	0	0	1,220	376	452	0	199	359	2,247	1,600	71.21%
Big Sandy	Water system	0	0	0	0	0	0	774	0	0	0	0	54	774	706	91.21%
Blaine	Sewer system	0	0	0	0	0	0	601	2	3	0	2	51	608	412	67.76%
Bluff City	Water system	0	0	0	0	0	0	1,965	7	4	14	7	347	1,997	1,430	71.61%
Brownsville	Sewer system	0	0	0	0	0	0	2,127	2,298	61	0	0	1,121	4,486	2,987	66.58%
Bruceton	Sewer system	0	0	0	0	0	0	1,316	37	3	0	3	302	1,359	1,005	73.95%
Carroll Co.	Health Dept	0	0	0	0	0	0	2,961	660	150	0	25	531	3,796	3,454	90.99%
Carter County (IT)	Water Line Ext	0	0	0	0	0	0	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Celina	Water system	0	0	0	0	0	0	3,354	116	17	0	0	607	3,487	2,775	79.58%
Chester Co.	Fire protection	0	0	0	0	0	0	2,278	147	11	0	0	219	2,436	2,063	84.69%
Cornersville	Sewer system	0	0	0	0	0	0	785	7	3	0	2	121	797	615	77.16%
Covington	Water system	0	0	0	0	0	0	4,829	1,740	68	15	357	1,332	7,009	5,180	73.90%
Crossville	Housing rehab	49	0	4	0	0	7	0	0	0	0	0	0	53	51	96.23%
Cumberland City	Water line ext	65	0	1	0	0	3	0	0	0	0	0	0	66	45	68.18%
Dayton	Water line ext	218	0	0	0	0	10	0	0	0	0	0	0	218	154	70.64%
Decherd	Drainage	0	0	0	0	0	0	2,270	71	1	0	0	417	2,342	1,950	83.26%

STATE GRANT PERFORMANCE/EVALUATION REPORT

Locality	Activity	Applicant						Beneficiary						Total Served	# OF		
		1	2	3	4	5	6	1	2	3	4	5	6		LMI	% LMI	
Dickson County (IT)	Water line ext	0	0	0	0	0	0	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Ducktown	Water line ext	198	0	0	0	0	16	0	0	0	0	0	0	198	183	92.42%	
Dyer	Sewer system	0	0	0	0	0	0	2,453	327	42	0	0	325	2,822	1,834	64.99%	
Elizabethton (IT)	Water Line Ext	0	0	0	0	0	0	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	
Dyer Co.	Housing rehab	0	40	0	0	0	25	0	0	0	0	0	0	40	37	92.50%	
Erin	Water system	0	0	0	0	0	0	5,098	431	17	0	0	532	5,546	4,980	89.79%	
Erwin	Sewer system	0	0	0	0	0	0	5,404	0	53	0	11	1,072	5,468	3,517	64.32%	
Estill Springs	Water system	0	0	0	0	0	0	3,159	11	0	0	2	341	3,172	1,916	60.40%	
Friendship	Sewer system	0	0	0	0	0	0	619	40	0	0	0	65	659	604	91.65%	
Gadsden	Fire protection	0	0	0	0	0	0	1,296	128	0	0	0	104	1,424	933	65.52%	
Gainesboro	Water system	0	0	0	0	0	0	1,300	0	0	0	0	316	1,300	1,066	82.00%	
Giles Co.	Water system	0	0	0	0	0	0	2,712	72	5	1	0	307	2,790	1,939	69.50%	
Greenbrier	Sewer system	0	0	0	0	0	0	4,459	41	62	28	69	303	4,659	3,229	69.31%	
Grundy Co.	Emergency Svs Bldg	0	0	0	0	0	0	14,342	0	0	0	23	1,126	14,365	11,607	80.80%	
Hamilton County (IT)	Emerg. Stream Bank	0	0	0	0	0	0	N/A	N/A	N/A	N/A	N/A	N/A	1,020	N/A	N/A	
Hardeman Co.	Health Dept	1,609	3,533	100	0	34	976	0	0	0	0	0	0	5,276	5,107	96.80%	
Hardin Co.	Health Dept	4,693	216	133	22	26	392	0	0	0	0	0	0	5,090	4,586	90.10%	
Hartsville/Trousdale Co	Sewer system	0	0	0	0	0	0	1,774	674	12	0	0	649	2,460	2,140	86.99%	
Hendersonville	Sewer line ext	116	1	0	0	0	17	0	0	0	0	0	0	117	116	99.15%	
Huntingdon	Sewer system	0	0	0	0	0	0	2,431	790	78	0	0	709	3,299	2,425	73.51%	
Loretto	Water system	0	0	0	0	0	0	1,971	0	0	0	0	439	1,971	1,653	83.87%	
Macon Co.	Water line ext	184	0	2	0	1	17	0	0	0	0	0	0	187	164	87.70%	
Madisonville	Sewer system	0	0	0	0	0	0	601	0	0	0	0	105	601	443	73.71%	
Marshall Co.	Water line ext	184	0	2	0	0	15	0	0	0	0	0	0	186	128	68.82%	
Maury City	Housing rehab	4	28	0	0	0	5	0	0	0	0	0	0	32	32	100.00%	
Maury Co.	Health Dept	0	0	0	0	0	0	15,922	1,042	853	22	17	2,196	17,856	16,785	94.00%	
McMinn Co.	Water line ext	433	3	0	0	0	19	0	0	0	0	0	0	436	333	76.38%	
McNairy Co.	Fire protection	0	0	0	0	0	0	2,637	67	1	0	0	176	2,705	2,167	80.11%	
Meigs Co.	Water line ext	280	0	0	0	0	10	0	0	0	0	0	0	280	240	85.71%	
Millersville	Drainage	0	0	0	0	0	0	5,249	576	194	56	0	632	6,075	4,805	79.09%	
Monroe Co.	Health Center	0	0	0	0	0	0	556	32	32	7	0	88	627	599	95.53%	
Morrison	Emergency Svs Bldg	0	0	0	0	0	0	5,299	51	134	6	13	402	5,503	3,792	68.91%	
Mount Carmel	Sewer line ext	131	2	0	0	0	31	0	0	0	0	0	0	133	110	82.71%	
Mountain City	Water system	0	0	0	0	0	0	6,948	40	0	0	30	842	7,018	4,351	62.00%	
Oakdale	Fire protection	0	0	0	0	0	0	3,085	9	0	0	0	158	3,094	2,126	68.71%	
Obion	Fire protection	0	0	0	0	0	0	1,993	91	7	0	0	309	2,091	1,547	73.98%	
Oliver Springs	Sewer system	0	0	0	0	0	0	2,818	175	20	0	20	428	3,033	2,457	81.01%	

STATE GRANT PERFORMANCE/EVALUATION REPORT

Locality	Activity	Applicant						Beneficiary						Total Served	# OF		
		1	2	3	4	5	6	1	2	3	4	5	6		LMI	% LMI	
Oneida	Sewer system	0	0	0	0	0	0	2,378	0	0	0	0	0	390	2,378	1,848	77.71%
Paris	Housing rehab	0	21	0	0	0	4	0	0	0	0	0	0	21	21	100.00%	
Paris	Acquisition/relocation	1	6	0	0	0	1	0	0	0	0	0	0	7	7	100.00%	
Perry Co.	Water system	0	0	0	0	0	0	3,897	17	0	2	0	385	3,916	2,347	59.93%	
Polk Co.	Water line ext	457	0	0	0	0	22	0	0	0	0	0	0	457	335	73.30%	
Red Boiling Springs	Water system	0	0	0	0	0	0	3,682	0	147	31	0	421	3,860	3,188	82.59%	
Ridgely	Water system	0	0	0	0	0	0	1,892	267	66	3	0	310	2,228	1,693	75.99%	
Saltillo	Early Warning Sys	0	0	0	0	0	0	243	120	0	0	0	50	363	344	94.77%	
Sardis	Water system	0	0	0	0	0	0	744	8	2	0	0	96	754	606	80.37%	
Selmer	Water system	0	0	0	0	0	0	15,096	1,438	0	0	0	1,372	16,534	10,218	61.80%	
Sevier Co.	Water line ext	152	6	0	0	0	16	0	0	0	0	0	0	158	144	91.14%	
Soddy-Daisy	Housing rehab	21	0	0	0	0	5	0	0	0	0	0	0	21	21	100.00%	
Soddy-Daisy	Relocation	16	0	0	0	0	4	0	0	0	0	0	0	16	16	100.00%	
South Carthage	Fire protection	0	0	0	0	0	0	1,210	58	6	0	0	283	1,274	954	74.88%	
Sparta	Sewer system	0	0	0	0	0	0	3,866	332	24	0	12	1,029	4,234	3,319	78.39%	
Spring City	Sewer system	0	0	0	0	0	0	1,127	28	0	0	0	253	1,155	831	71.95%	
Stanton	Housing rehab	0	30	0	0	0	30	0	0	0	0	0	0	30	30	100.00%	
Stanton	Clearance/Drainage	0	34	0	0	0	43	0	0	0	0	0	0	34	34	100.00%	
Stantonville	Early Warning Sys	0	0	0	0	0	0	356	0	0	0	0	43	356	224	62.92%	
Tazewell	Sewer line ext	76	0	0	0	0	7	0	0	0	0	0	0	76	62	81.58%	
Tipton Co.	Water system	0	0	0	0	0	0	14,428	250	41	0	13	1,282	14,732	10,769	73.10%	
Tracy City	Sewer line ext	104	0	0	0	0	13	0	0	0	0	0	0	104	102	98.08%	
Trezevant	Sewer system	0	0	0	0	0	0	798	112	0	0	0	147	910	692	76.04%	
Vonore	Sewer line ext	22	0	0	0	0	2	0	0	0	0	0	0	22	19	86.36%	
Westmoreland	Water system	0	0	0	0	0	0	2,903	0	0	0	0	433	2,903	2,276	78.40%	

Part 1

State: Tennessee					Reporting Period FY: 2004							
Grant Number: B-04-DC-47-0001					Date as of: June 30, 2012							
1. Financial Status					2. National Objectives							
A. Total Funds					A. Period Specified for Benefit: FY 2004 to FY 2004							
					B. Amount Used to:							
(1) Allocation: \$31,250,733					(1) Benefit to Low/Moderate Income Persons: \$34,772,277							
(2) Program Income: \$6,772,500					(2) Prevent/Eliminate Slums/Blight: \$0							
					(3) Meet Urgent Community Development Needs: \$478,500							
B. Amount Obligated to Recipients: \$37,152,403					(4) Acquisition/Rehabilitation Noncountable: \$0							
C. Amount Drawn Down: \$30,712,488					(5) Local Administration: \$1,901,626							
D. Amount for State Administration: \$725,015												
E. Technical Assistance: \$0					TOTAL: \$37,152,403							
F. Section 108 Loan Guarantees: \$0												
					8. Accomplishments							
					Proposed					Actual		
3.	3a.	4.	4a.	5.	6.	7.	a.	b.	c.	d.	e.	f.
Locality	Status	activity	Status	Amount	Purpose	Nat'l Objective	# of Units/Loans	Total # of Persons Jobs	Total # of L/M Persons / L/M Jobs	# of Units/Loans	Total # of Persons/ Jobs	Total # of L/M Persons/ L/M Jobs
Anderson County	AC	13		\$28,126	PF	LMI	N/A	90	84	N/A	86	80
		4a		\$332,305								
Athens	AC	13		\$26,500	PF	LMI	N/A	521	397	N/A	249	197
		4a		\$473,500								
Atoka	AC	13		\$26,500	PF	LMI	N/A	4745	2448	N/A	4745	2448
		4a		\$473,500								
Bean Station	AC	13		\$26,000	PF	LMI	N/A	6,277	5,712	N/A	6,277	5,712
		4a		\$452,816								
Bledsoe County	AC	13		\$26,500	PF	LMI	N/A	310	231	N/A	156	101
		4a		\$473,500								
Cannon County	C	13(P)		\$16,000	ED	LMI	NA	42	21	NA	13	7
[Global Industrial Components		14b(P)		\$448,000								
Chapel Hill		14b(P)		\$65,000	ED	LMI						
[Lobelson-McCabe, Inc]												
Chapel Hill	AC	13		\$13,160	PF	LMI	N/A	1,053	887	N/A	1,053	887
		4a		\$181,180								
Church Hill	AC	13		\$31,500	PF	LMI	N/A	5,495	3,687	N/A	5,495	3,687

3.	3a.	4.	4a.	5.	6.	7.	a.	b.	c.	d.	e.	f.
Locality	Status	activity	Status	Amount	Pur- pose	Nat'l Objec- tive	# of Units/ Loans	Total # of Persons Jobs	Total # of L/M Persons / L/M Jobs	# of Units/ Loans	Total # of Persons/ Jobs	Total # of L/M Persons/ L/M Jobs
		4b		\$468,500								
Clay County		13(P)		\$16,000	ED	LMI						
[Fab Care]		14b(P)		\$503,000								
Clifton	AC	13		\$31,500	PF	LMI	N/A	759	539	N/A	759	539
		4b		\$468,500								
Cocke County	AC	13		\$26,500	PF	LMI	N/A	126	113	N/A	104	85
		4a		\$473,500								
Coffee County	AC	13		\$16,500	PF	LMI	N/A	277	205	N/A	106	82
		4A		\$483,500								
Collegedale	AC	13		\$26,500	PF	LMI	N/A	103	103	N/A	33	33
		4B		\$473,500								
Columbia	AC	13		\$16,500	PF	LMI	N/A	720	684	N/A	720	684
		4b		\$483,500								
Crockett County	AC	13		\$20,000	PF	LMI	N/A	5,476	5,295	N/A	5,476	5,295
		6		\$280,000								
Cumberland County	AC	13		\$24,000	PF	LMI	N/A	11,925	9,063	N/A	11,925	9,063
		4a		\$476,000								
Decatur County	AC	13		\$12,500	PF	LMI	N/A	5,421	3,377	N/A	5,421	3,377
		6		\$287,500								
Dyersburg	AC	13(P)		\$44,287	H	LMI	N/A	85	85	N/A	18	16
		9a(P)		\$455,713								
Fentress County	AC	13		\$16,000	PF	LMI	N/A	15,501	12,664	N/A	15,501	12,664
		6		\$284,000								
Franklin County	AC	13		\$17,500	PF	LMI	N/A	4,978	3,514	N/A	4,978	3,514
		4a		\$482,500								
Friendsville	AC	13		\$23,100	PF	LMI	N/A	3,565	2,912	N/A	3,565	2,912
		4a		\$293,239								
Gates	AC	13		\$20,690	PF	LMI	N/A	669	607	N/A	669	607
		4b		\$299,137								
Gibson County	AC	13		\$11,729	PF	LMI	N/A	14,593	10,317	N/A	14,593	10,317
		6		\$158,763								
Grainger County	AC	13		\$22,000	PF	LMI	N/A	90	84	N/A	73	69
		4a		\$428,481								
Greene County	AC	13		\$31,500	PF	LMI	N/A	260	192	N/A	167	150
		4a		\$468,500								
Hamilton County	AC	13		\$26,500	PF	LMI	N/A	335	271	N/A	194	143

3.	3a.	4.	4a.	5.	6.	7.	a.	b.	c.	d.	e.	f.
Locality	Status	activity	Status	Amount	Purpose	Nat'l Objective	# of Units/Loans	Total # of Persons/ Jobs	Total # of L/M Persons / L/M Jobs	# of Units/Loans	Total # of Persons/ Jobs	Total # of L/M Persons/ L/M Jobs
		4A		\$473,500								
Harriman	AC	13		\$29,500	PF	LMI	N/A	5,071	4,001	N/A	5,071	4,001
		4b		\$470,500								
Haywood County	AC	13		\$28,500	PF	LMI	N/A	188	118	N/A	92	79
		4a		\$471,500								
Henderson County	AC	13		\$15,000	PF	LMI	N/A	4,205	3,991	N/A	4,205	3,991
		6		\$285,000								
Henderson County		14b(P)		\$500,000	ED	LMI						
[Manufacturers Ind. Group]												
Henry County	AC	13		\$19,500	PF	LMI	N/A	5,320	4,224	N/A	5,320	4,224
		6		\$280,500								
Hickman County	AC	13		\$16,500	PF	LMI	NA	30,710	22,674	NA	30,710	22,674
		6		\$283,500								
Hohenwald	AC	13		\$17,500	PF	LMI	N/A	2,624	1,899	N/A	2,624	1,899
		4b		\$482,500								
Houston County	AC	13		\$19,500	PF	LMI	N/A	212	204	N/A	152	144
		4a		\$480,500								
Huntland	AC	13		\$19,500	PF	LMI	N/A	1,052	952	N/A	1,052	952
		4a		\$330,500								
Jackson County	AC	13		\$25,400	PF	LMI	N/A	116	106	N/A	34	31
		4a		\$474,600								
Jamestown	AC	13(P)		\$1,500	H	LMI	N/A	40	40	N/A	25	25
		9a(P)		\$498,000								
Jefferson City	AC	13		\$20,164	PF	LMI	N/A	4,983	4,086	N/A	4,983	4,101
		4B		\$479,836								
Jellico	AC	13		\$23,650	PF	LMI	N/A	2,022	1,872	N/A	2,022	1,872
		4b		\$451,918								
Jonesborough	AC	13		\$28,500	PF	LMI	N/A	16,691	10,215	N/A	16,691	10,215
		4a		\$471,500								
Lafollette	AC	13		\$29,500	PF	LMI	N/A	8,035	6,131	N/A	8,035	6,131
		4b		\$470,500								
Lawrence County	AC	13		\$18,500	PF	LMI	N/A	237	165	N/A	156	125
		4a		\$481,500								
Lewis County	AC	13		\$20,000	PF	LMI	N/A	6,393	4,302	N/A	6,393	4,302
		4a		\$480,000								
Lewisburg	AC	13		\$20,000	PF	LMI	N/A	1,111	975	N/A	1,111	975

3.	3a.	4.	4a.	5.	6.	7.	a.	b.	c.	d.	e.	f.
Locality	Status	activity	Status	Amount	Pur- pose	Nat'l Objec- tive	# of Units/ Loans	Total # of Persons Jobs	Total # of L/M Persons / L/M Jobs	# of Units/ Loans	Total # of Persons/ Jobs	Total # of L/M Persons/ L/M Jobs
		4b		\$478,000								
Livingston	AC	13		\$30,000	PF	LMI	N/A	8,426	6,074	N/A	8,426	6,074
		4a		\$470,000								
Loudon County	AC	13		\$51,500	PF	LMI	N/A	1,088	878	N/A	670	570
		4a		\$948,500								
Luttrell	AC	13		\$29,500	PF	LMI	N/A	2,365	1,937	N/A	2,365	1,937
		4b		\$470,500								
Metro Moore County	AC	13		\$21,500	PF	LMI	N/A	108	89	N/A	50	40
		4a		\$243,500								
Milan	AC	13		\$30,550	PF	LMI	N/A	69	68	N/A	84	80
		4b		\$469,450								
Milledgeville	AC	13		\$1,725	PF	LMI	N/A	314	174	N/A	314	174
		6		\$17,033								
Monterey	AC	13		\$20,500	PF	LMI	N/A	2,394	2,107	N/A	2,394	2,107
		4b		\$479,500								
Morgan County	AC	13		\$26,635	PF	LMI	N/A	144	127	N/A	92	81
		4a		\$473,365								
New Market	AC	13		\$27,500	PF	LMI	N/A	3,564	84	N/A	3,564	2,994
		4a		\$472,500								
Newport	AC	13(P)		\$44,700	H	LMI	N/A	35	35	N/A	15	15
		9a(P)		\$258,300								
		2(P)		\$17,000								
		8(P)		\$180,000								
Overton County	AC	13		\$17,500	PF	LMI	N/A	9,915	8,309	N/A	9,915	8,309
		6		\$282,500								
Pickett County	AC	13		\$12,500	PF	LMI	N/A	1,109	829	N/A	1,109	829
		6		\$287,500								
Pikeville	AC	13		\$26,500	PF	LMI	N/A	2,473	1,762	N/A	2,473	1,762
		4A		\$473,500								
Plainview	AC	13		\$9,500	PF	LMI	N/A	3,040	2,189	N/A	3,040	2,189
		6		\$169,100								
Pulaski	AC	13		\$20,000	PF	LMI	N/A	3,835	3,459	N/A	3,835	3,459
		4b		\$480,000								
Ramer		13		\$12,480	PF	LMI						
		4a		\$170,520								
Red Bank	AC	13(P)		\$42,000	H	LMI	N/A	46	46	N/A	54	54

3.	3a.	4.	4a.	5.	6.	7.	a.	b.	c.	d.	e.	f.
Locality	Status	activity	Status	Amount	Pur- pose	Nat'l Objec- tive	# of Units/ Loans	Total # of Persons Jobs	Total # of L/M Persons / L/M Jobs	# of Units/ Loans	Total # of Persons/ Jobs	Total # of L/M Persons/ L/M Jobs
		9a(P)		\$298,000								
		8(P)		\$135,000								
Roane County	AC	13		\$16,500	PF	LMI	N/A	57,700	39,236	N/A	57,700	39,236
		6		\$283,500								
Rockwood	AC	13		\$26,500	PF	LMI	N/A	3,634	2,914	N/A	3,634	2,914
		4b		\$473,500								
Rutherford	AC	13		\$22,538	PF	LMI	N/A	1,355	892	N/A	1,355	892
		4a		\$294,760								
Rutledge	AC	13		\$29,500	PF	LMI	N/A	1,856	1,171	N/A	1,939	1,197
		4a		\$470,500								
Savannah	AC	13		\$31,500	PF	LMI	N/A	7,456	5,406	N/A	7,456	5,406
		4b		\$468,500								
Sharon	AC	13		\$19,708	PF	LMI	N/A	936	807	N/A	936	807
		4a		\$258,770								
Smith County	AC	13		\$19,500	PF	LMI	N/A	93	79	N/A	31	22
		4a		\$312,500								
Smithville	AC	13		\$22,000	PF	LMI	N/A	4,189	3,175	N/A	4,189	3,175
		4b		\$478,000								
South Pittsburg	AC	13(P)		\$42,000	H	LMI	N/A	52	52	N/A	33	33
		8(P)		\$325,000								
		9a(P)		\$133,000								
Spring City (IT)	AC	13		\$21,500	PF	U/N	N/A	N/A	2,025	N/A	N/A	N/A
		4b		\$478,500								
Stewart County	AC	13		\$15,500	PF	LMI	N/A	2,494	1,978	N/A	2,494	1,978
		6		\$284,500								
Sullivan County	AC	13		\$19,500	PF	LMI	NA	128	97	NA	132	101
		6		\$280,500								
Sunbright	AC	13		\$29,500	PF	LMI	NA	86	71	NA	86	71
		4b		\$470,500								
Trenton	AC	13		\$26,500	PF	LMI	N/A	3,692	2,488	N/A	3,692	2,488
		4b		\$473,500								
Unicoi County	AC	13		\$26,500	PF	LMI	N/A	10,297	6,271	N/A	10,297	6,271
		4a		\$473,500								
Union County	AC	13		\$19,500	PF	LMI	N/A	18,021	13,804	N/A	18,021	13,804
		6		\$280,500								
Van Buren County	AC	13(P)		\$33,000	H	LMI	N/A	39	39	N/A	26	26

STATE GRANT PERFORMANCE/EVALUATION REPORT

Part III: Civil Rights
 State of Tennessee
 Grant Number: B-04-47-0001

Section 570.491 of the State Community Development Block Grant (CDBG) Rule requires states to submit to HUD data on the racial, ethnic, and gender characteristics of persons who are applicants for, participants in or beneficiaries of CDBG programs. This information must be reported for each activity and should indicate:

- | | |
|--|---|
| <ol style="list-style-type: none"> 1. White 2. Black/African American 3. Asian 4. American Indian/Alaskan Native 5. Native Hawaiian/Other Pacific Islander 6. American Indian/Alaskan Native & White | <ol style="list-style-type: none"> 7. Asian & White 8. Black/African American & White 9. American Indian/Alaskan Native & Black/African American 10. Other Multi-Racial 11. Female head of households (if applicants or beneficiaries are receiving a direct benefit). |
|--|---|

Locality	Activity	Applicant											Beneficiary											Total Served	# OF LMI	% LMI	
		1	2	3	4	5	6	7	8	9	10	11	1	2	3	4	5	6	7	8	9	10	11				
Anderson County	Waterline Ext.	90	0	0	0	0	0	0	0	0	0	13	0	0	0	0	0	0	0	0	0	0	0	0	90	84	93.00%
Athens	Waterline Ext.	521	0	0	0	0	0	0	0	0	0	28	0	0	0	0	0	0	0	0	0	0	0	0	521	397	76.00%
Atoka	Water System	0	0	0	0	0	0	0	0	0	0	0	4,476	137	20	20	0	6	67	0	0	31	157	4,757	2,483	52.20%	
Bean Station	Waterline	0	0	0	0	0	0	0	0	0	0	0	5,882	270	0	0	0	0	0	0	0	125	345	6,277	5,710	91.00%	
Bledsoe County	Water line Ext.	310	0	0	0	0	0	0	0	0	7	0	0	0	0	0	0	0	0	0	0	0	0	0	310	231	74.50%
Chapel Hill	Wayter System	0	0	0	0	0	0	0	0	0	0	0	1,008	36	0	0	0	2	0	7	0	0	121	1,053	887	84.20%	
Church Hill	Sewer System	0	0	0	0	0	0	0	0	0	0	0	5,374	121	0	0	0	0	0	0	0	0	1,110	5,495	3,687	67.10%	
Clifton	Sewer System	0	0	0	0	0	0	0	0	0	0	0	652	107	0	0	0	0	0	0	0	0	91	759	539	71.00%	
Cocke County	Waterline Ext.	122	2	0	0	0	0	0	0	0	2	12	0	0	0	0	0	0	0	0	0	0	0	0	126	113	89.60%
Coffee County	Waterline Ext.	264	4	0	2	0	0	0	0	0	7	19	0	0	0	0	0	0	0	0	0	0	0	0	277	205	74.00%
Collegedale	Sewerline Ext.	88	0	0	0	0	0	0	0	0	15	10	0	0	0	0	0	0	0	0	0	0	0	0	103	99	96.00%
Columbia	Sewer System	0	0	0	0	0	0	0	0	0	0	0	91	625	4	0	0	0	0	0	0	0	0	166	720	684	95.00%
Crockett County	Health Dept.	2,300	731	0	10	0	0	0	22	0	2,413	493	0	0	0	0	0	0	0	0	0	0	0	0	5,476	5,295	96.70%
Cumberland County	Water System	0	0	0	0	0	0	0	0	0	0	0	11,424	75	50	0	0	0	0	0	0	376	1,443	11,925	9,063	76.00%	
Decatur County	Fire Protection	0	0	0	0	0	0	0	0	0	0	0	4,591	298	0	490	0	0	0	0	0	42	457	5,421	3,387	62.40%	
Dover	Sewer System	0	0	0	0	0	0	0	0	0	0	0	1,349	38	3	3	6	0	0	0	0	0	249	1,399	1,207	86.30%	
Dyersburg	Housing	85	4	0	0	0	0	0	0	0	3	27	0	0	0	0	0	0	0	0	0	0	0	92	85	92.40%	
Fentress County	Communication	0	0	0	0	0	0	0	0	0	0	0	15,315	46	0	47	0	0	0	0	0	93	1,766	15,501	12,666	81.70%	
Franklin County	Water System	0	0	0	0	0	0	0	0	0	0	0	4,707	60	0	0	0	60	0	55	0	96	760	4,978	3,513	70.60%	
Friendsville	Water System	0	0	0	0	0	0	0	0	0	0	0	3,473	92	0	0	0	0	0	0	0	0	490	3,565	2,912	81.67%	
Gates	Sewer System	0	0	0	0	0	0	0	0	0	0	0	325	342	0	0	0	0	0	0	0	2	91	669	607	90.10%	
Gibson County	Fire Protection	0	0	0	0	0	0	0	0	0	0	0	13,789	616	0	0	0	0	0	0	0	0	1,916	14,405	10,025	69.60%	
Grainger County	Waterline Ext.	89	1	0	0	0	0	0	0	0	0	8	0	0	0	0	0	0	0	0	0	0	0	90	83	92.20%	
Greene County	Waterline Ext.	254	0	0	1	0	0	0	0	0	5	24	0	0	0	0	0	0	0	0	0	0	0	260	192	73.80%	
Hamilton County	Waterline Ext.	335	0	0	0	0	0	0	0	0	0	20	0	0	0	0	0	0	0	0	0	0	0	335	271	80.90%	
Harriman	Sewer System	0	0	0	0	0	0	0	0	0	0	0	4,746	280	45	0	0	0	0	0	0	0	751	5,071	4,001	79.90%	
Haywood County	Waterline Ext.	172	16	0	0	0	0	0	0	0	0	24	0	0	0	0	0	0	0	0	0	0	0	188	118	62.80%	
Henderson County	Health Clinic	0	0	0	0	0	0	0	0	0	0	0	3,705	367	10	4	0	0	0	0	0	119	4,29	4,205	3,991	94.90%	
Henry County	Fire Protection	0	0	0	0	0	0	0	0	0	0	0	4,841	400	11	8	0	0	0	0	15	45	638	5,320	4,224	79.40%	
Hickman County	Emergency Ctr.	0	0	0	0	0	0	0	0	0	0	0	29,820	630	0	0	0	0	0	0	0	260	2,997	30,710	22,674	73.80%	

STATE GRANT PERFORMANCE/EVALUATION REPORT

Locality	Activity	Applicant										Beneficiary										Total Served	# OF LMI	% LMI				
		1	2	3	4	5	6	7	8	9	10	11	1	2	3	4	5	6	7	8	9				10	11		
Hohenwald	Sewer System	0	0	0	0	0	0	0	0	0	0	0	0	2,527	97	0	0	0	0	0	0	0	0	615	2,624	1,899	72.40%	
Houston County	Waterline Ext.	203	0	0	0	0	0	0	0	0	9	19	0	0	0	0	0	0	0	0	0	0	0	0	212	204	96.20%	
Huntland	Water System	0	0	0	0	0	0	0	0	0	0	0	1,020	32	0	0	0	0	0	0	0	0	0	275	1,052	953	90.50%	
Jackson County	Waterline Ext.	115	0	0	1	0	0	0	0	0	0	8	0	0	0	0	0	0	0	0	0	0	0	0	116	106	91.38%	
Jamestown	Housing	40	0	0	0	0	0	0	0	0	0	15	0	0	0	0	0	0	0	0	0	0	0	0	40	38	95.00%	
Jefferson City	Sewerline Repair	0	0	0	0	0	0	0	0	0	0	0	4,642	330	0	0	0	0	0	0	0	0	89	568	5,061	4,174	82.00%	
Jellico	Sewerline Repair	0	0	0	0	0	0	0	0	0	0	0	2,010	0	0	0	0	0	0	0	0	0	0	404	2,010	1,854	92.23%	
Jonesborough	Water System	0	0	0	0	0	0	0	0	0	0	0	16,257	235	0	86	30	0	0	0	0	0	83	2,003	16,691	10,215	61.20%	
Kimball	Sewerline Ext.	135	0	11	0	0	0	0	0	0	0	17	0	0	0	0	0	0	0	0	0	0	0	0	146	141	96.60%	
LaFollette	Sewer System	7,826	203	0	0	0	0	0	0	0	6	924	0	0	0	0	0	0	0	0	0	0	0	0	8,035	6,131	76.30%	
Lawrence County	Waterline Ext.	237	0	0	0	0	0	0	0	0	0	22	0	0	0	0	0	0	0	0	0	0	0	0	237	165	69.60%	
Lewis County	Water System	0	0	0	0	0	0	0	0	0	0	0	6,227	166	0	0	0	0	0	0	0	0	0	1,276	6,393	4,300	67.30%	
Lewisburg	Sewer System	685	348	0	0	0	7	0	5	0	66	242	0	0	0	0	0	0	0	0	0	0	0	0	1,111	975	87.80%	
Liberty	Fire Protection	0	0	0	0	0	0	0	0	0	0	0	2,376	100	28	7	0	0	0	0	0	22	413	2,533	2,077	81.90%		
Livingston	Water System	0	0	0	0	0	0	0	0	0	0	0	8,314	42	14	28	0	0	0	0	0	28	1,204	8,426	6,074	72.00%		
Loudon County	Waterline Ext.	1,066	22	0	0	0	0	0	0	0	0	11	0	0	0	0	0	0	0	0	0	0	0	0	1,088	878	80.70%	
Luttrell	Sewer System	0	0	0	0	0	0	0	0	0	0	0	2,363	2	0	0	0	0	0	0	0	0	0	220	2,365	1,937	81.90%	
Milan	Sewerline Ext.	56	3	0	0	0	0	0	3	0	7	3	0	0	0	0	0	0	0	0	0	0	0	0	69	68	98.60%	
Milledgeville	Siren System	0	0	0	0	0	0	0	0	0	0	0	293	10	0	1	0	0	0	0	7	0	42	311	172	55.40%		
Monterey	Sewer System	0	0	0	0	0	0	0	0	0	0	0	1,597	18	0	0	0	0	0	0	0	779	529	2,394	2,107	88.00%		
Moore County	Waterline Ext.	106	0	0	0	0	0	0	0	0	2	9	0	0	0	0	0	0	0	0	0	0	0	0	108	89	82.40%	
Morgan County	Waterline Ext.	143	1	0	0	0	0	0	0	0	0	7	0	0	0	0	0	0	0	0	0	0	0	0	144	127	88.19%	
New Market	Waterline Repair	0	0	0	0	0	0	0	0	0	0	0	3,508	56	0	0	0	0	0	0	0	0	0	387	3,564	56	87.00%	
Newport	Housing	7	28	0	0	0	0	0	0	0	9	0	0	0	0	0	0	0	0	0	0	0	0	0	35	35	100.00%	
Oakland	Water System	0	0	0	0	0	0	0	0	0	0	0	4,327	882	31	19	0	0	0	0	0	163	309	5,422	3,600	67.20%		
Overton County	Fire Protection	0	0	0	0	0	0	0	0	0	0	0	9,894	26	0	13	0	0	0	0	0	0	0	965	9,933	8,321	83.70%	
Palmer	Sewerline Ext.	575	0	0	4	0	0	0	0	0	1	30	0	0	0	0	0	0	0	0	0	0	0	0	580	453	78.10%	
Pickett County	Fire Protection	0	0	0	0	0	0	0	0	0	0	0	1,109	0	0	0	0	0	0	0	0	0	0	145	1,109	829	74.70%	
Pikeville	Water System	0	0	0	0	0	0	0	0	0	0	0	2,350	109	0	14	0	0	0	0	0	0	0	423	2,473	1,762	71.30%	
Plainview	Ambulance	3,028	4	0	0	0	0	0	0	0	8	185	0	0	0	0	0	0	0	0	0	0	0	0	3,040	2,189	72.20%	
Pulaski	Sewer System	0	0	0	0	0	0	0	0	0	0	0	1,401	2,427	7	0	0	0	0	0	0	0	0	1,026	3,835	3,460	90.20%	
Ramer	Water System	0	0	0	0	0	0	0	0	0	0	0	427	47	0	0	0	0	0	0	0	0	0	48	474	398	84.00%	
Red Bank	Housing	44	0	0	0	0	0	0	0	0	2	5	0	0	0	0	0	0	0	0	0	0	0	0	48	46	95.80%	
Roane County	EMS Equipment	0	0	0	0	0	0	0	0	0	0	0	53,775	2,280	0	0	0	0	0	0	0	1,720	6,075	57,775	39,250	67.94%		
Rockwood	Sewer System	0	0	0	0	0	0	0	0	0	0	0	3,513	121	0	0	0	0	0	0	0	0	0	691	3,634	2,914	80.10%	
Rutherford	Water System	0	0	0	0	0	0	0	0	0	0	0	1,122	230	1	0	1	0	0	0	0	1	240	1,355	892	65.80%		
Rutledge	Waterline Ext.	1,837	6	0	0	0	0	0	0	0	13	109	0	0	0	0	0	0	0	0	0	0	0	0	1,856	1,171	63.10%	
Savannah	Sewer System	0	0	0	0	0	0	0	0	0	0	0	6,434	1,074	0	0	0	0	0	0	0	0	0	1,337	7,508	5,421	72.20%	
Sequatchie County	Waterline Ext.	336	0	0	0	0	0	0	0	0	0	26	0	0	0	0	0	0	0	0	0	0	0	0	336	251	74.70%	
Sharon	Water System	0	0	0	0	0	0	0	0	0	0	0	790	103	0	0	0	0	0	0	0	0	0	275	893	806	90.20%	
Smith County	Waterline Ext.	93	0	0	0	0	0	0	0	0	0	9	0	0	0	0	0	0	0	0	0	0	0	0	93	79	84.90%	
Smithville	Sewer System	0	0	0	0	0	0	0	0	0	0	0	3,924	102	0	0	0	0	0	0	0	163	970	4,189	3,176	75.80%		
South Pittsburg	Housing	52	11	0	0	0	0	0	0	0	0	8	0	0	0	0	0	0	0	0	0	0	0	0	52	52	100.00%	
Spring City (IT)	Sewer Line	0	0	0	0	0	0	0	0	0	0	0	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2,025	N/A	N/A		
Springfield	Siren System	0	0	0	0	0	0	0	0	0	0	0	10,450	3,735	126	84	0	0	0	0	0	1,343	2,660	15,738	12,433	79.00%		
Stewart County	Ambulance/Bldg	0	0	0	0	0	0	0	0	0	0	0	2,392	75	0	27	0	0	0	0	0	0	0	0	185	2,494	1,978	79.30%
Sullivan County	Sewerline Ext.	124	0	0	0	0	0	0	0	0	4	17	0	0	0	0	0	0	0	0	0	0	0	0	128	97	75.70%	
Sunbright	Sewer System	0	0	0	0	0	0	0	0	0	0	0	86	0	0	0	0	0	0	0	0	0	0	17	86	71	82.60%	

STATE GRANT PERFORMANCE/EVALUATION REPORT

Locality	Activity	Applicant											Beneficiary											Total Served	# OF LMI	% LMI
		1	2	3	4	5	6	7	8	9	10	11	1	2	3	4	5	6	7	8	9	10	11			
Trenton	Sewer System	0	0	0	0	0	0	0	0	0	0	0	3,102	538	0	17	0	0	0	0	0	31	800	3,688	2,490	67.50%
Unicoi County	Water System	0	0	0	0	0	0	0	0	0	0	0	10,081	0	0	95	0	26	0	0	0	95	1,771	10,297	6,271	60.90%
Union County	Ambulance/Bldg	0	0	0	0	0	0	0	0	0	0	0	17,949	48	0	0	0	0	0	0	0	24	919	18,021	13,804	76.60%
Van Buren County	Housing	38	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6	39	39	39	100.00%
Warren County	Ambulance	0	0	0	0	0	0	0	0	0	0	0	4,972	13	0	0	0	0	0	0	0	7	515	4,992	3,560	71.30%
White County	Ambulance	0	0	0	0	0	0	0	0	0	0	0	21,887	355	0	19	0	0	0	0	0	280	2,480	22,541	16,703	74.10%
Whiteville	Siren System	0	0	0	0	0	0	0	0	0	0	0	679	323	0	0	0	0	0	0	11	11	205	1,013	713	70.30%
Woodbury	Sewer System	0	0	0	0	0	0	0	0	0	0	0	2,113	163	0	6	0	0	0	0	0	54	667	2,325	1,900	81.70%

**U.S. Department
of Housing and
Urban Development**

					8. Accomplishments							
					Proposed				Actual			
3.	3a.	4.	4a.	5.	6.	7.	a.	b.	c.	d.	e.	f.
Locality	Status	activity	Status	Amount	Purpose	Nat'l Objective	# of Units/Loans	Total # of Persons Jobs	Total # of L/M Persons / L/M Jobs	# of Units/Loans	Total # of Persons/ Jobs	Total # of L/M Persons/ L/M Jobs
Portland	AC	13		\$17,500	PF	LMI	N/A	13,855	11,569	N/A	13,855	11,569
		4A		\$399,357								
Powell's Crossroads		13		\$25,500	PF	LMI						
		4B		\$474,500								
Rhea County	AC	13		\$23,500	PF	LMI	N/A	1,377	1,067	N/A	1,377	1,067
		4B		\$476,500								
Saulsbury	AC	13		\$4,000	PF	LMI	N/A	2,546	1,826	N/A	2,546	1,826
		6		\$83,300								
Savannah	AC	13 (P)		\$59,000	H	LMI	N/A	22	22	N/A	18	18
		9A (P)		\$83,500								
		2 (P)		\$5,000								
		08 (P)		\$352,500								
Scott County	AC	13		\$24,000	PF	LMI	N/A	395	324	N/A	395	324
		4B		\$476,000								
Scott County		13(P)		\$15,000	ED	LMI						
[Talisman Battery Group, Inc.]		14B(P)		\$735,000								
Scott County		13(P)		\$15,000	ED	LMI						
[Trakker Trailers Co.]		14B(P)		\$735,000								
Scotts Hill	AC	13		\$26,000	PF	LMI	N/A	3,324	1,905	N/A	3,324	1,905
		4a		\$474,000								
Smith County	AC	13		\$23,500	PF	LMI	N/A	1,995	1,714	N/A	1,995	1,714
		4A		\$431,050								
Smithville	AC	13		\$19,500	PF	LMI	N/A	4,189	3,175	N/A	4,189	3,175
		4B		\$480,500								
Sneedville	AC	13		\$19,500	PF	LMI	N/A	1,094	886	N/A	1,094	886
		4B		\$480,500								
St. Joseph	AC	13		\$11,500	PF	LMI	N/A	1,023	642	N/A	1,023	642
		4A		\$179,460								
Sunbright	AC	13		\$9,500	PF	LMI	N/A	1,617	1,358	N/A	1,617	1,358
		6		\$180,500								
Tiptonville	AC	13(P)		\$50,000	H	LMI	N/A	54	54	N/A	16	16
		1(P)		\$27,000								
		2(P)		\$16,000								
		8(P)		\$217,000								
		9A(P)		\$190,000								
Trenton	AC	13		\$32,080	PF	LMI	N/A	3,672	2,299	N/A	3,672	2,299
		4B		\$467,920								
Unicoi	AC	13		\$27,000	PF	LMI	N/A	3,432	2,217	N/A	3,432	2,217

STATE GRANT PERFORMANCE/EVALUATION REPORT

Part III: Civil Rights
State of Tennessee
Grant Number: B-02-47-0001

Section 570.491 of the State Community Development Block Grant (CDBG) Rule requires states to submit to HUD data on the racial, ethnic, and gender characteristics of persons who are applicants for, participants in or beneficiaries of CDBG programs. This information must be reported for each activity and should indicate:

1. White, not Hispanic
2. Black, not Hispanic
3. Hispanic
4. Asian or Pacific Islander
5. American Indian/Alaskan Native
6. Female head of households (if applicants or beneficiaries are receiving a direct benefit).

Locality	Activity	Applicant						Beneficiary						Total Served	# OF LMI	% LMI
		1	2	3	4	5	6	1	2	3	4	5	6			
Auburntown	Water Line Extension	150	0	0	1	0	4	0	0	0	0	0	0	151	94	62.25%
Baxter	Water System	0	0	0	0	0	0	4,076	31	12	0	19	546	4,138	3,480	84.10%
Beersheeba Springs	Housing Relocation	9	0	0	0	0	2	0	0	0	0	0	9	9	100.00%	
Beersheeba Springs	Housing Rehab	11	0	0	0	0	1	0	0	0	0	0	11	11	100.00%	
Bolivar	Sewer Treatment Plt	0	0	0	0	0	0	2,133	3,231	91	37	20	954	5,512	3,930	71.30%
Bradley Co.	Water Line Extension	647	2	0	0	0	28	0	0	0	0	0	649	493	75.96%	
Brighton	Water Storage Tank	0	0	0	0	0	0	1,481	521	55	0	0	214	2,057	1,572	76.42%
Brownsville	Sewer Line Extension	0	0	0	0	0	0	5	0	0	0	0	5	5	100.00%	
Byrdstown	Water System	0	0	0	0	0	0	4,240	0	0	0	0	4,240	2,600	61.32%	
Calhoun	Water Line Extension	275	0	28	0	0	11	0	0	0	0	0	303	226	74.59%	
Campbell Co.	Water Line Extension	123	0	0	0	0	13	0	0	0	0	0	123	105	85.37%	
Cannon Co.	Water Line Extension	176	0	2	0	3	13	0	0	0	0	0	181	121	66.85%	
Carter Co.	Water Syste	0	0	0	0	0	0	1,260	0	18	0	0	178	1,278	1,039	81.30%
Centerville	Sewer System	0	0	0	0	0	0	1,553	28	0	0	0	542	1,581	1,138	71.98%
Charleston	Sewer Line Extension	112	25	0	0	0	32	0	0	0	0	0	137	129	94.16%	
Cheatham Co.	Fire Protection	0	0	0	0	0	0	5,272	127	59	8	31	374	5,497	3,804	69.20%
Church Hill	Fire Protection	0	0	0	0	0	0	5,932	205	29	0	0	1,085	6,166	3,681	59.70%
Claiborne Co.	Water Line Extension	158	0	6	0	2	27	0	0	0	0	0	166	121	72.89%	
Clarksburg	Sewer System	0	0	0	0	0	0	829	43	18	0	0	41	890	566	63.60%
Clay Co.	Water Line Extension	86	0	0	0	0	7	0	0	0	0	0	86	73	84.88%	
Crockett Co.	First Responder	0	0	0	0	0	0	8,043	2,221	222	0	0	1,279	10,486	7,843	74.79%

STATE GRANT PERFORMANCE/EVALUATION REPORT

Locality	Activity	Applicant						Beneficiary						Total Served	# OF LMI	% LMI
		1	2	3	4	5	6	1	2	3	4	5	6			
Dandridge	Water Line Extension	560	104	0	0	0	71	0	0	0	0	0	0	664	593	89.31%
Decatur	Water Line Extension	339	0	0	0	0	15	0	0	0	0	0	339	275	81.12%	
DeKalb Co.	Water Line Extension	168	0	5	0	1	11	0	0	0	0	0	174	140	80.46%	
Englewood	Water Line Extension	425	0	0	0	0	21	0	0	0	0	0	425	307	72.24%	
Enville	Fire Protection	0	0	0	0	0	0	1,055	15	0	0	0	1,070	635	59.35%	
Etowah	Water Line Extension	237	0	0	0	0	14	0	0	0	0	0	237	179	75.53%	
Finger	Fire Protection	0	0	0	0	0	0	2,386	76	0	0	0	2,462	1,635	66.41%	
Franklin Co.	Water Storage Tank	0	0	0	0	0	0	1,069	77	7	0	0	1,153	973	84.39%	
Gleason	Water System	0	0	0	0	0	0	1,492	10	0	0	0	1,502	976	64.98%	
Grainger Co.	911 Services	0	0	0	0	0	0	16,429	95	57	0	4	16,585	8,911	53.73%	
Greenback	Fire Protection	0	0	0	0	0	0	9,193	0	0	0	0	9,193	7,827	85.14%	
Greenfield	Water System	0	0	0	0	0	0	2,499	97	0	0	3	2,599	1,978	76.11%	
Halls	Water Source	0	0	0	0	0	0	4,607	202	0	0	0	4,809	3,217	66.90%	
Haywood Co.	Sewer Line Extension	118	3	0	0	0	13	0	0	0	0	0	121	92	76.03%	
Jackson Co.	Water Line Extension	122	0	1	1	3	13	0	0	0	0	0	127	103	81.10%	
Johnson Co.	Water Line Extension	117	2	0	1	12	4	0	0	0	0	0	132	97	73.48%	
Johnson Co. (IT)	Water System	0	0	0	0	0	0	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	
LaFollette	Sewer System	0	0	0	0	0	0	7,818	203	14	0	0	8,035	6,074	75.59%	
Lake City	Sewer Plant Impr	0	0	0	0	0	0	1,910	0	0	0	0	1,910	1,647	86.23%	
Lake Co.	Emergency Svs Ctr	0	0	0	0	0	0	3,731	489	95	0	8	4,323	3,463	80.11%	
Lauderdale Co.	Health Clinic	0	0	0	0	0	0	14,537	13,633	477	25	0	28,672	28,070	97.90%	
Lawrenceburg	Sewer System	0	0	0	0	0	0	1,703	10	0	0	0	1,713	1,203	70.23%	
Lobelville	Fire Protection	0	0	0	0	0	0	1,894	0	0	0	0	1,894	1,456	76.87%	
Luttrell	Sewer System	0	0	0	0	0	0	2,365	0	0	0	0	2,365	1,937	81.90%	
Lynnville	Emergency Rescue	0	0	0	0	0	0	1,366	28	0	0	0	1,394	1,052	75.47%	
Marion Co.	Water Line Extension	244	0	0	0	7	20	0	0	0	0	0	251	217	86.45%	
Mason	Fire Protection	0	0	0	0	0	0	1,001	841	10	0	4	1,856	1,540	82.97%	
Maynardville	Water Line Extension	133	0	0	0	0	12	0	0	0	0	0	133	112	84.21%	
McEwen	Sewer System	0	0	0	0	0	0	1,466	9	0	0	3	1,478	1,215	82.21%	
McKenzie	Housing Acq/Relocation	0	10	0	0	0	1	0	0	0	0	0	10	10	100.00%	
McKenzie	Housing Rehab	0	20	0	0	0	6	0	0	0	0	0	20	20	100.00%	
Michie	Water Line Extension	1,973	61	0	0	0	264	0	0	0	0	0	2,034	1,432	70.40%	
Midtown	Fire Protection	0	0	0	0	0	0	4,672	150	0	0	0	4,822	4,002	82.99%	
Morgan Co.	Water Line Extension	156	7	0	0	0	13	0	0	0	0	0	163	145	88.96%	
Mosheim	Sewer System	0	0	0	0	0	0	1,322	15	3	0	4	1,344	1,057	78.65%	
Newbern (IT)	Water System	0	0	0	0	0	0	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	
New Tazewell	Sewer Line Extension	45	6	0	0	0	7	0	0	0	0	0	51	47	92.16%	
Obion Co.	Water Plant Impr	0	0	0	0	0	0	2,968	54	22	0	0	3,044	1,811	59.49%	
Overton Co.	Water Line Extension	113	0	1	0	1	6	0	0	0	0	0	115	104	90.43%	

STATE GRANT PERFORMANCE/EVALUATION REPORT

Locality	Activity	Applicant						Beneficiary						Total Served	# OF LMI	% LMI
		1	2	3	4	5	6	1	2	3	4	5	6			
Parkers Crossroads	Fire Protection	0	0	0	0	0	0	1,240	506	0	0	0	210	1,746	1,397	80.01%
Parsons	Water System	0	0	0	0	0	0	2,484	75	95	0	0	536	2,654	2,168	81.69%
Pickett Co.	Fire Protection	0	0	0	0	0	0	5,594	0	0	0	0	559	5,594	4,039	72.20%
Plainview	Fire Protection	0	0	0	0	0	0	3,007	23	17	0	0	70	3,047	2,188	71.81%
Portland	Water System	0	0	0	0	0	0	13,398	251	206	0	0	1,261	13,855	11,569	83.50%
Powell's Crossroads	Sewer System	112	0	0	0	0	4	0	0	0	0	0	0	112	107	95.54%
Rhea Co.	Sewer Line Extension	5,270	89	64	12	0	378	0	0	0	0	0	0	5,435	4,228	77.79%
Saulsbury	Fire Protection	0	0	0	0	0	0	1,950	596	0	0	0	285	2,546	1,825	71.68%
Savannah	Housing Rehab	22	0	0	0	0	7	0	0	0	0	0	22	22	100.00%	
Scott Co.	Sewer Line Extension	743	0	0	0	0	62	0	0	0	0	0	743	611	82.23%	
Scotts Hill	Water Tank	0	0	0	0	0	0	3,321	0	0	0	0	252	3,321	1,903	57.30%
Smith Co.	Water System	0	0	0	0	0	0	1,971	0	24	0	0	148	1,995	1,714	85.91%
Smithville	Sewer System	0	0	0	0	0	0	3,924	102	163	0	0	970	4,189	3,716	88.71%
Sneedville	Sewer System	0	0	0	0	0	0	1,094	0	0	0	0	232	1,094	887	81.08%
St. Joseph	Water Treatment Pit	0	0	0	0	0	0	1,018	5	0	0	0	132	1,023	642	62.76%
Sunbirght	Fire Protection	0	0	0	0	0	0	1,578	39	0	0	0	121	1,617	1,358	83.98%
Tiptonville	Housing Rehab	1	33	0	0	0	9	0	0	0	0	0	34	34	100.00%	
Tiptonville	Housing Acq/Relocation	0	15	0	0	0	4	0	0	0	0	0	15	15	100.00%	
Tiptonville	Housing Clearance	1	53	0	0	0	13	0	0	0	0	0	54	54	100.00%	
Trenton	Sewer System	0	0	0	0	0	0	3,500	165	0	4	3	246	3,672	2,299	62.61%
Tusculum	Sewer System	0	0	0	0	0	0	1,894	2	2	0	2	112	1,900	1,176	61.89%
Unicoi	Water System	0	0	0	0	0	0	3,356	0	76	0	0	442	3,432	2,217	64.60%
Union Co.	Fire Protection	0	0	0	0	0	0	17,949	48	24	0	0	919	18,021	13,804	76.60%
Viola	Fire Protection	0	0	0	0	0	0	1,430	0	7	0	0	119	1,437	1,176	81.84%
Waverly	Sewer System	0	0	0	0	0	0	2,481	360	7	0	0	624	2,848	2,475	86.90%
Weakley Co.	Fire Protection	0	0	0	0	0	0	5,155	87	9	15	0	363	5,266	4,529	86.00%
White Co.	Water System	0	0	0	0	0	0	3,349	0	0	0	0	288	3,349	2,525	75.40%
Whiteville	Water System	0	0	0	0	0	0	668	494	0	0	0	238	1,162	854	73.49%
Whitwell	Sewer Line Extension	1,430	0	22	0	0	81	0	0	0	0	0	1,452	1,033	71.14%	

Part I

State: Tennessee					Reporting Period FY: 2003							
Grant Number: B-03-DC-47-0001					Date as of: June 30, 2012							
1. Financial Status					2. National Objectives							
A. Total Funds					A. Period Specified for Benefit: FY 2003 to FY 2003							
					B. Amount Used to:							
(1) Allocation: \$30,940,000					(1) Benefit to Low/Moderate Income Persons: \$31,669,853							
(2) Program Income: \$7,136,958					(2) Prevent/Eliminate Slums/Blight: \$0							
					(3) Meet Urgent Community Development Needs: \$1,670,958							
B. Amount Obligated to Recipients: \$35,166,699					(4) Acquisition/Rehabilitation Noncountable: \$0							
C. Amount Drawn Down: \$27,661,998					(5) Local Administration: \$1,825,888							
D. Amount for State Administration: \$718,800												
E. Technical Assistance: \$0					TOTAL: \$35,166,699							
F. Section 108 Loan Guarantees: \$0												
					8. Accomplishments							
					Proposed					Actual		
3.	3a.	4.	4a.	5.	6.	7.	a.	b.	c.	d.	e.	f.
Locality	Status	activity	Status	Amount	Purpose	Nat'l Objective	# of Units/Loans	Total # of Persons/ Jobs	Total # of L/M Persons/ L/M Jobs	# of Units/Loans	Total # of Persons/ Jobs	Total # of L/M Persons/ L/M Jobs
Alexandria	AC	13		\$23,500	PF	LMI	N/A	1,965	1,377	N/A	1,965	1,377
		4A		\$476,500								
Bedford County	AC	13		\$16,500	PF	LMI	N/A	1,831	1,507	N/A	1,831	1,507
		4A		\$383,500								
Bells	AC	13		\$29,500	PF	LMI	N/A	2,247	1,600	N/A	2,247	1,600
		4B		\$470,500								
Big Sandy	AC	13		\$12,680	PF	LMI	N/A	774	706	N/A	774	706
		4A		\$202,320								
Bluff City	AC	13		\$48,335	PF	LMI	N/A	1,997	1,430	N/A	1,997	1,430
		4A		\$451,665								
Brownsville	AC	13		\$26,500	PF	LMI	N/A	4,477	2,987	N/A	4,477	2,987
		4B		\$473,500								
Brownsville		14B(P)		\$750,000	ED	LMI						
[Dynametal Technologies, Inc.]												
Bruceton	AC	13		\$32,120	PF	LMI	N/A	1,359	1,005	N/A	1,359	1,005
		4B		\$467,880								
Cannon County	AC	13(P)		\$17,500	ED	LMI	NA	38	20	NA	31	22
[Cumberland Molded Products]		14B(P)		\$482,500								
Carroll County	AC	13		\$19,500	PF	LMI	N/A	3,796	3,454	N/A	3,796	3,454

					8. Accomplishments							
3.	3a.	4.	4a.	5.	6.	7.	Proposed			Actual		
Locality	Status	activity	Status	Amount	Purpose	Nat'l Objective	a.	b.	c.	d.	e.	f.
							# of Units/Loans	Total # of Persons/ Jobs	Total # of L/M Persons/ L/M Jobs	# of Units/Loans	Total # of Persons/ Jobs	Total # of L/M Persons/ L/M Jobs
		6		\$280,500								
Carter County (IT)		13		\$30,000	PF	U/N						
		4A		\$470,000								
Caryville		13(P)		\$10,000	ED	LMI						
[Camel Manufacturing]		14B(P)		\$740,000								
Celina	AC	13		\$20,000	PF	LMI	N/A	3,486	2,775	N/A	3,486	2,775
		4A		\$480,000								
Chester County	AC	13		\$10,000	PF	LMI	N/A	2,436	2,063	N/A	2,436	2,063
		6		\$235,700								
Cornersville	AC	13		\$13,500	PF	LMI	N/A	797	614	N/A	797	614
		4B		\$309,500								
Covington	AC	13		\$26,500	PF	LMI	N/A	5,174	7,002	N	7,002	5,174
		4A		\$473,500								
Crossville	AC	13(P)		\$48,200	H	LMI	N/A	35	35	N/A	19	19
		2(P)		\$4,000								
		8(P)		\$220,000								
		9A(P)		\$227,800								
Cumberland City	AC	13		\$18,500	PF	LMI	N/A	66	44	N/A	55	40
		4A		\$481,500								
Dayton	AC	13		\$26,500	PF	LMI	N/A	218	154	N/A	117	71
		4A		\$438,500								
Decherd	AC	13		\$20,000	PF	LMI	N/A	2,342	1,949	N/A	2,342	1,949
		4C		\$280,000								
Dickson County (IT)	AC	13(P)		\$23,500	PF	U/N	N/A	N/A	N/A	N/A	NA	NA
		4A(P)		\$441,958								
Dover (2004 project)	AC	13		\$23,500	PF	LMI	N/A	1,399	1,207	N/A	1,399	1,207
		4B		\$376,500								
Ducktown	AC	13		\$26,500	PF	LMI	N/A	191	183	N/A	141	118
		4A		\$473,500								
Dyer	AC	13		\$31,500	PF	LMI	N/A	2,482	1,613	N/A	2,482	1,613
		4B		\$468,500								
Dyer County	AC	13(P)		\$59,000	H	LMI	N/A	37	37	N/A	15	15
		2(P)		\$8,000								
		8(P)		\$324,000								
		9A(P)		\$109,000								
Elizabethton (IT)	AC	13(P)		\$15,000	PF	U/N	N/A	NA	NA	NA	NA	NA
		4A(P)		\$275,500								
Erin	AC	13		\$19,500	PF	LMI	N/A	5,546	4,980	N/A	5,546	4,980

U.S. Department of
Housing and
Urban Development

					8. Accomplishments							
3. Locality	3a. Status	4. activity	4a. Status	5. Amount	6. Pur- pose	7. Nat'l Objec- tive	Proposed			Actual		
							a. # of Units/ Loans	b. Total # of Persons Jobs	c. Total # of L/M Persons / L/M Jobs	d. # of Units/ Loans	e. Total # of Persons/ Jobs	f. Total # of L/M Persons/ L/M Jobs
		4A		\$480,500								
Erwin	AC	13		\$24,900	PF	LMI	N/A	5,468	3,516	N/A	5,468	3,516
		4B		\$475,100								
Estill Springs	AC	13		\$17,500	PF	LMI	N/A	3,172	1,916	N/A	3,172	1,916
		4A		\$282,500								
Friendship	AC	13		\$19,784	PF	LMI	N/A	659	604	N/A	659	604
		4B		\$288,196								
Gadsden	AC	13		\$11,500	PF	LMI	N/A	1,424	933	N/A	1,424	933
		6		\$158,899								
Gainesboro	AC	13		\$22,500	PF	LMI	N/A	1,300	1,066	N/A	1,300	1,066
		4A		\$477,500								
Giles County	AC	13		\$16,500	PF	LMI	N/A	2,790	1,939	N/A	2,790	1,939
		4A		\$467,300								
Grainger County		13(P)		\$11,500	ED	LMI						
[Sexton Furniture]		14B(P)		\$488,500								
Greenbrier	AC	13		\$20,500	PF	LMI	N/A	4,659	3,229	N/A	4,659	3,229
		4B		\$479,500								
Grundy County	AC	13		\$14,100	PF	LMI	N/A	14,365	11,607	N/A	14,365	11,607
		6		\$196,325								
Hamilton County (IT)	AC	13		\$16,500	PF	U/N	N/A	N/A	N/A	N/A	N/A	N/A
		4C		\$483,500								
Hardeman County	AC	13		\$19,500	PF	LMI	N/A	5,276	5,107	N/A	5,276	5,107
		6		\$280,500								
Hardin County	AC	13		\$19,500	PF	LMI	N/A	5,090	4,586	N/A	5,090	4,586
		6		\$280,500								
Hartsville/Trousdale County	AC	13		\$23,500	PF	LMI	N/A	2,460	2,140	N/A	2,460	2,140
		4B		\$476,500								
Hawkins County		13(P)		\$13,000	ED	LMI						
[International Playing Card]		14B(P)		\$443,000								
Hendersonville	AC	13		\$21,500	PF	LMI	N/A	117	116	N/A	115	102
		4B		\$378,500								
Huntingdon	AC	13		\$26,500	PF	LMI	N/A	2,655	1,850	N/A	2,655	1,850
		4B		\$473,500								
Loretto	AC	13		\$16,500	PF	LMI	N/A	1,971	1,653	N/A	1,971	1,653
		4A		\$352,418								
Macon County	AC	13		\$20,500	PF	LMI	N/A	187	157	N/A	116	101
		4A		\$479,500								
Madisonville	AC	13		\$26,500	PF	LMI	N/A	2,250	1,643	N/A	2,250	1,643

Appendix B

FY 2011-12
Annual Performance Report, HOME Program

Prepared By:

Tennessee Housing Development Agency
Community Programs Division

Annual Performance Report HOME Program

U.S. Department of Housing
and Urban Development
Office of Community Planning
and Development

OMB Approval No. 2506-0171
(exp. 8/31/2009)

Public reporting burden for this collection of information is estimated to average 2.5 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a valid OMB control number.

The HOME statute imposes a significant number of data collection and reporting requirements. This includes information on assisted properties, on the owners or tenants of the properties, and on other programmatic areas. The information will be used: 1) to assist HOME participants in managing their programs; 2) to track performance of participants in meeting fund commitment and expenditure deadlines; 3) to permit HUD to determine whether each participant meets the HOME statutory income targeting and affordability requirements; and 4) to permit HUD to determine compliance with other statutory and regulatory program requirements. This data collection is authorized under Title II of the Cranston-Gonzalez National Affordable Housing Act or related authorities. Access to Federal grant funds is contingent on the reporting of certain project-specific data elements. Records of information collected will be maintained by the recipients of the assistance. Information on activities and expenditures of grant funds is public information and is generally available for disclosure. Recipients are responsible for ensuring confidentiality when public disclosure is not required.

This form is intended to collect numeric data to be aggregated nationally as a complement to data collected through the Cash and Management Information (C/MI) System. Participants should enter the reporting period in the first block. The reporting period is October 1 to September 30. Instructions are included for each section if further explanation is needed.

Submit this form on or before December 31.	This report is for period (mm/dd/yyyy)		Date Submitted (mm/dd/yyyy)
Send one copy to the appropriate HUD Field Office and one copy to: HOME Program, Rm 7176, 451 7th Street, S.W., Washington D.C. 20410	Starting 07/01/2011	Ending 06/30/2012	09/30/2012

Part I Participant Identification

1. Participant Number SG-47-0100	2. Participant Name State of Tennessee-Tennessee Housing Development Agency		
3. Name of Person completing this report Coralee Holloway		4. Phone Number (Include Area Code) 615-397-2436	
5. Address 404 James Robertson Parkway, Suite 1200	6. City Nashville	7. State TN	8. Zip Code 37234-0900

Part II Program Income

Enter the following program income amounts for the reporting period: in block 1, enter the balance on hand at the beginning; in block 2, enter the amount generated; in block 3, enter the amount expended; and in block 4, enter the amount for Tenant-Based rental Assistance.

1. Balance on hand at Beginning of Reporting Period \$0.00	2. Amount received during Reporting Period \$125,420.15	3. Total amount expended during Reporting Period \$125,420.15	4. Amount expended for Tenant-Based Rental Assistance	5. Balance on hand at end of Reporting Period (1 + 2 - 3) = 5 \$0.00
---	--	--	---	---

Part III Minority Business Enterprises (MBE) and Women Business Enterprises (WBE)

In the table below, indicate the number and dollar value of contracts for HOME projects completed during the reporting period.

	a. Total	Minority Business Enterprises (MBE)			f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	
A. Contracts					
1. Number	298		1	14	283
2. Dollar Amount	\$13,408,234		\$34,433	\$469,978	\$12,903,823
B. Sub-Contracts					
1. Number					
2. Dollar Amount					
	a. Total	b. Women Business Enterprises (WBE)	c. Male		
C. Contracts					
1. Number	298	9	289		
2. Dollar Amount	\$13,408,234	\$38,507	\$13,369,727		
D. Sub-Contracts					
1. Number					
2. Dollar Amounts					

Part IV Minority Owners of Rental Property

In the table below, indicate the number of HOME assisted rental property owners and the total dollar amount of HOME funds in these rental properties assisted during the reporting period.

	a. Total	Minority Property Owners			f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	
1. Number	13			3	10
2. Dollar Amount	\$3,574,691			\$969,239	\$2,605,452

Part V Relocation and Real Property Acquisition

Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition. The data provided should reflect only displacements and acquisitions occurring during the reporting period.

	a. Number	b. Cost
1. Parcels Acquired	26	\$1,280,647
2. Businesses Displaced		
3. Nonprofit Organizations Displaced		
4. Households Temporarily Relocated, not Displaced		

Households Displaced	a. Total	Minority Business Enterprises (MBE)			f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	
5. Households Displaced - Number					
6. Households Displaced - Cost					

RENTAL HOUSING

The results of on-site inspections of affordable rental housing assisted under the program.

Prior to making the final payment on rehabilitation or construction of rental units, THDA requires that the units meet all applicable local codes, rehabilitation standards, ordinances, and zoning ordinances at the time of project completion. In the absence of a local code, HOME-assisted rental new construction of apartments of 3 or more units must meet the 2006 International Building Code; HOME-assisted new construction or reconstruction of single-family rental units or duplexes must meet the 2006 International Residential Code for One- and Two-Family Dwellings; and HOME-assisted rental rehabilitation must meet the 2006 International Property Maintenance Code. Rental new construction must also meet the 2006 International Energy Conservation Code. In addition, beginning with the 2010 HOME program, all new construction must meet Energy Star standards as certified by an independent Home Energy Rating System (HERS) rater. All other HOME-assisted rental housing (e.g., acquisition) must meet all applicable State and local housing quality standards and code requirements, and if there are no such standards or code requirements, the housing must meet the Section 8 Housing Quality Standards (HQS).

THDA staff checks a sample of the units when conducting monitoring visits to insure that the work was completed as contracted. During the reporting period from July 1, 2011 to June 30, 2012, six (6) grantees with rental projects were monitored and any problems noted during the physical inspections of the units have been corrected.

After closeout, projects are transferred to THDA's Long-term Compliance Division for continued monitoring throughout the period of affordability.

HOME MATCH REPORT

The HOME match report is based on the federal fiscal year for the period October 1, 2011 through September 30, 2012. Since the Annual Performance Report is due at HUD prior to September 30, 2010, THDA will submit the match report by the end of December, 2011.

Appendix C

FY 2011-12

ESG Demographic Data and Match Report

Prepared By:

Tennessee Housing Development Agency
Community Programs Division

First Allocation of 2011 Emergency Shelter/Solutions Grant – Program Participant Report

Income Verification

Agency	60-80%	30-60%	0-30%	Unavailable	Total
Grand Division: East					
A.I.M. Center			38		38
City of Bristol			1460		1460
City of Chattanooga			7957		7957
City of Cleveland			374		374
City of Johnson City	117	554	3636	23	4330
City of Kingsport			1180		1180
City of Morristown			2099		2099
City of Oak Ridge	17	99	407		523
Frontier Health			50		50
Interfaith Hospitality Network of J. City		3	79		82
Johnson Co Safe Haven	6	55	273		334
Keystone Dental Care		448	2512		2960
Ridgeview, Inc.			23		23
Good Samaritan Ministries		22	116		138
Scott County Homeless Shelter			53		53
Total For East TN	140	1,181	20,257	23	21,601
Grand Division: Middle					
Buffalo Valley			148		148
Centerstone			20		20
City of Clarksville	173	187	1361		1721
City of Franklin				1353	1353
City of Murfreesboro	13	78	1587	244	1922
Dismas House of the UC	1	6	20		27
Families in Crisis			418		418
Good Neighbor Mission			310		310
Park Center			28		28
Total for Middle TN	187	271	3,892	1,597	5,947

Agency	60-80%	30-60%	0-30%	Unavailable	Total
Grand Division: West					
Area Relief Ministries			123		123
Behavioral Health		4	20		24
Carey Counseling, Inc.			44		44
City of Jackson	117	967	1056		2140
Damascus Road	2	84	229		315
HOPE Ministries		98	91		189
Matthew 25:40		37	161		198
Northwest Safeline				340	340
Wo/Men's Resource & Rape Asst.	985	122	1150		2257
Total For West TN	1,104	1,312	2,874	340	5,630
Grand Total	1,431	2,764	27,023	1,960	33,178

Program Participants by Race

Agency	White	Black African American	Black African American/ White	Native Hawaiian Pacific Islander	Asian	Asian & White	American Indian Alaskan Native	American Indian Alaskan Native White	American Indian Alaskan Native African Am.	Other	Total
Grand Division: East											
AIM Center	15	21					1			1	38
City of Bristol	1,342	97	2		3		16				1,460
City of Chattanooga	2,967	4,755	35	6	1	1	4	3		185	7,957
City of Cleveland	293	72	4	3		2					374
City of Johnson City	3,701	365	5	1	7		4		247		4,330
City of Kingsport	1,050	110	4		6		10				1,180
City of Morristown	1,552	81	5	2	35	0	1	0	423		2,099
City of Oak Ridge	374	120			4		8			17	523
Frontier Health	44	1								5	50
Good Samaritan Ministries	103	23	3							9	138
Interfaith Hospitality Network of J. City	67	1	9		1	4					82
Johnson Co Safe Haven	325	3								6	334
Keystone Dental Care	2598	168								194	2960
Ridgeview, Inc.	21	2									23
Scott County Homeless Shelter	53										53
Total For East TN	14,505	5,819	67	12	57	7	44	3	670	417	21,601
Grand Division: Middle											
Buffalo Valley	104	42			2						148
Centerstone	12	8									20
City of Clarksville	854	849		9	4		5	0			1,721
City of Franklin	1,052	197	5	4	8		2		2	83	1,353
City of Murfreesboro	1,181	601	97	2	14	11	16				1,922
Dismas House of the UC	22	4	1								27
Families in Crisis	339	8								71	418

Agency	White	Black African American	Black African American/ White	Native Hawaiian Pacific Islander	Asian	Asian & White	American Indian Alaskan Native	American Indian Alaskan Native White	American Indian Alaskan Native African Am.	Other	Total
Good Neighbor Mission	180	117	11							2	310
Park Center	5	23									28
Total for Middle TN	3,749	1,849	114	15	28	11	23	0	2	156	5,947
Grand Division: West											
Area Relief Ministries	51	71	1								123
Behavioral Health		24									24
Carey Counseling, Inc.	28	16									44
Damascus Road	203	88	24								315
HOPE Ministries	101	64								24	189
Matthew 25:40	146	52									198
Northwest Safeline	281	58								1	340
City of Jackson	917	1151	0	6	11	0	3	0		52	2140
Wo/Men's Resource & Rape Asst.	1,500	683	58	2	14						2,257
Total For West TN	3,227	2,207	83	8	25	0	3	0		77	5,630
Grand Total	21,481	9,875	264	35	110	18	70	3		650	33,178

Program Participants by Gender

Agency	Male	Female	Unavailable	Total
East Tennessee				
AIM Center	12	26		38
City of Bristol	416	1044		1,460
City of Chattanooga	4798	2669	490	7,957
City of Cleveland	257	117		374
City of Johnson City	1,816	2,421	93	4,330
City of Kingsport	656	524		1,180
City of Morristown	559	1540		2,099
City of Oak Ridge	156	367		523
Frontier Health	15	35		50
Good Samaritan Ministries	57	81		138
Interfaith Hospitality Network of Johnson City	33	49		82
Johnson County Safe Haven	103	231		334
Keystone Dental	1058	1902		2960
Ridgeview, Inc.	12	11		23
Scott County Homeless Shelter	28	25		53
Total For East Tennessee	9,976	11,042	583	21,601
Middle Tennessee				
Buffalo Valley	148			148
Centerstone	7	13		20
City of Clarksville	925	796		1,721
City of Franklin	325	1028		1353
City of Murfreesboro	913	530	479	1,922
Dismas House of the Upper Cumberland	27			27
Families in Crisis	405	13		418
Good Neighbor Mission	132	178		310
Park Center	9	19		28
Total for Middle Tennessee	2,891	2,577	479	5,947

Agency	Male	Female	Unavailable	Total
West Tennessee				
Area Relief Ministries	118	5		123
Behavioral Health Initiatives	6	18		24
Carey Counseling, Inc.	20	24		44
City of Jackson	435	1705		2,140
Damascus Road	145	170		315
HOPE Ministries	88	101		189
Matthew 25:40	90	108		198
Northwest Safeline	31	309		340
Wo/Men's Resource & Rape Assistance Prog.	158	2,099		2,257
Total For West Tennessee	1,091	4,539	0	5,630
Grand Total	13,958	18,158	1,062	33,178

ESG Project Draw Down

10/26/2012

Grantee:	Scott Co. Homeless Shelter
Grant #:	ESG-11-13
THDA Award \$:	59,846.00
Match \$:	59,846.00

Request Date	THDA Draw #	THDA Payment	THDA Balance	% THDA Expended	Match Payments	Match Balance	% Match Expended
08/03/11	1	5,022.95	54,823.05	8.4%	75,000.00	-15,154.00	125.3%
09/07/11	2	3,982.60	50,840.45	15.0%			
09/22/11	3	1,973.23	48,867.22	18.3%			
10/17/11	4	1,696.02	47,171.20	21.2%			
10/20/11	5	2,811.86	44,359.34	25.9%			
11/07/11	6	2,212.16	42,147.18	29.6%			
11/22/11	7	2,404.95	39,742.23	33.6%			
12/14/11	8	2,831.95	36,910.28	38.3%			
12/20/11	9	2,404.95	34,505.33	42.3%			
01/06/12	10	4,450.95	30,054.38	49.8%			
02/07/12	11	5,741.50	24,312.88	59.4%			
02/21/12	12	2,514.00	21,798.88	63.6%			
03/12/12	13	2,930.60	18,868.28	68.5%			
03/29/12	14	2,824.76	16,043.52	73.2%			
04/10/12	15	2,749.38	13,294.14	77.8%			
05/11/12	16	2,211.13	11,083.01	81.5%			
07/02/12	17	7,277.08	3,805.93	93.6%			
07/16/12	18	3,805.93	0.00	100.0%			

ESG Project Draw Down

10/26/2012

Grantee:	Scott Co. Homeless Shelter
Grant #:	ESG-11-13
THDA Award \$:	59,846.00
Match \$:	59,846.00

Request Date	THDA Draw #	THDA Payment	THDA Balance	% THDA Expended	Match Payments	Match Balance	% Match Expended
08/03/11	1	5,022.95	54,823.05	8.4%	75,000.00	-15,154.00	125.3%
09/07/11	2	3,982.60	50,840.45	15.0%			
09/22/11	3	1,973.23	48,867.22	18.3%			
10/17/11	4	1,696.02	47,171.20	21.2%			
10/20/11	5	2,811.86	44,359.34	25.9%			
11/07/11	6	2,212.16	42,147.18	29.6%			
11/22/11	7	2,404.95	39,742.23	33.6%			
12/14/11	8	2,831.95	36,910.28	38.3%			
12/20/11	9	2,404.95	34,505.33	42.3%			
01/06/12	10	4,450.95	30,054.38	49.8%			
02/07/12	11	5,741.50	24,312.88	59.4%			
02/21/12	12	2,514.00	21,798.88	63.6%			
03/12/12	13	2,930.60	18,868.28	68.5%			
03/29/12	14	2,824.76	16,043.52	73.2%			
04/10/12	15	2,749.38	13,294.14	77.8%			
05/11/12	16	2,211.13	11,083.01	81.5%			
07/02/12	17	7,277.08	3,805.93	93.6%			
07/16/12	18	3,805.93	0.00	100.0%			

Appendix D

FY 2011-12
Annual Performance Report, HOPWA

Prepared By:

State of Tennessee
Department of Health



Housing Opportunities for Persons with AIDS (HOPWA) Program

Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outcomes

OMB Number 2506-0133 (Expiration Date: 10/31/2014)

	s
	is
	ing
	re
	and
	This
	less

Overview. The Consolidated Annual Performance and Evaluation Report (CAPER) provides annual performance reporting on client outputs and outcomes that enables an assessment of grantee performance in achieving the housing stability outcome measure. The CAPER, in conjunction with the Integrated Disbursement Information System (IDIS), fulfills statutory and regulatory program reporting requirements and provides the grantee and HUD with the necessary information to assess the overall program performance and accomplishments against planned goals and objectives.

HOPWA formula grantees are required to submit a CAPER, and complete annual performance information for all activities undertaken during each program year in the IDIS, demonstrating coordination with other Consolidated Plan resources. HUD uses the CAPER and IDIS data to obtain essential information on grant activities, project sponsors, Subrecipient organizations, housing sites, units and households, and beneficiaries (which includes racial and ethnic data on program participants). The Consolidated Plan Management Process tool (CPMP) provides an optional tool to integrate the reporting of HOPWA specific activities with other planning and reporting on Consolidated Plan activities.

Table of Contents

PART 1: Grantee Executive Summary

1. Grantee Information
2. Project Sponsor Information
3. Administrative Subrecipient Information
4. Program Subrecipient Information
5. Grantee Narrative and Performance Assessment
 - a. Grantee and Community Overview
 - b. Annual Performance under the Action Plan
 - c. Barriers or Trends Overview
 - d. Assessment of Unmet Housing Needs

PART 2: Sources of Leveraging and Program Income

1. Sources of Leveraging
2. Program Income and Resident Rent Payments

PART 3: Accomplishment Data: Planned Goals and Actual Outputs

PART 4: Summary of Performance Outcomes

1. Housing Stability: Permanent Housing and Related Facilities
2. Prevention of Homelessness: Short-Term Housing Payments
3. Access to Care and Support: Housing Subsidy Assistance with Supportive Services

PART 5: Worksheet - Determining Housing Stability Outcomes

PART 6: Annual Certification of Continued Use for HOPWA Facility-Based Stewardship Units (Only)

PART 7: Summary Overview of Grant Activities

- A. Information on Individuals, Beneficiaries and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, PHP, Facility Based Units, Master Leased Units ONLY)
- B. Facility-Based Housing Assistance

Continued Use Periods. Grantees that received HOPWA funding for new construction, acquisition, or substantial rehabilitations are required to operate their facilities for HOPWA-eligible beneficiaries for a ten (10) years period. If no further HOPWA funds are used to support the facility, in place of completing Section 7B of the CAPER, the grantee must submit an Annual Certification of Continued Project Operation throughout the required use periods. This certification is included in Part 6 in CAPER. The required use period is three (3) years if the rehabilitation is non-substantial.

In connection with the development of the Department’s standards for Homeless Management Information Systems (HMIS), universal data elements are being collected for clients of HOPWA-funded homeless assistance projects. These project sponsor/subrecipient records would include: Name, Social Security Number, Date of Birth, Ethnicity and Race, Gender, Veteran Status, Disabling Conditions, Residence Prior to Program Entry, Zip Code of Last Permanent Address, Housing Status, Program Entry Date, Program Exit Date, Personal Identification Number, and Household Identification Number. These are intended to match the elements under HMIS. The HOPWA program-level data elements include: Income and Sources, Non-Cash Benefits, HIV/AIDS Status, Services Provided, and

Housing Status or Destination at the end of the operating year. Other suggested but optional elements are: Physical Disability, Developmental Disability, Chronic Health Condition, Mental Health, Substance Abuse, Domestic Violence, Date of Contact, Date of Engagement, Financial Assistance, Housing Relocation & Stabilization Services, Employment, Education, General Health Status, , Pregnancy Status, Reasons for Leaving, Veteran’s Information, and Children’s Education. Other HOPWA projects sponsors may also benefit from collecting these data elements.

Final Assembly of Report. After the entire report is assembled, please number each page sequentially.

Filing Requirements. Within 90 days of the completion of each program year, grantees must submit their completed CAPER to the CPD Director in the grantee’s State or Local HUD Field Office, and to the HOPWA Program Office: at HOPWA@hud.gov. Electronic submission to HOPWA Program office is preferred; however, if electronic submission is not possible, hard copies can be mailed to: Office of HIV/AIDS Housing, Room 7212, U.S. Department of Housing and Urban Development, 451 Seventh Street, SW, Washington, D.C.

Record Keeping. Names and other individual information must be kept confidential, as required by 24 CFR 574.440. However, HUD reserves the right to review the information used to complete this report for grants management oversight purposes, except for recording any names and other identifying information. **In the case that HUD must review client level data, no client names or identifying information will be retained or recorded. Information is reported in aggregate to HUD without personal identification. Do not submit client or personal information in data systems to HUD.**

Definitions

Adjustment for Duplication: Enables the calculation of unduplicated output totals by accounting for the total number of households or units that received more than one type of HOPWA assistance in a given service category such as HOPWA Subsidy Assistance or Supportive Services. For example, if a client household received both TBRA and STRMU during the operating year, report that household in the category of HOPWA Housing Subsidy Assistance in Part 3, Chart 1, Column [1b] in the following manner:

HOPWA Housing Subsidy Assistance		[1] Outputs: Number of Households
1.	Tenant-Based Rental Assistance	
2a.	Permanent Housing Facilities: Received Operating Subsidies/Leased units	
2b.	Transitional/Short-term Facilities: Received Operating Subsidies	
3a.	Permanent Housing Facilities: Capital Development Projects placed in service during the operating year	
3b.	Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year	
4.	Short-term Rent, Mortgage, and Utility Assistance	1
5.	Adjustment for duplication (subtract)	
6.	TOTAL Housing Subsidy Assistance (Sum of Rows 1-4 minus Row 5)	1

Administrative Costs: Costs for general management, oversight, coordination, evaluation, and reporting. By statute, grantee administrative costs are limited to 3% of total grant award, to be expended over the life of the grant. Project sponsor administrative costs are limited to 7% of the portion of the grant amount they receive.

Beneficiary(ies): All members of a household who received HOPWA assistance during the operating year including the one individual who qualified the household for HOPWA assistance as well as any other members of the household (with or without HIV) who benefitted from the assistance.

Central Contractor Registration (CCR): The primary registrant database for the U.S. Federal Government. CCR collects, validates, stores, and disseminates data in support of agency acquisition missions, including Federal agency contract and assistance awards. Both current and potential federal government registrants (**grantees**) are required to register in CCR in order to be awarded contracts by the federal government. Registrants must update or renew their registration at least once per year to maintain an active status. Although recipients of direct federal contracts and grant awards have been required to be registered with CCR since 2003, this requirement is now being extended to indirect recipients of federal funds with the passage of ARRA (American Recovery and Reinvestment Act). Per ARRA and FFATA (Federal Funding Accountability and Transparency Act) federal regulations, all **grantees** and sub-grantees or subcontractors receiving federal grant awards or contracts must have a DUNS (Data Universal Numbering System) Number.

Chronically Homeless Person: An individual or family who : (i) is homeless and lives or resides individual or family who: (i) Is homeless and lives or resides in a place not meant for human habitation, a safe haven, or in an emergency shelter; (ii) has been homeless and living or residing in a place not meant for human habitation, a safe haven, or in an emergency shelter continuously for at least 1 year or on at least 4 separate occasions in the last 3 years; and (iii) has an adult head of household (or a minor head of household if no adult is present in the household) with a diagnosable substance use disorder, serious mental illness, developmental disability (as defined in section 102 of the Developmental Disabilities Assistance and Bill of Rights Act of 2000 (42 U.S.C. 15002)), post traumatic stress disorder, cognitive impairments resulting from a brain injury, or chronic physical illness or disability, including the co-occurrence of 2 or more of those conditions. Additionally, the statutory definition includes as chronically homeless a person who currently lives or resides in an institutional care facility, including a jail, substance abuse or mental health treatment facility, hospital or other similar facility, and has resided there for fewer than 90 days if such person met the other criteria for homeless prior to entering that facility. (See 42 U.S.C. 11360(2))This does not include doubled-up or overcrowding situations.

Disabling Condition: Evidencing a diagnosable substance use disorder, serious mental illness, developmental disability, chronic physical illness, or disability, including the co-occurrence of two or more of these conditions. In addition, a disabling condition may limit an individual's ability to work or perform one or more activities of daily living. An HIV/AIDS diagnosis is considered a disabling condition.

Facility-Based Housing Assistance: All eligible HOPWA Housing expenditures for or associated with supporting facilities including community residences, SRO dwellings, short-term facilities, project-based rental units, master leased units, and other housing facilities approved by HUD.

Faith-Based Organization: Religious organizations of three types: (1) congregations; (2) national networks, which include national denominations, their social service arms (for example, Catholic Charities, Lutheran Social Services), and networks of related organizations (such as YMCA and YWCA); and (3) freestanding religious organizations, which are incorporated separately from congregations and national networks.

Grassroots Organization: An organization headquartered in the local community where it provides services; has a social services budget of \$300,000 or less annually, and six or fewer full-time equivalent employees. Local affiliates of national organizations are not considered "grassroots."

HOPWA Eligible Individual: The one (1) low-income person with HIV/AIDS who qualifies a household for HOPWA assistance. This person may be considered "Head of Household." When the CAPER asks for information on eligible individuals, report on this individual person only. Where there is more than one person with HIV/AIDS in the household, the additional PWH/A(s), would be considered a beneficiary(s).

HOPWA Housing Information Services: Services dedicated to helping persons living with HIV/AIDS and their families to identify, locate, and acquire housing. This may also include fair housing counseling for eligible persons who may encounter discrimination based on race, color, religion, sex, age, national origin, familial status, or handicap/disability.

HOPWA Housing Subsidy Assistance Total: The unduplicated number of households receiving housing subsidies (TBRA, STRMU, Permanent Housing Placement services and Master Leasing) and/or residing in units of facilities dedicated to persons living with HIV/AIDS and their families and supported with HOPWA funds during the operating year.

Household: A single individual or a family composed of two or more persons for which household incomes are used to determine eligibility and for calculation of the resident rent payment. The term is used for collecting data on changes in income, changes in access to services, receipt of housing information services, and outcomes on achieving housing stability. Live-In Aides (see definition for Live-In Aide) and non-beneficiaries (e.g. a shared housing arrangement with a roommate) who resided in the unit are not reported on in the CAPER.

Housing Stability: The degree to which the HOPWA project assisted beneficiaries to remain in stable housing during the operating year. See *Part 5: Determining Housing Stability Outcomes* for definitions of stable and unstable housing situations.

In-kind Leveraged Resources: These involve additional types of support provided to assist HOPWA beneficiaries such as volunteer services, materials, use of equipment and building space. The actual value of the support can be the contribution of professional services, based on customary rates for this specialized support, or actual costs contributed from other leveraged resources. In determining a rate for the contribution of volunteer time and services, use the rate established in HUD notices, such as the rate of ten dollars per hour. The value of any donated material, equipment, building, or lease should be based on the fair market value at time of donation. Related documentation can be from recent bills of sales, advertised prices, appraisals, or other information for comparable property similarly situated.

Leveraged Funds: The amount of funds expended during the operating year from non-HOPWA federal, state, local, and private sources by grantees or sponsors in dedicating assistance to this client population. Leveraged funds or other assistance are used directly in or in support of HOPWA program delivery.

Live-In Aide: A person who resides with the HOPWA Eligible Individual and who meets the following criteria: (1) is essential to the care and well-being of the person; (2) is not obligated for the support of the person; and (3) would not be living in the unit except to provide the necessary supportive services. See *the Code of Federal Regulations Title 24, Part 5.403 and the HOPWA Grantee Oversight Resource Guide for additional reference.*

Master Leasing: Applies to a nonprofit or public agency that leases units

of housing (scattered-sites or entire buildings) from a landlord, and subleases the units to homeless or low-income tenants. By assuming the tenancy burden, the agency facilitates housing of clients who may not be able to maintain a lease on their own due to poor credit, evictions, or lack of sufficient income.

Operating Costs: Applies to facility-based housing only, for facilities that are currently open. Operating costs can include day-to-day housing function and operation costs like utilities, maintenance, equipment, insurance, security, furnishings, supplies and salary for staff costs directly related to the housing project but not staff costs for delivering services.

Outcome: The degree to which the HOPWA assisted household has been enabled to establish or maintain a stable living environment in housing that is safe, decent, and sanitary, (per the regulations at 24 CFR 574.310(b)) and to reduce the risks of homelessness, and improve access to HIV treatment and other health care and support.

Output: The number of units of housing or households that receive HOPWA assistance during the operating year.

Permanent Housing Placement: A supportive housing service that helps establish the household in the housing unit, including but not limited to reasonable costs for security deposits not to exceed two months of rent costs.

Program Income: Gross income directly generated from the use of HOPWA funds, including repayments. See grant administration requirements on program income for state and local governments at 24 CFR 85.25, or for non-profits at 24 CFR 84.24.

Project-Based Rental Assistance (PBRA): A rental subsidy program that is tied to specific facilities or units owned or controlled by a project sponsor or Subrecipient. Assistance is tied directly to the properties and is not portable or transferable.

Project Sponsor Organizations: Any nonprofit organization or governmental housing agency that receives funds under a contract with the grantee to provide eligible housing and other support services or administrative services as defined in 24 CFR 574.300. Project Sponsor organizations are required to provide performance data on households served and funds expended. Funding flows to a project sponsor as follows:

HUD Funding → Grantee → Project Sponsor

Short-Term Rent, Mortgage, and Utility (STRMU) Assistance: A time-limited, housing subsidy assistance designed to prevent homelessness and increase housing stability. Grantees may provide assistance for up to 21 weeks in any 52 week period. The amount of assistance varies per client depending on funds available, tenant need and program guidelines.

Stewardship Units: Units developed with HOPWA, where HOPWA funds were used for acquisition, new construction and rehabilitation that no longer receive operating subsidies from HOPWA. Report information for the units is subject to the three-year use agreement if rehabilitation is non-substantial and to the ten-year use agreement if rehabilitation is substantial.

Subrecipient Organization: Any organization that receives funds from a project sponsor to provide eligible housing and other support services and/or administrative services as defined in 24 CFR 574.300. If a subrecipient organization provides housing and/or other supportive services directly to clients, the subrecipient organization must provide performance data on household served and funds expended. Funding flows to subrecipients as follows:

HUD Funding → Grantee → Project Sponsor → Subrecipient

Tenant-Based Rental Assistance (TBRA): TBRA is a rental subsidy program similar to the Housing Choice Voucher program that grantees can provide to help low-income households access affordable housing. The TBRA voucher is not tied to a specific unit, so tenants may move to a different unit without losing their assistance, subject to individual program rules. The subsidy amount is determined in part based on household income and rental costs associated with the tenant's lease.

Transgender: Transgender is defined as a person who identifies with, or presents as, a gender that is different from his/her gender at birth.

Veteran: A veteran is someone who has served on active duty in the Armed Forces of the United States. This does not include inactive military reserves or the National Guard unless the person was called up to active duty.

Housing Opportunities for Person with AIDS (HOPWA) Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outputs and Outcomes

OMB Number 2506-0133 (Expiration Date: 10/31/2014)

Part 1: Grantee Executive Summary

As applicable, complete the charts below to provide more detailed information about the agencies and organizations responsible for the administration and implementation of the HOPWA program. Chart 1 requests general Grantee Information and Chart 2 is to be completed for each organization selected or designated as a project sponsor, as defined by CFR 574.3. In Chart 3, indicate each subrecipient organization with a contract/agreement of \$25,000 or greater that assists grantees or project sponsors carrying out their administrative or evaluation activities. In Chart 4, indicate each subrecipient organization with a contract/agreement to provide HOPWA-funded services to client households. These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definition section for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A. Do not leave any section blank.

1. Grantee Information

HUD Grant Number 5A0-H00		Operating Year for this report <i>From (mm/dd/yy)</i> 7/01/11 <i>To (mm/dd/yy)</i> 06/30/2012			
Grantee Name		State of Tennessee-Department of Health			
Business Address, Telephone, Email	Tommy L. Brame, MS 425 5 th Avenue, North, 1 st Floor Cordell Hull Building, 1 st Floor		(615) 532-7914 fax: 741-3691 Tommy.Brame@tn.gov		
City, County, State, Zip	Nashville	TN	37243	4209	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	62-6001445				
DUN & Bradstreet Number (DUNS):	172636268		Central Contractor Registration (CCR): Is the grantee's CCR status currently active? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, provide CCR Number:		
*Congressional District of Grantee's Business Address	5				
*Congressional District of Primary Service Area(s)	37902 3744402, 37203, 37133, 38261, 38103				
*City(ies) and County(ies) of Primary Service Area(s)	Cities: Nashville		Counties: Davidson		
Organization's Website Address Health.st.tn.us	Is there a waiting list(s) for HOPWA Housing Subsidy Assistance Services in the Grantee service Area? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section what services maintain a waiting list and how this list is administered.				

* Service delivery area information only needed for program active ities being directly carried out by the grantee.

2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

Project Sponsor Agency Name Chattanooga Cares		Parent Company Name, if applicable N/A	
Name and Title of Contact at Project Sponsor Agency	Jerry Evans, Assistant Director		
Email Address	jerryevans@chattangoogacares.org, Mattc@chattangoogacares.org		
Business Address	13 W. Kent St.		
City, County, State, Zip,	Chattanooga Hamilton TN 37405		
Phone Number (with area code)	(423) 648-9911	Tina Seagroves, Accountant	423-648-9911
Employer Identification Number (EIN) or Tax Identification Number (TIN)	62-1325543		Fax Number (with area code) (423) 645-9644
DUN & Bradstreet Number (DUNs):	6191067746		
Congressional District of Project Sponsor's Business Address	3		
Congressional District(s) of Primary Service Area(s)	2,3,4		
City(ies) and County(ies) of Primary Service Area(s)	Cities: Bledsoe, Franklin, Grundy, Hamilton, Marion, McMinn, Meigs, Polk, Rhea, Seguatchie		
Total HOPWA contract amount for this Organization for the operating year	\$225,000	Counties: Hamilton and surrounding counties	
Organization's Website Address www.chattanooga.org	Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered.		
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>		Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered.	

3. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and sub-recipient.

Note: If any information does not apply to your organization, please enter N/A.

Project Sponsor Agency Name Columbia CARES, Inc		Parent Company Name, if applicable N/A			
Name and Title of Contact at Project Sponsor Agency		Tim Jones			
Email Address		timjones@columbiacares.org			
Business Address		1202 So. James Campbell Blvd, Suite 8 B.			
City, County, State, Zip,		Columbia	Maury	State	TN 38401
Phone Number (with area code)		(800) 961-5332 Ext. 12			
Employer Identification Number (EIN) or Tax Identification Number (TIN)		62-1513020		Fax Number (with area code) (931)388-4584	
DUN & Bradstreet Number (DUNS):		872823844			
Congressional District of Project Sponsor's Business Address		4			
Congressional District(s) of Primary Service Area(s)		4			
City(ies) and County(ies) of Primary Service Area(s)		Cities: Columbia, Lewisburg, Shelbyville, Fayetteville			
Total HOPWA contract amount for this Organization for the operating year		\$83,600		Counties: Maury, Marshall, Bedford, Lincoln	
Organization's Website Address www.chattanooga.org		Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered.			
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>		Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered.			

4. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and sub-recipient.

Note: If any information does not apply to your organization, please enter N/A.

Project Sponsor Agency Name East Tennessee Human Resource Agency, Inc		Parent Company Name, if applicable N/A		
Name and Title of Contact at Project Sponsor Agency	Pat Ford, Program Manager			
Email Address	pford@ethra.org			
Business Address	9111 Cross Park Drive, Suite D-160			
City, County, State, Zip,	Knoxville	Knox	TN	37923
Phone Number (with area code)	(865) 691-2551 Ext. 4223	Fax Number (with area code)	(865) 531-7216	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	62-1493851		(423) 645-9644	
DUN & Bradstreet Number (DUNs):	146757880			
Congressional District of Project Sponsor's Business Address	2			
Congressional District(s) of Primary Service Area(s)	2			
City(ies) and County(ies) of Primary Service Area(s)	Cities: Knoxville and Surrounding Counties			
Total HOPWA contract amount for this Organization for the operating year	\$278,000		Counties: Hamilton and surrounding counties	
Organization's Website Address www.chattanooga.org	Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered.			
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>		Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No No If yes, explain in the narrative section how this list is administered.		

5 Program Sub-recipient Information

Complete the following information for each subrecipient organization providing HOPWA-funded services to client households. These organizations would hold a contract/agreement with a project sponsor(s) to provide these services. For example, a subrecipient organization may receive funds from a project sponsor to provide nutritional services for clients residing within a HOPWA facility-based housing program. Please note that subrecipients who work directly with client households must provide performance data for the grantee to include in Parts 2-7 of the CAPER.

Note: Please see the definition of a subrecipient for more information.

Note: Types of contracts/agreements may include: grants, sub-grants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders.

Note: If any information is not applicable to the organization, please report N/A in the appropriate box. Do not leave boxes blank.

Sub-recipient Name	Positive Living	Parent Company Name, if applicable N/A		
Name and Title of Contact at Contractor/ Sub-contractor Agency	Steve Jenkins, Leslie Belvins			
Email Address	lfb69@yahoo.com			
Business Address	1521 E. Fifth Ave.			
City, County, State, Zip	Knoxville	Knox	TN	37917
Phone Number (include area code)	865-525-1540 ext230		Fax Number (include area code) 865-525-3772	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	62-169838			
DUN & Bradstreet Number (DUNs)	135622459			
North American Industry Classification System (NAICS) Code	N/A			
Congressional District of the Sub- recipient's Business Address	2			
Congressional District(s) of Primary Service Area	2			
City(ies) and County(ies) of Primary Service Area	Cities: Knoxville		Counties: Knox and Surrounding Counties	
Total HOPWA Subcontract Amount of this Organization for the operating year	\$69,000			

5. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and sub-recipient.

Note: If any information does not apply to your organization, please enter N/A.

Project Sponsor Agency Name West TN Legal Service (WTLS)		Parent Company Name, if applicable N/A		
Name and Title of Contact at Project Sponsor Agency		Steve Xanthopoulos		
Email Address		Xanthopoulos@wtls.org , Yolanda@wtls.org		
Business Address		210 West Main Street		
City, County, State, Zip,		Jackson	Madison	TN 37401
Phone Number (with area code)		(731) 426-1326	Fax Number (with area code)	
Employer Identification Number (EIN) or Tax Identification Number (TIN)		58-1326791		
DUN & Bradstreet Number (DUNs):		055269716		
Congressional District of Project Sponsor's Business Address		7		
Congressional District(s) of Primary Service Area(s)		7,8		
City(ies) and County(ies) of Primary Service Area(s)		Jackson, Dyersburg, Bolivar		
Total HOPWA contract amount for this Organization for the operating year		\$129,700	County(s) of Primary Service Area	Crockett, Gibson, Carroll, Chester, Madison, Henderson, Haywood
Organization's Website Address www.chattanooga.org		Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered.		
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered.		

6. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and sub-recipient.

Note: If any information does not apply to your organization, please enter N/A.

Project Sponsor Agency Name Hope of Tennessee		Parent Company Name, if applicable Frontier Health			
Name and Title of Contact at Project Sponsor Agency		April Harmon-CM, Christy Riddle-Accountant			
Email Address		aharmon@frontierhealth.org, criddle@frontierhealth.org			
Business Address		150 Waverly Road			
City, County, State, Zip,		Kingsport	Sullivan	TN	37664
Phone Number (with area code)		(423)224-1427	Fax Number (with area code)		(423) 224-1336
Employer Identification Number (EIN) or Tax Identification Number (TIN)		58-1508024			
DUN & Bradstreet Number (DUNs):		081198830			
Congressional District of Project Sponsor's Business Address		1			
Congressional District(s) of Primary Service Area(s)		1			
City(ies) and County(ies) of Primary Service Area(s)		Kingsport			
Total HOPWA contract amount for this Organization for the operating year		\$99,300	County(s) of Primary Service Area		Carter, Greene, Hancock, Hawkins, Johnson, Sullivan, Washington, Unicoi
Organization's Website Address www.frontierhealth.org		Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered.			
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No No If yes, explain in the narrative section how this list is administered.			

7. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and sub-recipient.

Note: If any information does not apply to your organization, please enter N/A.

Project Sponsor Agency Name Nashville CARES		Parent Company Name, if applicable N/A		
Name and Title of Contact at Project Sponsor Agency		Sean Muldoon, LCSW, Director of Case management Services		
Email Address		smuldoon@nashvillecared.org		
Business Address		633 Thompson Lane		
City, County, State, Zip,		Nashville	Davidson	TN 37204
Phone Number (with area code)		615-259-4866	Fax Number (with area code) 615-259-4849	
Employer Identification Number (EIN) or Tax Identification Number (TIN)		62-1274532		
DUN & Bradstreet Number (DUNs):		884907478		
Congressional District of Project Sponsor's Business Address				
Congressional District(s) of Primary Service Area(s)		TN #5		
City(ies) and County(ies) of Primary Service Area(s)		TN #7		
Total HOPWA contract amount for this Organization for the operating year		\$41,700.00	County(s) of Primary Service Area	
Organization's Website Address www.chattanooga.org		Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered.		
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No No If yes, explain in the narrative section how this list is administered.		

8. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and sub-recipient.

Note: If any information does not apply to your organization, please enter N/A.

Upper Cumberland		Parent Company Name, if applicable N/A		
Name and Title of Contact at Project Sponsor Agency	Jody L. Damitz, Program Coordinator			
Email Address	jdamitz@uchra.com			
Business Address	580 S. Jefferson Ave. Suite B. Cookeville, TN 38501			
City, County, State, Zip,	Cookeville	Putnam	TN	38501
Phone Number (with area code)	931-528-1127	Fax Number (with area code)	(931) 5268305	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	58-1326791			
DUN & Bradstreet Number (DUNs):	055269716			
Congressional District of Project Sponsor's Business Address	7			
Congressional District(s) of Primary Service Area(s)	7,8			
City(ies) and County(ies) of Primary Service Area(s)	Jackson, Dyersburg, Bolivar			
Total HOPWA contract amount for this Organization for the operating year	\$65,000	County of Primary Service Area	Crockett, Gibson, Carroll, Chester, Madison, Henderson, Haywood	
Organization's Website Address www.chattanooga.org	Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered.			
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>		Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No No If yes, explain in the narrative section how this list is administered.		

Grantee Narrative and Performance Assessment

a. Grantee and Community Overview

Provide a one to three page narrative summarizing major achievements and highlights that were proposed and completed during the program year. Include a brief description of the grant organization, area of service, the name(s) of the program contact(s), and an overview of the range/type of housing activities provided. This overview may be used for public information, including posting on HUD's website. **Note:** *Text fields are expandable.*

Tennessee is divided into three grand areas. Each offer unique challenges for Project Sponsors as they engage community barriers and issues. Though housing is sometimes available, it may offer challenges to engage community forces that impede one's goal to find stable and safe housing for self and beneficiaries.

b. Annual Performance under the Action Plan

Provide a narrative addressing each of the following four items:

1. Outputs Reported. Describe significant accomplishments or challenges in achieving the number of housing units supported and the number households assisted with HOPWA funds during this operating year compared to plans for this assistance, as approved in the Consolidated Plan/Action Plan. Describe how HOPWA funds were distributed during your program year among different categories of housing and geographic areas to address needs throughout the grant service area, consistent with approved plans.

Tennessee Formula HOPWA contracts with seven (7) project sponsor in Tennessee. All Project Sponsor met their performance targets, except one who missed by three points, but still averaged over 90% efficiency. Everyone else was perfect for efficiency over 100%.

2. Outcomes Assessed. Assess your program's success in enabling HOPWA beneficiaries to establish and/or better maintain a stable living environment in housing that is safe, decent, and sanitary, and improve access to care. Compare current year results to baseline results for clients. Describe how program activities/projects contributed to meeting stated goals. If program did not achieve expected targets, please describe how your program plans to address challenges in program implementation and the steps currently being taken to achieve goals in next operating year. If your program exceeded program targets, please describe strategies the program utilized and how those contributed to program successes.

The Department of Health manages all grants for seven (7) project sponsor. For 2011, the department experience delay getting contracts to seven project sponsors. Several Project Sponsors didn't get additional contracted funding in contract until the last month of the contract. This contributed to a small amount of unused monies for 2011. Permission was request from HUD Office in Knoxville and granted to roll these funding into contract for 2012. In spite of this delay, most project sponsors drew down money as budgeted and spent 99% of allocated funding.

3. Coordination. Report on program coordination with other mainstream housing and supportive services resources, including the use of committed leveraging from other public and private sources that helped to address needs for eligible persons identified in the Consolidated Plan/Strategic Plan.

Project Sponsor appeared to use leveraging to expend funds wisely. In recent monitoring of records in 2011, there was no finding of noncompliance items indentified by auditors.

4. Technical Assistance. Describe any program technical assistance needs and how they would benefit program beneficiaries.

c. Barriers and Trends Overview

Provide a narrative addressing items 1 through 3. Explain how barriers and trends affected your program’s ability to achieve the objectives and outcomes discussed in the previous section.

1. Describe any barriers (including regulatory and non-regulatory) encountered in the administration or implementation of the HOPWA program, how they affected your program’s ability to achieve the objectives and outcomes discussed, and, actions taken in response to barriers, and recommendations for program improvement. Provide an explanation for each barrier selected.

As mentioned earlier, we were unable to get 2011 contracts to all seven Projects Sponsor earlier enough to spend all their funding.

2. Describe any trends in the community that may affect the way in which the needs of persons living with HIV/AIDS are being addressed, and provide any other information important to the future provision of services to this population.

All input and output goals were met by grantee and project sponsors in 2011, except for Putman county which recorded a 97% target compliance.

3. Identify any evaluations, studies, or other assessments of the HOPWA program that are available to the public.

All Project Sponsors were evaluated using the HUD suggested model to track eligibility of participants for HOPWA in 2010 and 11. Tennessee gained access into IDIS and is working with grantees to upgrade level of data being reported.

d. Unmet Housing Needs: An Assessment of Unmet Housing Needs

In Chart 1, provide an assessment of the number of HOPWA-eligible households that require HOPWA housing subsidy assistance but are not currently served by any HOPWA-funded housing subsidy assistance in this service area.

In Row 1, report the total unmet need of the geographical service area, as reported in *Unmet Needs for Persons with HIV/AIDS*, Chart 1B of the Consolidated or Annual Plan(s), or as reported under HOPWA worksheet in the Needs Workbook of the Consolidated Planning Management Process (CPMP) tool.

Note: Report most current data available, through Consolidated or Annual Plan(s), and account for local housing issues, or changes in HIV/AIDS cases, by using combination of one or more of the sources in Chart 2.

If data is collected on the type of housing that is needed in Rows a. through c., enter the number of HOPWA-eligible households by type of housing subsidy assistance needed. For an approximate breakdown of overall unmet need by type of housing subsidy assistance refer to the Consolidated or Annual Plan (s), CPMP tool or local distribution of funds. Do not include clients who are already receiving HOPWA-funded housing subsidy assistance.

<input type="checkbox"/> HOPWA/HUD Regulations	<input type="checkbox"/> Planning	<input type="checkbox"/> Housing Availability	<input type="checkbox"/> Rent Determination and Fair Market Rents
<input type="checkbox"/> Discrimination/Confidentiality	<input type="checkbox"/> Multiple Diagnoses	<input type="checkbox"/> Eligibility	<input type="checkbox"/> Technical Assistance or Training
<input type="checkbox"/> Supportive Services	<input checked="" type="checkbox"/> Credit History	<input checked="" type="checkbox"/> Rental History	<input type="checkbox"/> Criminal Justice History
<input type="checkbox"/> Housing Affordability	<input checked="" type="checkbox"/> Geography/Rural Access	<input type="checkbox"/> Other, please explain further	

Refer to Chart 2, and check all sources consulted to calculate unmet need. Reference any data from neighboring states’ or municipalities’ Consolidated Plan or other planning efforts that informed the assessment of Unmet Need in your service area.

Note: In order to ensure that the unmet need assessment for the region is comprehensive, HOPWA formula grantees should include those unmet needs assessed by HOPWA competitive grantees operating within the service area.

1. Planning Estimate of Area's Unmet Needs for HOPWA-Eligible Households

<p>1. Total number of households that have unmet housing subsidy assistance need.</p>	<p>22 Reported in this category</p>
<p>2. From the total reported in Row 1, identify the number of households with unmet housing needs by type of housing subsidy assistance:</p> <p>a. Tenant-Based Rental Assistance (TBRA)</p> <p>b. Short-Term Rent, Mortgage and Utility payments (STRMU)</p> <ul style="list-style-type: none"> • Assistance with rental costs • Assistance with mortgage payments • Assistance with utility costs. <p>c. Housing Facilities, such as community residences, SRO dwellings, other housing facilities</p>	<p>Two (2) Project Sponsors report difficulty with finding adequate housing due to poor rental history and bad credit. Also, poor economic conditions in rural areas cited a huge barrier.</p>

2. Recommended Data Sources for Assessing Unmet Need (check all sources used)

X	= Data as reported in the area Consolidated Plan, e.g. Table 1B, CPMP charts, and related narratives
	= Data established by area HIV/AIDS housing planning and coordination efforts, e.g. Continuum of Care
	= Data from client information provided in Homeless Management Information Systems (HMIS)
	= Data from project sponsors or housing providers, including waiting lists for assistance or other assessments on need including those completed by HOPWA competitive grantees operating in the region.
	= Data from prisons or jails on persons being discharged with HIV/AIDS, if mandatory testing is conducted
	= Data from local Ryan White Planning Councils or reported in CARE Act Data Reports, e.g. number of clients with permanent housing
	= Data collected for HIV/AIDS surveillance reporting or other health assessments, e.g. local health department or CDC surveillance data

End of PART 1

PART 2: Sources of Leveraging and Program Income

1. Sources of Leveraging

Report the source(s) of cash or in-kind leveraged federal, state, local or private resources identified in the Consolidated or Annual Plan and used in the delivery of the HOPWA program and the amount of leveraged dollars. In Column [1], identify the type of leveraging. Some common sources of leveraged funds have been provided as a reference point. You may add Rows as necessary to report all sources of leveraged funds. Include Resident Rent payments paid by clients directly to private landlords. Do NOT include rents paid directly to a HOPWA program as this will be reported in the next section. In Column [2] report the amount of leveraged funds expended during the operating year. Use Column [3] to provide some detail about the type of leveraged contribution (e.g., case management services or clothing donations). In Column [4], check the appropriate box to indicate whether the leveraged contribution was a housing subsidy assistance or another form of support.

Note: Be sure to report on the number of households supported with these leveraged funds in Part 3, Chart 1, Column d.

A. Source of Leveraging Chart

[1] Source of Leveraging	[2] Amount of Leveraged Funds	[3] Type of Contribution	[4] Housing Subsidy Assistance or Other Support
Public Funding			
Ryan White-Housing Assistance	31,000.00	Case Mgt.	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Ryan White-Other	153,008.87		<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Housing Choice Voucher Program			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Low Income Housing Tax Credit			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
HOME			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Shelter Plus Care			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Emergency Solutions Grant			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Private Funding			
Grants Speedway & Broadway	\$25,500.00	Housing Subsidy	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
In-kind Resources			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Private:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Private:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Funding			
Grantee/Project Sponsor/Subrecipient (Agency) Cash			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Resident Rent Payments by Client to Private Landlord			

TOTAL (Sum of all Rows)	\$209,508.87		
-------------------------	--------------	--	--

2. Program Income and Resident Rent Payments

In Section 2, Chart A., report the total amount of program income and resident rent payments directly generated from the use of HOPWA funds, including repayments. Include resident rent payments collected or paid directly to the HOPWA program. Do NOT include payments made directly from a client household to a private landlord.

Note: Please see report directions section for definition of program income. (Additional information on program income is available in the HOPWA Grantee Oversight Resource Guide).

A. Total Amount Program Income and Resident Rent Payment Collected During the Operating Year

Program Income and Resident Rent Payments Collected		Total Amount of Program Income (for this operating year)
1.	Program income (e.g. repayments)	
2.	Resident Rent Payments made directly to HOPWA Program	
3.	Total Program Income and Resident Rent Payments (Sum of Rows 1 and 2)	

B. Program Income and Resident Rent Payments Expended To Assist HOPWA Households

In Chart B, report on the total program income and resident rent payments (as reported above in Chart A) expended during the operating year. Use Row 1 to report Program Income and Resident Rent Payments expended on Housing Subsidy Assistance Programs (i.e., TBRA, STRMU, PHP, Master Leased Units, and Facility-Based Housing). Use Row 2 to report on the Program Income and Resident Rent Payment expended on Supportive Services and other non-direct Housing Costs.

Program Income and Resident Rent Payment Expended on HOPWA programs		Total Amount of Program Income Expended (for this operating year)
1.	Program Income and Resident Rent Payment Expended on Housing Subsidy Assistance costs	
2.	Program Income and Resident Rent Payment Expended on Supportive Services and other non-direct housing costs	
3.	Total Program Income Expended (Sum of Rows 1 and 2)	

End of PART 2

PART 3: Accomplishment Data Planned Goal and Actual Outputs

In Chart 1, enter performance information (goals and actual outputs) for all activities undertaken during the operating year supported with HOPWA funds. Performance is measured by the number of households and units of housing that were supported with HOPWA or other federal, state, local, or private funds for the purposes of providing housing assistance and support to persons living with HIV/AIDS and their families.

Note: The total households assisted with HOPWA funds and reported in PART 3 of the CAPER should be the same as reported in the annual year-end IDIS data, and goals reported should be consistent with the Annual Plan information. Any discrepancies or deviations should be explained in the narrative section of PART 1.

1. HOPWA Performance Planned Goal and Actual Outputs

HOPWA Performance Planned Goal and Actual		[1] Output: Households				[2] Output: Funding	
		HOPWA Assistance		Leveraged Households		HOPWA Funds	
		a.	b.	c.	d.	e.	f.
		Goal	Actual	Goal	Actual	HOPWA Budget	HOPWA Actual
HOPWA Housing Subsidy Assistance		[1] Output: Households				[2] Output: Funding	
1.	Tenant-Based Rental Assistance						
2a.	Permanent Housing Facilities: Received Operating Subsidies/Leased units (Households Served)						
2b.	Transitional/Short-term Facilities: Received Operating Subsidies/Leased units (Households Served) (Households Served)						
3a.	Permanent Housing Facilities: Capital Development Projects placed in service during the operating year (Households Served)						
3b.	Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year (Households Served)						
4.	Short-Term Rent, Mortgage and Utility Assistance	503	560			\$406,620.13 \$416,640.00	
5.	Permanent Housing Placement Services						
6.	Adjustments for duplication (subtract)						
7.	Total HOPWA Housing Subsidy Assistance (Columns a. – d. equal the sum of Rows 1-5 minus Row 6; Columns e. and f. equal the sum of Rows 1-5)						
Housing Development (Construction and Stewardship of facility based housing)		[1] Output: Housing Units				[2] Output: Funding	
8.	Facility-based units; Capital Development Projects not yet opened (Housing Units)						
9.	Stewardship Units subject to 3 or 10 year use agreements						
10.	Total Housing Developed (Sum of Rows 8 & 9)						
Supportive Services		[1] Output Households				[2] Output: Funding	
11a.	Supportive Services provided by project sponsors/subrecipient that also delivered HOPWA housing subsidy assistance	915	982			\$437,587.00 416,587.00	
11b.	Supportive Services provided by project sponsors/subrecipient that only provided supportive services.						
12.	Adjustment for duplication (subtract)						
13.	Total Supportive Services (Columns a. – d. equal the sum of Rows 11 a. & b. minus Row 12; Columns e. and f. equal the sum of Rows 11a. & 11b.)					\$437,587.00 416,587.00	
Housing Information Services		[1] Output Households				[2] Output: Funding	
14.	Housing Information Services	50	56				
15.	Total Housing Information Services	50	56				

Grant Administration and Other Activities		[1] Output Households				[2] Output: Funding	
16.	Resource Identification to establish, coordinate and develop housing assistance resources						
17.	Technical Assistance (if approved in grant agreement)						
18.	Grantee Administration (maximum 3% of total HOPWA grant)					\$27,503.00	\$27,503.00
19.	Project Sponsor Administration (maximum 7% of portion of HOPWA grant awarded)					\$41,219.21	38,773.00
20.	Total Grant Administration and Other Activities (Sum of Rows 17 – 20)					\$889,300.00	\$878,305.35
Total Expended						[2] Outputs: HOPWA Funds Expended	
						Budget	Actual
21.	Total Expenditures for program year (Sum of Rows 7, 10, 13, 15, and 20)					\$916,803.00	\$915,823.00

2. Listing of Supportive Services

Report on the households served and use of HOPWA funds for all supportive services. Do NOT report on supportive services leveraged with non-HOPWA funds.

Data check: Total unduplicated households and expenditures reported in Row 17 equal totals reported in Part 3, Chart 1, Row 13.

Supportive Services		[1] Output: Number of <u>Households</u>	[2] Output: Amount of HOPWA Funds Expended
1.	Adult day care and personal assistance		
2.	Alcohol and drug abuse services		
3.	Case management	729	\$378,496.00
4.	Child care and other child services		
5.	Education		
6.	Employment assistance and training		
7.	Health/medical/intensive care services, if approved Note: Client records must conform with 24 CFR §574.310		
8.	Legal services		
9.	Life skills management (outside of case management)		
10.	Meals/nutritional services	690	\$18,354.12
11.	Mental health services		
12.	Outreach		
13.	Transportation	22	\$9106.77
14.	Other Activity (if approved in grant agreement). Specify:		
15.	Sub-Total Households receiving Supportive Services (Sum of Rows 1-14)	982	
16.	Adjustment for Duplication (subtract)	253	
17.	TOTAL Unduplicated Households receiving Supportive Services (Column [1] equals Row 15 minus Row 16; Column [2] equals sum of Rows 1-14)	982	\$416,587.00

3. Short-Term Rent, Mortgage and Utility Assistance (STRMU) Summary

In Row a., enter the total number of households served and the amount of HOPWA funds expended on Short-Term Rent, Mortgage and Utility (STRMU) Assistance. In Row b., enter the total number of STRMU-assisted households that received assistance with mortgage costs only (no utility costs) and the amount expended assisting these households. In Row c., enter the total number of STRMU-assisted households that received assistance with both mortgage and utility costs and the amount expended assisting these households. In Row d., enter the total number of STRMU-assisted households that received assistance with rental costs only (no utility costs) and the amount expended assisting these households. In Row e., enter the total number of STRMU-assisted households that received assistance with both rental and utility costs and the amount expended assisting these households. In Row f., enter the total number of STRMU-assisted households that received assistance with utility costs only (not including rent or mortgage costs) and the amount expended assisting these households. In row g., report the amount of STRMU funds expended to support direct program costs such as program operation staff.

Data Check: The total households reported as served with STRMU in Row a., column [1] and the total amount of HOPWA funds reported as expended in Row a., column [2] equals the household and expenditure total reported for STRMU in Part 3, Chart 1, Row 4, Columns b. and f., respectively.

Data Check: The total number of households reported in Column [1], Rows b., c., d., e., and f. equal the total number of STRMU households reported in Column [1], Row a. The total amount reported as expended in Column [2], Rows b., c., d., e., f., and g. equal the total amount of STRMU expenditures reported in Column [2], Row a.

Housing Subsidy Assistance Categories (STRMU)		[1] Output: Number of Households Served	[2] Output: Total HOPWA Funds Expended on STRMU during Operating Year
a.	Total Short-term mortgage, rent and/or utility (STRMU) assistance	560	\$387,561.10
b.	Of the total STRMU reported on Row a, total who received assistance with mortgage costs ONLY.	66	\$66,063.60
c.	Of the total STRMU reported on Row a, total who received assistance with mortgage and utility costs.	127	\$50,392
d.	Of the total STRMU reported on Row a, total who received assistance with rental costs ONLY.	150	\$155,472.28
e.	Of the total STRMU reported on Row a, total who received assistance with rental and utility costs.	105	\$45,233.00
f.	Of the total STRMU reported on Row a, total who received assistance with utility costs ONLY.	112	\$49,731
g.	Direct program delivery costs (e.g., program operations staff time)		\$38,021

4

End of PART 3

Part 4: Summary of Performance Outcomes

In Column [1], report the total number of eligible households that received HOPWA housing subsidy assistance, by type. In Column [2], enter the number of households that continued to access each type of housing subsidy assistance into next operating year. In Column [3], report the housing status of all households that exited the program.

Data Check: The sum of Columns [2] (Number of Households Continuing) and [3] (Exited Households) equals the total reported in Column[1].

Note: Refer to the housing stability codes that appear in Part 5: Worksheet - Determining Housing Stability Outcomes.

Section 1. Housing Stability: Assessment of Client Outcomes on Maintaining Housing Stability (Permanent Housing and Related Facilities)

A. Permanent Housing Subsidy Assistance

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Tenant-Based Rental Assistance			1 Emergency Shelter/Streets		<i>Unstable Arrangements</i>
			2 Temporary Housing		<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
			3 Private Housing		<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		<i>Unstable Arrangements</i>
			7 Jail/Prison		
			8 Disconnected/Unknown		
			9 Death		<i>Life Event</i>
Permanent Supportive Housing Facilities/ Units	50	111	1 Emergency Shelter/Streets	30	<i>Unstable Arrangements</i>
			2 Temporary Housing		<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
			3 Private Housing	112	<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		<i>Unstable Arrangements</i>
			7 Jail/Prison	28	
			8 Disconnected/Unknown		
			9 Death	8	<i>Life Event</i>

B. Transitional Housing Assistance

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Transitional/ Short-Term Housing Facilities/ Units	560		1 Emergency Shelter/Streets		<i>Unstable Arrangements</i>
			2 Temporary Housing		<i>Temporarily Stable with Reduced Risk of Homelessness</i>
			3 Private Housing		<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		<i>Unstable Arrangements</i>
			7 Jail/Prison		
			8 Disconnected/unknown		

			9 Death		Life Event
B1: Total number of households receiving transitional/short-term housing assistance whose tenure exceeded 24 months					

Section 2. Prevention of Homelessness: Assessment of Client Outcomes on Reduced Risks of Homelessness (Short-Term Housing Subsidy Assistance)

Report the total number of households that received STRMU assistance in Column [1].

In Column [2], identify the outcomes of the households reported in Column [1] either at the time that they were known to have left the STRMU program or through the project sponsor or subrecipient's best assessment for stability at the end of the operating year.

Information in Column [3] provides a description of housing outcomes; therefore, data is not required.

At the bottom of the chart:

- In Row 1a., report those households that received STRMU assistance during the operating year of this report, and the prior operating year.
- In Row 1b., report those households that received STRMU assistance during the operating year of this report, and the two prior operating years.

Data Check: The total households reported as served with STRMU in Column [1] equals the total reported in Part 3, Chart 1, Row 4, Column b.

Data Check: The sum of Column [2] should equal the number of households reported in Column [1].

Assessment of Households that Received STRMU Assistance

[1] Output: Total number of households	[2] Assessment of Housing Status	[3] HOPWA Client Outcomes
591	Maintain Private Housing without subsidy <i>(e.g. Assistance provided/completed and client is stable, not likely to seek additional support)</i>	<i>Stable/Permanent Housing (PH)</i>
	Other Private Housing without subsidy <i>(e.g. client switched housing units and is now stable, not likely to seek additional support)</i>	
	Other HOPWA Housing Subsidy Assistance	
	Other Housing Subsidy (PH)	
	Institution <i>(e.g. residential and long-term care)</i>	
	Likely that additional STRMU is needed to maintain current housing arrangements	<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
	Transitional Facilities/Short-term <i>(e.g. temporary or transitional arrangement)</i>	
	Temporary/Non-Permanent Housing arrangement <i>(e.g. gave up lease, and moved in with family or friends but expects to live there less than 90 days)</i>	
	Emergency Shelter/street	<i>Unstable Arrangements</i>
	Jail/Prison	
	Disconnected	
	Death	
1a. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the prior operating year (e.g. households that received STRMU assistance in two consecutive operating years).		
1b. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the two prior operating years (e.g. households that received STRMU assistance in three consecutive operating years).		

Section 3. HOPWA Outcomes on Access to Care and Support

1a. Total Number of Households

Line [1]: For project sponsors/subrecipients that provided HOPWA housing subsidy assistance during the operating year identify in the appropriate row the number of households that received HOPWA housing subsidy assistance (TBRA, STRMU, Facility-Based, PHP and Master Leasing) and HOPWA funded case management services. Use Row c. to adjust for duplication among the service categories and Row d. to provide an unduplicated household total.

Line [2]: For project sponsors/subrecipients that did **NOT** provide HOPWA housing subsidy assistance identify in the appropriate row the number of households that received HOPWA funded case management services.

Note: These numbers will help you to determine which clients to report Access to Care and Support Outcomes for and will be used by HUD as a basis for analyzing the percentage of households who demonstrated or maintained connections to care and support as identified in Chart 1b. below.

Total Number of Households	
1. For Project Sponsors/Subrecipients that provided HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following HOPWA-funded services:	
a. Housing Subsidy Assistance (duplicated)-TBRA, STRMU, PHP, Facility-Based Housing, and Master Leasing	560
b. Case Management	729
c. Adjustment for duplication (subtraction)	169
d. Total Households Served by Project Sponsors/Subrecipients with Housing Subsidy Assistance (Sum of Rows a.b. minus Row c.)	560
2. For Project Sponsors/Subrecipients did NOT provide HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following HOPWA-funded service:	
a. HOPWA Case Management	
b. Total Households Served by Project Sponsors/Subrecipients without Housing Subsidy Assistance	

1b. Status of Households Accessing Care and Support

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report the number of households that demonstrated access or maintained connections to care and support within the program year.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report the number of households that demonstrated improved access or maintained connections to care and support within the program year.

Note: For information on types and sources of income and medical insurance/assistance, refer to Charts below.

Categories of Services Accessed	[1] For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:	Outcome Indicator
1. Has a housing plan for maintaining or establishing stable on-going housing	560		Support for Stable Housing
2. Had contact with case manager/benefits counselor consistent with the schedule specified in client's individual service plan (may include leveraged services such as Ryan White Medical Case Management)	560		Access to Support
3. Had contact with a primary health care provider consistent with the schedule specified in client's individual service plan	560		Access to Health Care
4. Accessed and maintained medical insurance/assistance	560		Access to Health Care
5. Successfully accessed or maintained qualification for sources of income	412		Sources of Income

Chart 1b., Line 4: Sources of Medical Insurance and Assistance include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> • MEDICAID Health Insurance Program, or use local program name • MEDICARE Health Insurance Program, or use local program name 	<ul style="list-style-type: none"> • Veterans Affairs Medical Services • AIDS Drug Assistance Program (ADAP) • State Children’s Health Insurance Program (SCHIP), or use local program name 	<ul style="list-style-type: none"> • Ryan White-funded Medical or Dental Assistance
--	--	--

Chart 1b., Row 5: Sources of Income include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> • Earned Income • Veteran’s Pension • Unemployment Insurance • Pension from Former Job • Supplemental Security Income (SSI) 	<ul style="list-style-type: none"> • Child Support • Social Security Disability Income (SSDI) • Alimony or other Spousal Support • Veteran’s Disability Payment • Retirement Income from Social Security • Worker’s Compensation 	<ul style="list-style-type: none"> • General Assistance (GA), or use local program name • Private Disability Insurance • Temporary Assistance for Needy Families (TANF) • Other Income Sources
---	--	--

1c. Households that Obtained Employment

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or related case management/counseling services.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or case management/counseling services.

Note: This includes jobs created by this project sponsor/subrecipients or obtained outside this agency.

Note: Do not include jobs that resulted from leveraged job training, employment assistance, education or case management/counseling services.

Categories of Services Accessed	[1 For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:
Total number of households that obtained an income-producing job	30	

End of PART 4

PART 5: Worksheet - Determining Housing Stability Outcomes (optional)

1. This chart is designed to assess program results based on the information reported in Part 4 and to help Grantees determine overall program performance. Completion of this worksheet is optional.

Permanent Housing Subsidy Assistance	Stable Housing (# of households remaining in program plus 3+4+5+6)	Temporary Housing (2)	Unstable Arrangements (1+7+8)	Life Event (9)
Tenant-Based Rental Assistance (TBRA)				
Permanent Facility-based Housing Assistance/Units				
Transitional/Short-Term Facility-based Housing Assistance/Units				
Total Permanent HOPWA Housing Subsidy Assistance				
Reduced Risk of Homelessness: Short-Term Assistance	Stable/Permanent Housing	Temporarily Stable, with Reduced Risk of Homelessness	Unstable Arrangements	Life Events
Short-Term Rent, Mortgage, and Utility Assistance (STRMU)	443	20	120	8
Total HOPWA Housing Subsidy Assistance	443	20	120	8

Background on HOPWA Housing Stability Codes

Stable Permanent Housing/Ongoing Participation

3 = Private Housing in the private rental or home ownership market (without known subsidy, including permanent placement with families or other self-sufficient arrangements) with reasonable expectation that additional support is not needed.

4 = Other HOPWA-funded housing subsidy assistance (not STRMU), e.g. TBRA or Facility-Based Assistance.

5 = Other subsidized house or apartment (non-HOPWA sources, e.g., Section 8, HOME, public housing).

6 = Institutional setting with greater support and continued residence expected (e.g., residential or long-term care facility).

Temporary Housing

2 = Temporary housing - moved in with family/friends or other short-term arrangement, such as Ryan White subsidy, transitional housing for homeless, or temporary placement in institution (e.g., hospital, psychiatric hospital or other psychiatric facility, substance abuse treatment facility or detox center).

Unstable Arrangements

1 = Emergency shelter or no housing destination such as places not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station, or anywhere outside).

7 = Jail /prison.

8 = Disconnected or disappeared from project support, unknown destination or no assessments of housing needs were undertaken.

Life Event

9 = Death, i.e., remained in housing until death. This characteristic is not factored into the housing stability equation.

Tenant-based Rental Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as reported under: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed

assistance, and left their current housing for a non-permanent housing arrangement, as reported under item: 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Permanent Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Transitional/Short-Term Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) continue in the residences (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Other Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Tenure Assessment. A baseline of households in transitional/short-term facilities for assessment purposes, indicate the number of households whose tenure exceeded 24 months.

STRMU Assistance: Stable Housing is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period and there is reasonable expectation that additional support is not needed in order to maintain permanent housing living situation (as this is a time-limited form of housing support) as reported under housing status: Maintain Private Housing with subsidy; Other Private with Subsidy; Other HOPWA support; Other Housing Subsidy; and Institution. Temporarily Stable, with Reduced Risk of Homelessness is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period or left their current housing arrangement for a transitional facility or other temporary/non-permanent housing arrangement and there is reasonable expectation additional support will be needed to maintain housing arrangements in the next year, as reported under housing status: Likely to maintain current housing arrangements, with additional STRMU assistance; Transitional Facilities/Short-term; and Temporary/Non-Permanent Housing arrangements. Unstable Situation is the sum of number of households reported under housing status: Emergency Shelter; Jail/Prison; and Disconnected.

End of PART 5

PART 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY)

The Annual Certification of Usage for HOPWA Facility-Based Stewardship Units is to be used in place of Part 7B of the CAPER if the facility was originally acquired, rehabilitated or constructed/developed in part with HOPWA funds but no HOPWA funds were expended during the operating year. Scattered site units may be grouped together on one page.

Grantees that used HOPWA funding for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for HOPWA eligible individuals for at least ten (10) years. If non-substantial rehabilitation funds were used they are required to operate for at least three (3) years. Stewardship begins once the facility is put into operation.

Note: See definition of Stewardship Units.

1. General information

HUD Grant Number(s)	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name	Date Facility Began Operations (mm/dd/yy)

2. Number of Units and Non-HOPWA Expenditures

Facility Name:	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)		

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	
Site Information: Project Zip Code(s)	
Site Information: Congressional District(s)	
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

<i>I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.</i>	
Name & Title of Authorized Official of the organization that continues to operate the facility:	Signature & Date (mm/dd/yy)
Name & Title of Contact at Grantee Agency (person who can answer questions about the report and program)	Contact Phone (with area code)

End of PART 6

Part 7: Summary Overview of Grant Activities**A. Information on Individuals, Beneficiaries, and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, Facility-Based Units, Permanent Housing Placement and Master Leased Units ONLY)**

Note: Reporting for this section should include ONLY those individuals, beneficiaries, or households that received and/or resided in a household that received HOPWA Housing Subsidy Assistance as reported in Part 3, Chart 1, Row 7, Column b. (e.g., do not include households that received HOPWA supportive services ONLY).

Section 1. HOPWA-Eligible Individuals who Received HOPWA Housing Subsidy Assistance**a. Total HOPWA Eligible Individuals Living with HIV/AIDS**

In Chart a., provide the total number of eligible (and unduplicated) low-income individuals living with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance during the operating year. This total should include only the individual who qualified the household for HOPWA assistance, NOT all HIV positive individuals in the household.

Individuals Served with Housing Subsidy Assistance	Total
Number of individuals with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance.	591

Chart b. Prior Living Situation

In Chart b., report the prior living situations for all Eligible Individuals reported in Chart a. In Row 1, report the total number of individuals who continued to receive HOPWA housing subsidy assistance from the prior operating year into this operating year. In Rows 2 through 17, indicate the prior living arrangements for all new HOPWA housing subsidy assistance recipients during the operating year.

Data Check: The total number of eligible individuals served in Row 18 equals the total number of individuals served through housing subsidy assistance reported in Chart a. above.

Category		Total HOPWA Eligible Individuals Receiving Housing Subsidy Assistance
1.	<u>Continuing</u> to receive HOPWA support from the prior operating year	55
New Individuals who received HOPWA Housing Subsidy Assistance support during Operating Year		
2.	Place not meant for human habitation (such as a vehicle, abandoned building, bus/train/subway station/airport, or outside)	
3.	Emergency shelter (including hotel, motel, or campground paid for with emergency shelter voucher)	
4.	Transitional housing for homeless persons	
5.	Total number of new Eligible Individuals who received HOPWA Housing Subsidy Assistance with a Prior Living Situation that meets HUD definition of homelessness (Sum of Rows 2 – 4)	110
6.	Permanent housing for formerly homeless persons (such as Shelter Plus Care, SHP, or SRO Mod Rehab)	
7.	Psychiatric hospital or other psychiatric facility	
8.	Substance abuse treatment facility or detox center	12
9.	Hospital (non-psychiatric facility)	
10.	Foster care home or foster care group home	
11.	Jail, prison or juvenile detention facility	8
12.	Rented room, apartment, or house	265
13.	House you own	50
14.	Staying or living in someone else's (family and friends) room, apartment, or house	80
15.	Hotel or motel paid for without emergency shelter voucher	11

16.	Other	
17.	Don't Know or Refused	
18.	TOTAL Number of HOPWA Eligible Individuals (sum of Rows 1 and 5-17)	591

c. Homeless Individual Summary

In Chart c., indicate the number of eligible individuals reported in Chart b., Row 5 as homeless who also are homeless Veterans and/or meet the definition for Chronically Homeless (See Definition section of CAPER). The totals in Chart c. do not need to equal the total in Chart b., Row 5.

Category	Number of Homeless Veteran(s)	Number of Chronically Homeless
HOPWA eligible individuals served with HOPWA Housing Subsidy Assistance	9	45

Section 2. Beneficiaries

In Chart a., report the total number of HOPWA eligible individuals living with HIV/AIDS who received HOPWA housing subsidy assistance (*as reported in Part 7A, Section 1, Chart a.*), and all associated members of their household who benefitted from receiving HOPWA housing subsidy assistance (resided with HOPWA eligible individuals).

Note: See definition of HOPWA Eligible Individual

Note: See definition of Transgender.

Note: See definition of Beneficiaries.

Data Check: The sum of each of the Charts b. & c. on the following two pages equals the total number of beneficiaries served with HOPWA housing subsidy assistance as determined in Chart a., Row 4 below.

a. Total Number of Beneficiaries Served with HOPWA Housing Subsidy Assistance

Individuals and Families Served with HOPWA Housing Subsidy Assistance	Total Number
1. Number of individuals with HIV/AIDS who qualified the household to receive HOPWA housing subsidy assistance (equals the number of HOPWA Eligible Individuals reported in Part 7A, Section 1, Chart a.)	591
2. Number of ALL other persons diagnosed as HIV positive who reside with the HOPWA eligible individuals identified in Row 1 and who benefitted from the HOPWA housing subsidy assistance	8
3. Number of ALL other persons NOT diagnosed as HIV positive who reside with the HOPWA eligible individual identified in Row 1 and who benefitted from the HOPWA housing subsidy	383
4. TOTAL number of ALL <u>beneficiaries</u> served with Housing Subsidy Assistance (Sum of Rows 1,2, & 3)	982

b. Age and Gender

In Chart b., indicate the Age and Gender of all beneficiaries as reported in Chart a. directly above. Report the Age and Gender of all HOPWA Eligible Individuals (those reported in Chart a., Row 1) using Rows 1-5 below and the Age and Gender of all other beneficiaries (those reported in Chart a., Rows 2 and 3) using Rows 6-10 below. The number of individuals reported in Row 11, Column E. equals the total number of beneficiaries reported in Part 7, Section 2, Chart a., Row 4.

HOPWA Eligible Individuals (Chart a, Row 1)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL (Sum of Columns A-D)
1.	Under 18	18	19			37
2.	18 to 30 years	38	23			61
3.	31 to 50 years	167	124	1	1	98
4.	51 years and Older	111	91			202
5.	Subtotal (Sum of Rows 1-4)	334	257	1	1	591
All Other Beneficiaries (Chart a, Rows 2 and 3)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL (Sum of Columns A-D)
6.	Under 18	38	29			67
7.	18 to 30 years	89	68			157
8.	31 to 50 years	279	137			416
9.	51 years and Older	198	137			335
10.	Subtotal (Sum of Rows 6-9)	604	378			1317
Total Beneficiaries (Chart a, Row 4)						
11.	TOTAL (Sum of Rows 5 & 10)	938	635			

c. Race and Ethnicity*

In Chart c., indicate the Race and Ethnicity of all beneficiaries receiving HOPWA Housing Subsidy Assistance as reported in Section 2, Chart a., Row 4. Report the race of all HOPWA eligible individuals in Column [A]. Report the ethnicity of all HOPWA eligible individuals in column [B]. Report the race of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [C]. Report the ethnicity of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [D]. The summed total of columns [A] and [C] equals the total number of beneficiaries reported above in Section 2, Chart a., Row 4.

Category		HOPWA Eligible Individuals		All Other Beneficiaries	
		[A] Race [all individuals reported in Section 2, Chart a., Row 1]	[B] Ethnicity [Also identified as Hispanic or Latino]	[C] Race [total of individuals reported in Section 2, Chart a., Rows 2 & 3]	[D] Ethnicity [Also identified as Hispanic or Latino]
1.	American Indian/Alaskan Native	15	4	45	32
2.	Asian				
3.	Black/African American	118		95	
4.	Native Hawaiian/Other Pacific Islander				
5.	White	422		388	65
6.	American Indian/Alaskan Native & White				
7.	Asian & White			24	25
8.	Black/African American & White	12			
9.	American Indian/Alaskan Native & Black/African American	20			
10.	Other Multi-Racial	20			170
11.	Column Totals (Sum of Rows 1-10)	587	4	672	320

Data Check: Sum of Row 11 Column A and Row 11 Column C equals the total number HOPWA Beneficiaries reported in Part 3A, Section 2, Chart a., Row 4.

*Reference (data requested consistent with Form HUD-27061 Race and Ethnic Data Reporting Form)

Section 3. Households

Household Area Median Income

Report the area median income(s) for all households served with HOPWA housing subsidy assistance.

Data Check: The total number of households served with HOPWA housing subsidy assistance should equal Part 3C, Row 7, Column b and Part 7A, Section 1, Chart a. (Total HOPWA Eligible Individuals Served with HOPWA Housing Subsidy Assistance).

Note: Refer to http://www.huduser.org/portal/datasets/il/il2010/select_Geography_mfi.odn for information on area median income in your community.

Percentage of Area Median Income		Households Served with HOPWA Housing Subsidy Assistance
1.	0-30% of area median income (extremely low)	263
2.	31-50% of area median income (very low)	205
3.	51-80% of area median income (low)	123
4.	Total (Sum of Rows 1-3)	591

Part 7: Summary Overview of Grant Activities

B. Facility-Based Housing Assistance

Complete one Part 7B for each facility developed or supported through HOPWA funds.

Do not complete this Section for programs originally developed with HOPWA funds but no longer supported with HOPWA funds. If a facility was developed with HOPWA funds (subject to ten years of operation for acquisition, new construction and substantial rehabilitation costs of stewardship units, or three years for non-substantial rehabilitation costs), but HOPWA funds are no longer used to support the facility, the project sponsor or subrecipient should complete Part 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY).

Complete Charts 2a., Project Site Information, and 2b., Type of HOPWA Capital Development Project Units, for all Development Projects, including facilities that were past development projects, but continued to receive HOPWA operating dollars this reporting year.

1. Project Sponsor/Subrecipient Agency Name (Required)

--

2. Capital Development

2a. Project Site Information for HOPWA Capital Development of Projects (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this reporting year)

Note: If units are scattered-sites, report on them as a group and under type of Facility write "Scattered Sites."

	Type of Development this operating year	HOPWA Funds Expended this operating year (if applicable)	Non-HOPWA funds Expended (if applicable)	Name of Facility:
<input type="checkbox"/>	New construction	\$	\$	Type of Facility [Check <u>only one</u> box.] <input type="checkbox"/> Permanent housing <input type="checkbox"/> Short-term Shelter or Transitional housing <input type="checkbox"/> Supportive services only facility
<input type="checkbox"/>	Rehabilitation	\$	\$	
<input type="checkbox"/>	Acquisition	\$	\$	
<input type="checkbox"/>	Operating	\$	\$	
a.	Purchase/lease of property:			Date (mm/dd/yy):
b.	Rehabilitation/Construction Dates:			Date started: Date Completed:
c.	Operation dates:			Date residents began to occupy: <input type="checkbox"/> Not yet occupied
d.	Date supportive services began:			Date started: <input type="checkbox"/> Not yet providing services
e.	Number of units in the facility:			HOPWA-funded units = Total Units =
f.	Is a waiting list maintained for the facility?			<input type="checkbox"/> Yes <input type="checkbox"/> No <i>If yes, number of participants on the list at the end of operating year</i>
g.	What is the address of the facility (if different from business address)?			

h.	Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not publish list <input type="checkbox"/> No, can be made available to the public
----	--	--

2b. Number and Type of HOPWA Capital Development Project Units (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this Reporting Year)

For units entered above in 2a. please list the number of HOPWA units that fulfill the following criteria:

	Number Designated for the Chronically Homeless	Number Designated to Assist the Homeless	Number Energy-Star Compliant	Number 504 Accessible
Rental units constructed (new) and/or acquired <u>with or without rehab</u>				
Rental units rehabbed				
Homeownership units constructed (if approved)				

3. Units Assisted in Types of Housing Facility/Units Leased by Project Sponsor or Subrecipient

Charts 3a., 3b. and 4 are required for each facility. In Charts 3a. and 3b., indicate the type and number of housing units in the facility, including master leased units, project-based or other scattered site units leased by the organization, categorized by the number of bedrooms per unit.

Note: The number units may not equal the total number of households served.

Please complete separate charts for each housing facility assisted. Scattered site units may be grouped together.

3a. Check one only

- Permanent Supportive Housing Facility/Units
- Short-term Shelter or Transitional Supportive Housing Facility/Units

3b. Type of Facility

Complete the following Chart for all facilities leased, master leased, project-based, or operated with HOPWA funds during the reporting year.

Name of Project Sponsor/Agency Operating the Facility/Leased Units:

Type of housing facility operated by the project sponsor/subrecipient	Total Number of <u>Units</u> in use during the Operating Year Categorized by the Number of Bedrooms per Units					
	SRO/Studio/0 bdrm	1 bdrm	2 bdrm	3 bdrm	4 bdrm	5+bdrm
a. Single room occupancy dwelling						
b. Community residence						
c. Project-based rental assistance units or leased units						
d. Other housing facility <u>Specify:</u>						

4. Households and Housing Expenditures

Enter the total number of households served and the amount of HOPWA funds expended by the project sponsor/subrecipient on subsidies for housing involving the use of facilities, master leased units, project based or other scattered site units leased by the organization.

Housing Assistance Category: Facility Based Housing	Output: Number of Households	Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/subrecipient
a. Leasing Costs		
b. Operating Costs		

c.	Project-Based Rental Assistance (PBRA) or other leased units		
d.	Other Activity (if approved in grant agreement) Specify:		
e.	Adjustment to eliminate duplication (subtract)		
f.	TOTAL Facility-Based Housing Assistance (Sum Rows a. through d. minus Row e.)		

Appendix E

FY 2011-12

State Table 3B: State Annual Housing Completion Goals

Prepared By:

Tennessee Department of Community and Economic
Development, Tennessee Housing Development Agency
and Tennessee Department of Health

Optional Table 3B
STATE ANNUAL HOUSING COMPLETION GOALS

Grantee Name: State of Tennessee Program Year: FY 2011-12	Expected Annual Number of Units To Be Completed*	Actual Annual Number of Units Completed**	Resources used during the period			
			CDBG	HOME	ESG	HOPWA
ANNUAL AFFORDABLE RENTAL HOUSING GOALS (SEC. 215)						
Acquisition of existing units	19	32	<input type="checkbox"/>	<input checked="" type="checkbox"/>		<input type="checkbox"/>
Production of new units	10	34	<input type="checkbox"/>	<input checked="" type="checkbox"/>		<input type="checkbox"/>
Rehabilitation of existing units	24	19	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Rental Assistance			<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
Total Sec. 215 Affordable Rental			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ANNUAL AFFORDABLE OWNER HOUSING GOALS (SEC. 215)						
Acquisition of existing units	2	18	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		
Production of new units	11	29	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		
Rehabilitation of existing units	252	255	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		
Homebuyer Assistance	34	34	<input type="checkbox"/>	<input checked="" type="checkbox"/>		<input type="checkbox"/>
Total Sec. 215 Affordable Owner			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ANNUAL AFFORDABLE HOUSING GOALS (SEC. 215)						
Acquisition of existing units	21	50	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		<input type="checkbox"/>
Production of new units	21	63	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		<input type="checkbox"/>
Rehabilitation of existing units	276	274	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Homebuyer Assistance	34	34	<input type="checkbox"/>	<input checked="" type="checkbox"/>		<input type="checkbox"/>
Total Sec. 215 Affordable Housing			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ANNUAL AFFORDABLE HOUSING GOALS (SEC.215)						
Homeless households	33,178	33,178	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
...Non-homeless households			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
...Special needs households	982	982	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
ANNUAL HOUSING GOALS						
Annual Rental Housing Goal	53	85	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Annual Owner Housing Goal	299	336	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Total Annual Housing Goal	352	421	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

*State Table 3B: State Annual Housing Completion Goals was not in use for the FY 2011-12 Annual Action Plan. Numbers in the "Expected Annual Number of Units to be Completed" represent applications received for FY 2011-12 funds or estimates based on the funds available.

** Numbers in the "Actual Annual Number of Units Completed" represent actual units completed during FY 2011-12 with funds from multiple grant years with regards to the HOME and CDBG programs. HOPWA and ESG numbers represent those served during FY 2011-12 with FY 2011-12 funds. Numbers for the ESG program only represent those served with the first allocation of ESG funds.

Optional Table 3B

STATE ANNUAL HOUSING COMPLETION GOALS

In Fiscal Year 2011-12, the Consolidated Partners incorporated State Table 3B: State Annual Housing Completion Goals (State Table 3B) and State Table 3C: Annual Action Plan Planned Project Results (State Table 3C) into Consolidated Planning submissions. In May 2012, the Consolidated Partners submitted State Table 3B and State Table 3C with the FY 2012-13 Annual Action Plan. Because State Tables 3B and 3C were not used for the FY 2011-12 Annual Action Plan, the numbers in the column titled "Expected Annual Number of Units to be Completed" are based on applications submitted for FY 2011-12 funds and estimates based on the number of projects completed in past funding years and do not represent actual, numeric goals outlined in the FY 2011-12 Action Plan.

The column titled "Actual Annual Number of Units Completed" represents the actual units or persons served during FY 2011-12. With regards to the HOME and CDBG programs, the units completed during the reporting period were funded during various grant years, including FY 2011-12. The following sections outline how the State accomplished specific one-year affordable housing goals, through the four formula programs. The four priority areas of the State of Tennessee, which represent long-term goals, and the corresponding action steps, which represent short-term or one year goals, as outlined in the five-year Consolidated Plan are as follows:

Housing

1. Preserve the affordable housing stock, increase the amount of affordable housing and increase home ownership opportunities.

Action Steps:

- 1) Preserve the affordable housing stock through housing rehabilitation targeted toward very low, low and moderate income populations in the state.
- 2) Encourage the production of multifamily housing to serve low income individuals in the state.
- 3) Target funds towards housing for elderly residents in the state with an emphasis on handicapped accessibility.
- 4) Encourage the preservation of 2-3 bedroom affordable housing units for low income families in the state.
- 5) Increase/maintain the number of housing facilities in the state for homeless individuals.
- 6) Increase the ownership rates, especially among lower income and minority households.

Non-Housing Community Development Needs

2. Provide for the viability of communities through ensuring infrastructure, community livability, health and safety, and economic development.

Action Steps:

- 7) Provide for the safety and well-being of low and moderate income families in the state by improving the quality and quantity of water in areas, which do not have safe, reliable water sources.

Optional Table 3B
STATE ANNUAL HOUSING COMPLETION GOALS

- 8) Provide safe, reliable wastewater services to low and moderate income families in underserved areas of the state.
 - 9) Provide economic development opportunities through financing of infrastructure development, manufacturing facilities and equipment that support job creation for low and moderate income people.
 - 10) General enhancement of quality of life of low and moderate income neighborhoods throughout the state.
3. Provide for the housing and supportive services needs of homeless individuals and other special needs populations.

Action Steps:

- 11) Support the acquisition and rehabilitation of facilities to house homeless persons or those at risk of homelessness.
 - 12) Provide funds to assist persons at risk of homelessness.
 - 13) Increase the amount of services provided to mentally ill homeless.
 - 14) Encourage programs to support children in homeless facilities to receive preventative and emergency medical care, as well as other development or cognitive services.
 - 15) Provide supportive services and housing-related services for persons who are HIV positive or have AIDS.
4. Affirmatively further fair housing and ensure access to business opportunities in the state for women and minority-owned businesses.

Action Steps:

- 16) Conduct an Analysis of Impediments to Fair Housing Choice in the state.
- 17) Convene fair housing and Title VI workshops in the state for local governments, grantees, housing providers, advocates and consumers.
- 18) Provide fair housing information throughout the state, informing citizens of their housing rights.
- 19) Encourage reporting of fair housing violations by making citizens aware of their rights and providing information on access to fair housing advocates and organizations in the state.

A discussion of the State's progress in the longer-term four priority areas is discussed in pages X – X of the FY 2011-12 CAPER. Below, is an explanation of the State's progress and accomplishments with regards to the action steps associated with each priority area.

A. Community Development Block Grant (CDBG) Small Cities Program

During the reporting period, CDBG funds assisted with 30 affordable housing activities. The CDBG program acquired four units, created 10 new units and rehabilitated 16 existing units. With FY 2011-12

Optional Table 3B

STATE ANNUAL HOUSING COMPLETION GOALS

unds, the CDBG Program has proposed two rehabilitation activities. Rehabilitation activities delivered through the CDBG Program specifically address Action Steps 1, 4, and 10.

B. HOME Investment Partnership (HOME)

The HOME Program works to provide affordable housing through homeowner rehabilitation, rental rehabilitation, homeownership and new construction. In FY 2011-12, the HOME Program contributed to the completion of 322 units of affordable housing, utilizing funds from various program years. Out of the 322 units assisted during the reporting period, 243 units, or 45% were rehabilitation projects. Acquisition and new construction followed with 105 units, acquisitions and rehabilitation with 80 units and new construction with 74 units. Acquisition only projects represented 33 units. Subrecipients of FY 2011-12 funds have proposed to provide or rehabilitate an additional 329 units of affordable housing. All beneficiaries of the HOME program are low and moderate income. The activities provided by the HOME Program specifically address Action Steps, 1, 2, 3, 4, 6 and 10.

C. Emergency Shelter Grant/Emergency Solutions Grant (ESG)

ESG works to provide affordable housing through its Homeless Assistance and Homeless Prevention activities, which include Rapid Re-Housing and Homeless Prevention. During the reporting period, the ESG Program assisted over 33,178 Tennesseans with the first allocation of ESG funds. The number of Tennesseans benefiting from the program will increase with the second allocation of ESG funds. The ESG program provided 1,641 bed spaces during the reporting period and this number increased to 1,829 during the winter months. Activities and services delivered through the ESG Program, specifically address Action Steps 5, 11, 12, 13 and 14.

D. Housing Opportunities for Persons with AIDS (HOPWA)

During the reporting period, the HOPWA program assisted 560 individuals with HIV/AIDS and 422 family members. The HOPWA Program provides for affordable housing through its Housing Information Services Program, Short-term Rent, Mortgage and Utility Payment Program and its Permanent Housing Placement Program. The programs and services offered through the HOPWA Program specifically address Action Steps 11, 12, 14 and 15.